

**State of Utah**

**BUDGET  
RECOMMENDATIONS**

**Fiscal Year 2002  
Fiscal Year 2001 Supplementals**

Governor Michael O. Leavitt







STATE OF UTAH  
OFFICE OF THE GOVERNOR  
SALT LAKE CITY  
84114-0601

MICHAEL O. LEAVITT  
GOVERNOR

OLENE S. WALKER  
LIEUTENANT GOVERNOR

December 14, 2000

My Fellow Utahns:

My budget recommendations for fiscal year 2002 focus on Utah's future through aggressive investments in education and economic development.

The available revenue growth for FY 2001 and FY 2002 is a reflection of the state's growing strength in the new technology-driven economy. The revenue available is also a result of taxpayers' gains in the stock market, the economic impact of the Olympics, and the completion of I-15 reconstruction. These events are nonrecurring and so the state revenue generated by them is one-time revenue. Nearly half of the new revenue is one-time. We should take advantage of this opportunity by investing these one-time funds in one-time projects that will benefit Utah for many years. My budget recommendations carefully balance one-time revenues with one-time spending.

I am strengthening the role of Public Education and Higher Education in building a strong economy. In order to ensure continued economic growth beyond 2002, I am recommending large investments in both Public and Higher Education as well as two new economic development initiatives.

My goal is to double the number of engineering and computer science graduates over the next five years, to help make Utah a place where high-tech companies can establish themselves and grow. To accomplish these goals, I am proposing a new incentive program to retain highly qualified math and computer science teachers in Public Education. I am proposing a significant investment of one-time funds to construct several engineering and teacher education facilities for Higher Education, plus ongoing funds for new engineering and computer science faculty. I am also proposing a focused effort to attract high-tech companies to urban and rural Utah.

I am proposing two tax cuts. Rebracketing the state's income tax is long overdue, and I also recommend lowering the state threshold for filing an income-tax return to be the same as the federal threshold.

I look forward to working with the Legislature in the next few months to refine and implement these recommendations.

Sincerely,

A handwritten signature in black ink, reading "Michael O. Leavitt".

Michael O. Leavitt  
Governor

## Utah Tomorrow

# VISION STATEMENT FOR UTAH

We, the people of Utah, stand at the edge of a new frontier. In a world of rapid economic, social, environmental, and technological change, we confront bold challenges and rich opportunities.

Building upon our diverse cultures, our pioneering spirit, and our belief in the inherent worth of every person, we seek to:

**NURTURE** *a tolerant, just, and compassionate society that honors integrity, values strong families, welcomes diversity, and promotes positive moral values.*

**EDUCATE** *our citizens by providing an environment that supports life-long learning and occupational skills and that enables Utahns of all ages to reach their potential as productive and responsible individuals.*

**BUILD** *a statewide economy and infrastructure that supports a broad spectrum of opportunity for all citizens while advancing the standard of living and maintaining a high quality of life.*

**ENHANCE** *our local and global environment through prudent development, conservation, and preservation of our natural resources, while protecting public health and preserving our sustainable food and fiber resources.*

**PROMOTE** *personal well-being by encouraging healthy lifestyles and disease prevention, and by supporting access to quality health care at an affordable cost for all Utahns.*

**UNDERSTAND** *our diverse human heritage, nurture and protect Utah's cultural resources, and create opportunities for cultural education and expression.*

**ENCOURAGE** *self-sufficiency while helping those with special needs to lead productive, fulfilling lives.*

**PROTECT** *our society by supporting a justice system that allows Utahns to enjoy a quality lifestyle consistent with the rights and liberties guaranteed under the United States and Utah Constitutions.*

**ASSURE** *open, just, and accountable government.*

**STRENGTHEN** *our free enterprise system while providing a reasonable regulatory environment that protects our citizens.*

**PREPARE** *ourselves, our state, and our children for the challenges of tomorrow, today.*



The Government Finance Officers Association  
of the United States and Canada

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**CERTIFICATE OF RECOGNITION FOR BUDGET PREPARATION**

*to:*

**THE GOVERNOR'S OFFICE OF PLANNING AND BUDGET**

**STATE OF UTAH**

*The Certificate of Recognition for Budget Preparation is presented by the Government Finance Officers Association to those individuals who have been instrumental in their government unit achieving a Distinguished Budget Presentation Award. The Distinguished Budget Presentation Award, which is the highest award in governmental budgeting, is presented to those government units whose budgets are judged to adhere to program standards.*

Executive Director

Date

**DECEMBER 01, 2000**

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Presentation to the Governor's Office of Planning and Budget for its annual budget for the fiscal year beginning July 1, 2000 (state fiscal year 2001).

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, an operations guide, a financial plan, and a communications device.

The award is valid for a period of one year only. We believe this fiscal year 2002 budget document continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

**AGENCY GUIDE**

<b>Agency</b>	<b>See Department Section</b>
Administrative Services .....	Administrative Services
Agriculture and Food .....	Natural Resources
Alcoholic Beverage Control .....	Commerce and Revenue
Attorney General .....	Elected Officials
Auditor .....	Elected Officials
Board of Pardons .....	Corrections (Adult and Youth)
Capitol Preservation Board .....	Administrative Services
Career Service Review Board .....	Economic Development and Human Resources
Commerce .....	Commerce and Revenue
Community and Economic Development .....	Economic Development and Human Resources
Corrections - Adult .....	Corrections (Adult and Youth)
Courts .....	Courts
Environmental Quality .....	Environmental Quality
Financial Institutions .....	Commerce and Revenue
Governor/Lt. Governor .....	Elected Officials
Health .....	Health
Higher Education .....	Higher Education
Human Resource Management .....	Economic Development and Human Resources
Human Services .....	Human Services
Insurance .....	Commerce and Revenue
Labor Commission .....	Commerce and Revenue
Legislature .....	Legislature
Medical Education Council .....	Health
National Guard .....	National Guard
Natural Resources .....	Natural Resources
Public Education .....	Public Education
Public Safety .....	Public Safety
Public Service Commission .....	Commerce and Revenue
Retirement .....	Economic Development and Human Resources
Tax Commission .....	Commerce and Revenue
Transportation .....	Transportation
Treasurer .....	Elected Officials
Trust Lands Administration .....	Natural Resources
Utah Education Network .....	Higher Education
Utah State Fair Corporation .....	Economic Development and Human Resources
Utah Finance Technology Corporation .....	Economic Development and Human Resources
Workforce Services .....	Commerce and Revenue
Youth Corrections .....	Corrections (Adult and Youth)

*This book is available in alternate formats upon request. Please contact Ron Haymond (801)538-1553*



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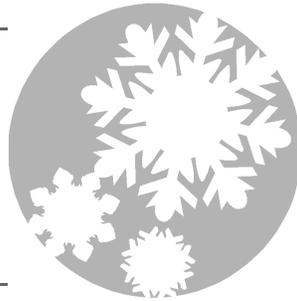
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# State of Utah

## State Summary

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- Utah economic and revenue forecasts
- Statewide summary tables
- Basis of budgeting
- Budget process





## GOVERNOR'S BUDGET OVERVIEW

Utah has experienced unprecedented economic growth during Governor Leavitt's first two terms, providing the state with the opportunity to invest in our future. Governor Leavitt's fiscal year (FY) 2002 budget recommendations continue this tradition.



allocating additional one-time revenue to roads in order to reduce the amount of new bonding required in the Centennial Highway Fund. Our state park facilities are in need of upgrade. The governor recommends \$10 million in one-time General Fund for this purpose. These investments in the state's infrastructure will pay dividends well into the 21<sup>st</sup> Century.

### FAVORABLE BUSINESS CLIMATE

Utah's favorable business regulations, low business taxes, and well-educated workforce continue to attract new companies to Utah and promote internal economic growth for our state. This favorable environment has led to strong economic growth. Utah's economic measures for calendar year 2000 include a low unemployment rate, steady employment growth, and strong personal income growth. Projections for calendar year 2001 are shown in the Utah Economic and Revenue Forecasts section.

Ongoing revenue growth for General Fund and school funds in FY 2002 is strong as the state benefits from the Olympics, I-15 construction, capital gains, and the new economy. However, nearly half of the \$655.8 million in new tax funds available for appropriation is one-time revenue. Governor Leavitt has carefully balanced this revenue by matching his ongoing spending recommendations with ongoing revenue growth, as shown in [Figure 1](#) on page 2.

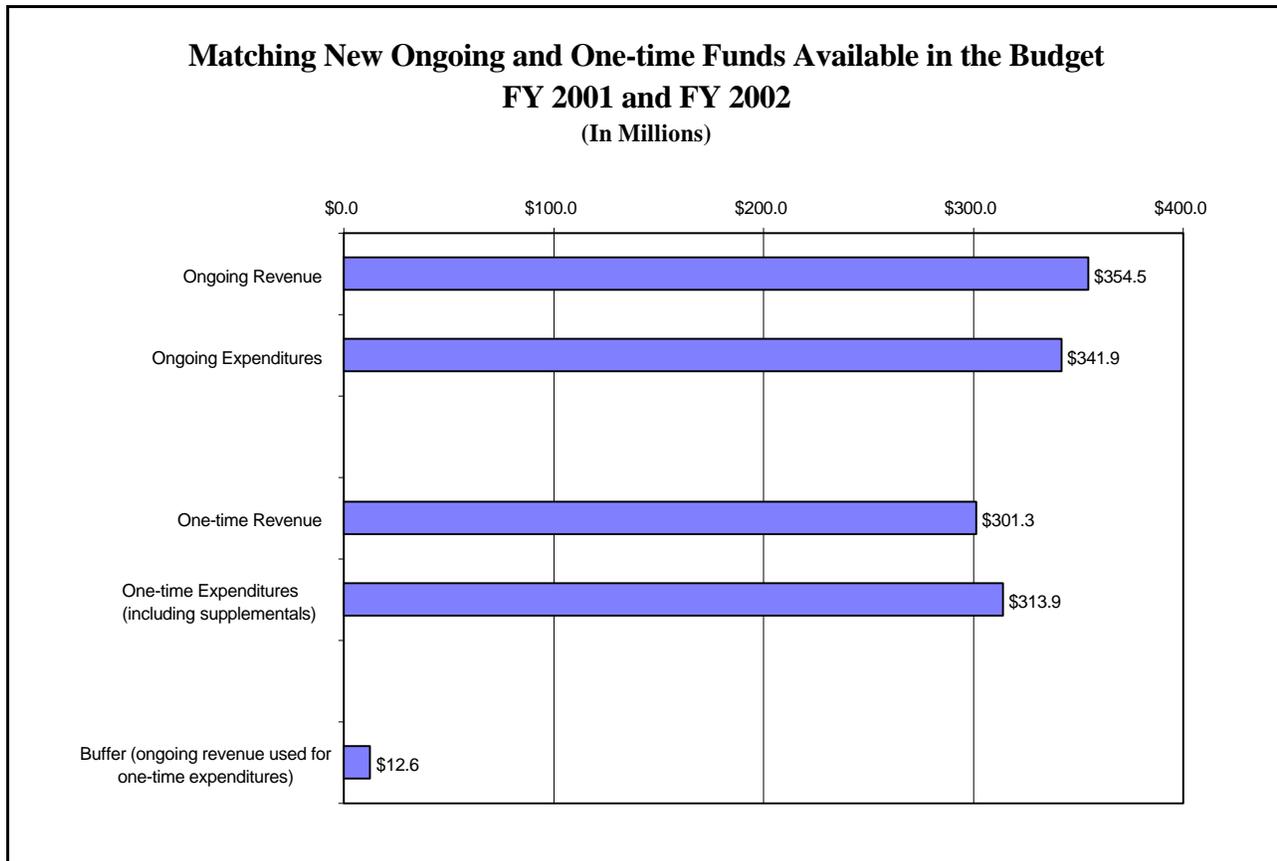
Governor Leavitt acknowledges this one-time opportunity to invest in infrastructure, and recommends funding a significant number of higher education facilities and projects, as well as

To ensure continued strong economic growth, Governor Leavitt is proposing a budget that addresses current needs and plants seeds for the future.

### QUALITY EDUCATION

Once again, education is the governor's number one priority in his FY 2002 budget recommendations. Governor Leavitt is proposing unprecedented investment in both Public and Higher Education.

**Figure 1**



**Public Education**

Governor Leavitt recommends total new state funding of \$244.8 million, including \$157 million ongoing and \$87.8 million supplemental. This represents a 14.8 percent increase in ongoing and supplemental school funds, including \$10 million for the capital equalization program. Of the 14.8 percent, 9.5 percent is ongoing.

Governor Leavitt also recommends public education funding be simplified and made more flexible, while requiring more accountability from the educa-

tion system. To accomplish this, he proposes a reexamination of the funding formula for Public Education. The programs supported by the Weighted Pupil Unit (WPU) and the separate categorical programs have grown into a complex method of funding that is inflexible and does not necessarily ensure accountability for how public education funds are used.

The governor has adopted the Utah State Board of Education’s request that an amount of money be allocated to the school districts as block grants to address their most important program

needs. He recommends \$50 million school funds for this purpose.

Retaining the best and brightest math and computer science teachers is crucial to Utah’s economic future. Our children need math and technology skills to become tomorrow’s engineers and computer scientists. Governor Leavitt recommends a signing and retention incentive program for math and computer science teachers that is administered by a group of individuals from the State Board of Education, the Board of Regents, and the business community. To

retain this incentive, a teacher must teach in the state for at least four additional years. The governor recommends \$16.6 million in supplemental school funds to begin the program and \$2.4 million in ongoing school funds to continue the program.

To support his goal that every student read at grade level by the end of the third grade, as well as his commitment to teacher pay, textbooks, and technology, Governor Leavitt recommends additional dollars for all these items, including reading programs. He also recommends the continuation of assessment and accountability efforts for schools, teachers, and students. Recommended increases in school funds include:

- \$4.0 million in ongoing school funds for reading specialists in 100 elementary schools
- \$30.6 million in supplemental school funds for textbooks and supplies
- \$10 million in supplemental school funds for library books, materials, and equipment
- \$300,000 in ongoing school funds for the Read to Me program
- \$4.0 million in ongoing school funds for accountability and assessment

- \$15 million in supplemental school funds for upgrading technology and media workstations
- \$10 million in ongoing school funds for the capital equalization program
- \$5.0 million in supplemental school funds for teachers supplies and materials
- \$19 million in school funds for retention incentives for secondary math, science, and information technology teachers

### Higher Education

Governor Leavitt recommends total new state funding of \$219.4 million, including \$140.5 million for seven new facilities, \$9.0 million in supplemental state funds, and \$69.9 million in ongoing state funds. This represents a 12.6 percent increase in ongoing state funds.

The governor's recommendations include two new major higher education initiatives: 1) his engineering initiative, and 2) a new funding formula. The governor has established a goal to double the number of engineering and computer science graduates at Utah's colleges and universities over the next five years and to triple them in eight years. To achieve this, he recommends:

- \$91.6 million in cash from one-time state funds to con-

struct five higher education facilities

- \$4.2 million in supplemental state funds for new equipment and supplies for college engineering programs
- \$5.0 million in ongoing state funds, which must be matched by the institutions from internal reallocation, to hire and retain engineering and computer science faculty
- \$800,000 supplemental state funds for a new loan program for engineering students
- \$550,000 ongoing state funds for several new engineering programs at Utah colleges and universities

A new funding formula will give the Board of Regents flexibility and responsibility in assigning funds to the institutions. The formula also helps address the need to improve quality in Higher Education. For this, the governor recommends:

- \$33.5 million in ongoing state funds from a 6.0 percent formula increase
- \$7.1 million in ongoing dedicated credits from tuition
- \$24.4 million in ongoing state funds and \$8.1 million in ongoing dedicated credits from tuition for enrollment growth

**ECONOMIC DEVELOPMENT**

The governor recommends other significant state funds investment in economic development, including:

- \$1.4 million in ongoing General Fund to attract high-tech companies to the Utah/Silicon Valley Alliance
- \$3.0 million in total General Fund for Custom Fit training
- \$2.0 million in supplemental General Fund to build tourism and attract business to Utah through the state’s Olympic exposure
- \$1.9 million in supplemental General Fund to replace earned credits in the Industrial Assistance Fund
- \$5.6 million in one-time dedicated credits from Utah Technology Finance Corporation liquidation proceeds to further capitalize the Industrial Assistance Fund
- \$2.5 million in one-time and \$500,000 in ongoing General Fund for rural airport improvements

**TAX CUTS**

With the strong revenue growth, the governor is recommending two tax cuts:

- **\$3.9 million** income tax cut by indexing state tax brackets for inflation
- **\$1.5 million** income tax cut for Utahns with incomes below federal poverty level who would no longer be taxed

The governor recommends that the indexing of state income tax brackets be a year by year decision, and not an automatic adjustment each year.

**TRANSPORTATION**

Under Governor Leavitt’s leadership, the state has embarked on aggressive road building projects through the Centennial Highway Fund. The largest is the reconstruction of Interstate 15 (I-15) through Salt Lake City. This project is slightly ahead of schedule and, more importantly, on budget. It should be completed by next summer. The governor continues to support the 10-year rolling transportation financing plan. His specific transportation recommendations include:

- \$12 million ongoing General Fund increase to Centennial Highway Fund
- \$20 million one-time General Fund increase to Centennial Highway Fund to reduce bonding needs
- \$10 million from the state’s share of the transit sales tax increase approved by voters

in the 2000 General Election to reduce the bonding needs in the Centennial Highway Fund

- \$4.4 million ongoing Transportation Fund to address maintenance requirements for expanded I-15
- \$1.1 million ongoing Transportation Fund to provide maintenance equipment for expanded I-15

**SAFE COMMUNITIES**

Over the last eight years, Governor Leavitt has initiated and promoted programs to enhance community safety throughout the state. Correctional capacity has increased by 105 percent during this period. Further, the Utah crime rate dropped 11 percent between 1997 and 1998, and 7.2 percent between 1998 and 1999.

Due to the lowest crime rate in 20 years, Utah has seen a decrease in the growth rate of new inmates. Annual prison growth has slowed from approximately 450 new inmates per year to between 250 and 350. This decrease may be attributed to a strong economy, additional correctional capacity, community involvement, intermediate sanctions, implementation of drug courts, new sentencing guidelines, new release guidelines for adults, and refined sentencing for juveniles.

Governor Leavitt's specific recommendations for FY 2002 include:

- 1,221 total new beds, including 288 at Gunnison, 552 at Oxbow, 355 in jails, and 26 in youth detention facilities
- \$5.0 million in ongoing General Fund for CUCF II (Gunnison prison) full-year funding
- \$10.3 million in ongoing General Fund for jail programs
- 12 additional highway troopers, including two for State Route 6
- \$3.4 million in ongoing General Fund for drug courts in the Department of Human Services and the Courts
- \$470,000 in ongoing General Fund for sex offender treatment
- \$499,100 in ongoing General Fund for Guardian ad Litem services for children in the Courts
- \$28,000 in ongoing General Fund to provide diversity training based upon recommendations of the Utah Judicial Council's Task Force on Racial and Ethnic Fairness in the Legal System
- \$650,000 in ongoing General Fund for methamphetamine

lab cleanup by Environmental Quality and treatment by Human Services

- \$100,000 supplemental and \$190,000 ongoing restricted funds to expand the Workplace Safety advertising campaign
- \$1.0 million in ongoing and \$600,000 in supplemental General Fund to fight nuclear waste storage in Utah
- \$1.7 million in ongoing General Fund to restore past cuts to liquor profits distributed to local governments for liquor law enforcement
- \$5.5 million in supplemental General Fund to pay for fire suppression during the 2000 season

**HEALTH AND HUMAN SERVICES**

Governor Leavitt continues to support state services for Utah citizens who encounter difficult situations, including health services for low-income people. His specific recommendations include:

- Fourth year funding of \$24.6 million total funds for the Children's Health Insurance Program to serve up to 25,000 children, 42 percent of whom live in rural Utah

- \$22.5 million in General Fund for inflation and utilization increases in Medicaid and related programs to maintain current service levels
- \$600,000 new General Fund to expand Baby Watch/Early Intervention programs by 20 percent to provide services to 200 infants and toddlers with disabilities and developmental delay
- \$60,000 one-time General Fund for a youth suicide pilot project, since suicide is the leading cause of death among males between 15 and 44 years of age
- \$6.2 million in total funds to serve individuals on the disabilities waiting list or who require more appropriate services
- \$6.1 million in total funds to maintain other services at current levels, including the replacement of federal funds
- \$10.2 million total funds for services for abused and neglected children, including adoption assistance and support, a foster care rate increase, funds for private shelter partnerships, and 3.0 percent cost-of-living increase for local providers
- \$4.3 million in total funds for services for the mentally ill

- \$2.2 million in total funds for services for the elderly
- \$21.4 million in one-time federal funds for intensive employment training and related services for parents on Temporary Assistance for Needy Families (TANF)

**STATE EMPLOYEE COMPENSATION**

Governor Leavitt acknowledges that employee turnover is a concern that affects the quality of the state workforce. As a result he is recommending a 6.0 percent total compensation package for state employees, which is the same percentage that Governor Leavitt recommends for teachers and higher education employees.

- The governor’s 6.0 percent compensation recommendation for state employees is to give the majority of funds to employees who are performing at satisfactory or higher levels and to areas of high turnover.

- For elected officials and judges, the governor is following the recommendations of the Executive and Judicial Compensation Commission. The commission recommends that elected officials’ pay increase 3.1 percent to 11.3 percent depending upon the office held, that judges’ pay increase 4.0 percent, and that cabinet members’ pay ranges increase by 4.0 percent.

**APPROPRIATIONS LIMIT**

Section 63-38c-201 through 205 UCA limits how much the state can spend from the General Fund, school funds, and the Transportation Fund. The limit allows state spending to increase only as population, personal income, and inflation increase. The budget recommendations for both FY 2001 and FY 2002 are within the limit.

**FY 2001 AND FY 2002 NEW FUNDS AVAILABLE**

Governor Leavitt recommends allocating 70.8 percent of new available revenue to Public

and Higher Education. A break-out is shown in [Figure 2](#).

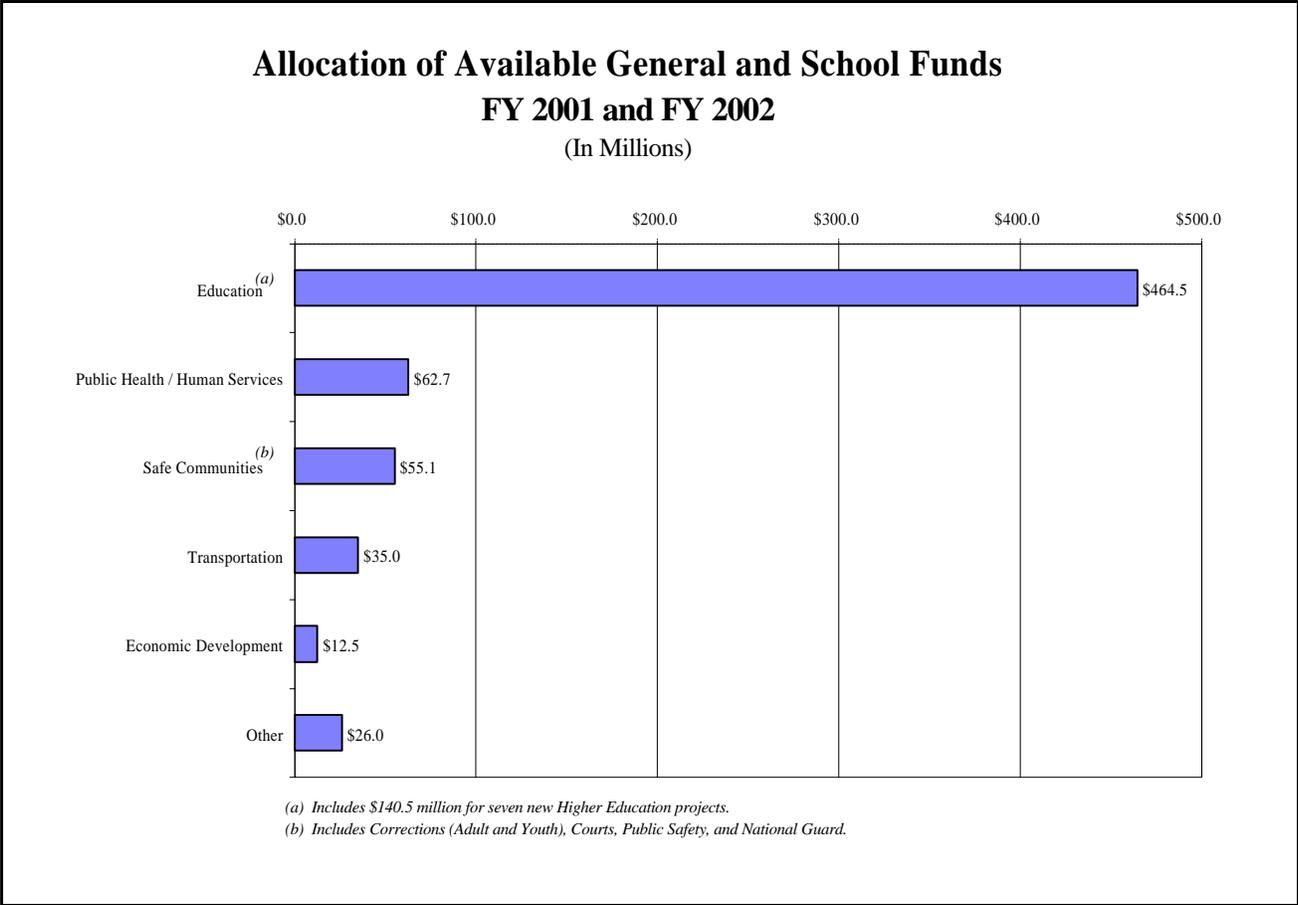
The following table shows the additional General Fund and school funds used in the governor’s budget.

<b>Available Revenue (In Millions)</b>	
FY 2000 Surplus	\$113.4
FY 2000 Reserves	0.7
FY 2001 Reestimate	179.9
FY 2002 Revenue	
Growth	369.4
Base Budget Adjustments/Other	(7.6)
<b>Total Available</b>	<b>\$655.8</b>

**ADDITIONAL REVENUE**

Should additional revenue become available, the governor recommends it be used for the construction of the Cache County first district courthouse, the acquisition of land for the Sandy court facilities, and the construction of the Utah Field House of Natural History.

Figure 2



## UTAH ECONOMIC AND REVENUE FORECASTS

### ECONOMIC FORECASTS

The Council of Economic Advisors provides input and reviews the basic assumptions that lead to the governor’s revenue forecasts. Members represent the Governor’s Office of Planning and Budget (GOPB), the Office of the Legislative Fiscal Analyst, First Security Bank, Federal Reserve Bank of San Francisco, Utah Foundation, University of Utah,

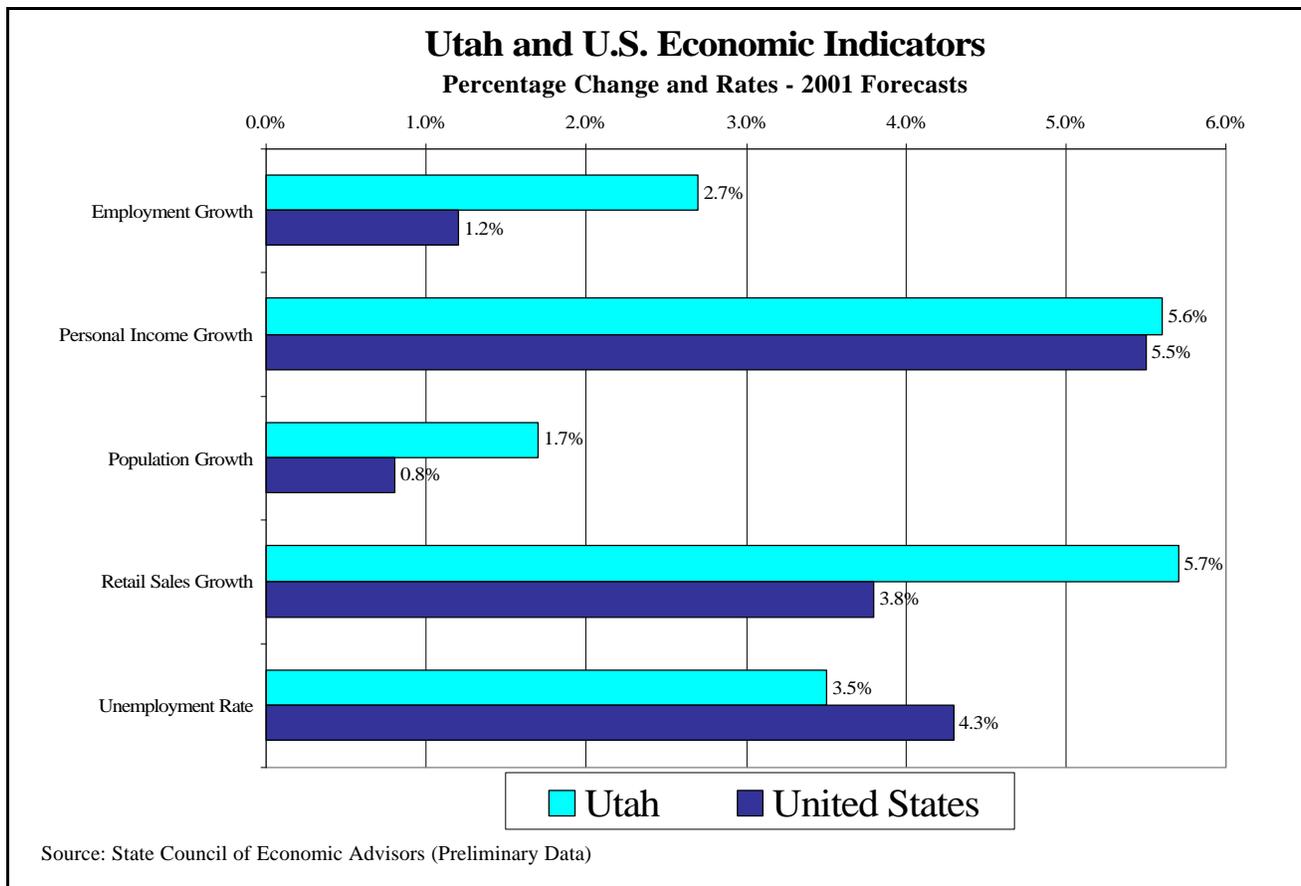
Utah State University, Brigham Young University, and various state agencies. Detailed information concerning Utah’s economy and its outlook may be found in the *2001 Economic Report to the Governor* (available January 2001).

Utah’s economy continues to grow at a faster pace than most other states. Figure 3 shows projected growth in economic indicators for Utah and the United States. It also shows estimated unemployment rates.

**Employment** — Utah employment is projected to grow 2.6 percent in 2000, 2.7 percent in 2001, and 1.7 percent in 2002. The corresponding national employment growth rate should be less than half that of Utah in 2001. The state’s 2001 unemployment rate is projected at 3.5 percent, compared to 4.3 percent nationally.

**Personal Income** — Utahns’ personal income

Figure 3



growth will be similar to the national average. State personal income grew 5.9 percent in 1999 and is projected to increase 7.1 percent in 2000 and 5.6 percent in 2001. Nationally, personal income will grow 6.4 percent in 2000 and 5.5 percent in 2001.

**Population** — The current state population is estimated at 2.2 million and is forecasted to grow by 1.7 percent in FY 2001, while the U.S. population will grow at a rate of 0.8 percent. Utah is expected to experience net in-migration of about 2,700 people in FY 2001 and net out-migration of about 2,700 people in FY 2002.

**Retail Sales** — The retail sales growth rate is expected to increase slightly from 5.2 percent in 2000 to 5.7 percent in 2001. Nationally, retail sales will decline from 8.1 percent in 2000 to 3.8 percent in 2001.

Utah's economy will continue to do well in 2001 for many of the same reasons it has done well in the past. Utah has an attractive business climate with a pro-business regulatory environment, low business taxes, numerous recreational opportunities, a youthful and educated labor force, quality universities, healthy lifestyles,

and a strong work ethic. These factors should continue to favorably influence business location and expansion decisions.

In a recent *Money Magazine* article, the Salt Lake City/Ogden metropolitan area was ranked as the best place to live in the West. Utah received this favorable ranking because of affordable housing prices, acceptable commute time, recreational opportunities and the quality of Utah schools. Sprint Business also ranked both Provo/Orem and Salt Lake/Ogden in the top ten out of 313 metropolitan areas for economic productivity. The ranking was based on factors such as output per worker, income and job growth, education and work force training, and proximity to air transportation.

Utah made the "honor roll" in the 14<sup>th</sup> annual *2000 Development Report Card for the States*. This report, published by the Corporation for Enterprise Development, is an annual assessment of each state's economy and its potential for future growth based upon over 70 data measures. Utah received an "A" in all major categories: economic performance, business vitality, and development capacity. Utah's ranking reflected strong employment growth, a low poverty rate, an even income distribution, strong charitable giving, and high home ownership.

Recently the Salt Lake City/Ogden area was ranked 3<sup>rd</sup> by Dun & Bradstreet and *Entrepreneur* magazine as a hot spot for high-tech business growth. Regions were ranked based on universities that turn out a quality labor force, investment money, ethnic diversity, and government support. *Inc.* magazine also ranked Salt Lake City/Provo as the 2<sup>nd</sup> best metropolitan area in the country to launch and grow a new business. The criteria included access to airports, proximity of universities, availability of a skilled work force, and local culture and infrastructure that support new business.

The Utah economy is expected to grow at a slightly higher rate in 2001 due to the build up for the 2002 Olympic Winter Games and a slight increase in net in-migration. This minor improvement will occur despite the beginning of a contraction in residential and nonresidential construction.<sup>1</sup>

## REVENUE FORECASTS

Revenue estimates are developed by forecasting important economic indicators. Forecasts of changes in interest rates, personal income growth, the unemployment rate, residential and nonresidential construction permits, and other important indicators provide underlying

assumptions used in estimating available revenue. Participants in this process include experts in the areas of construction, energy, retail sales, income taxes, job growth, and demographics.

The economic indicators and data on actual tax collections are used in simulation and econometric models by the State Tax Commission and GOPB to estimate future tax collections and investment income. Also considered are federal tax changes, state tax changes, and any large unique collections. [Table 4](#) shows actual revenue collections

for FY 2000, and forecasted collections for FY 2001 and FY 2002. State tax collections are deposited into three major funds: General Fund, Uniform School Fund, and the Transportation Fund.

The state also receives and budgets money from the federal government, state assessed property tax, fees, fines, sales, and other miscellaneous sources.

These revenue sources are estimated based upon federal grant commitments, recent history, state law, and forecasted trends.

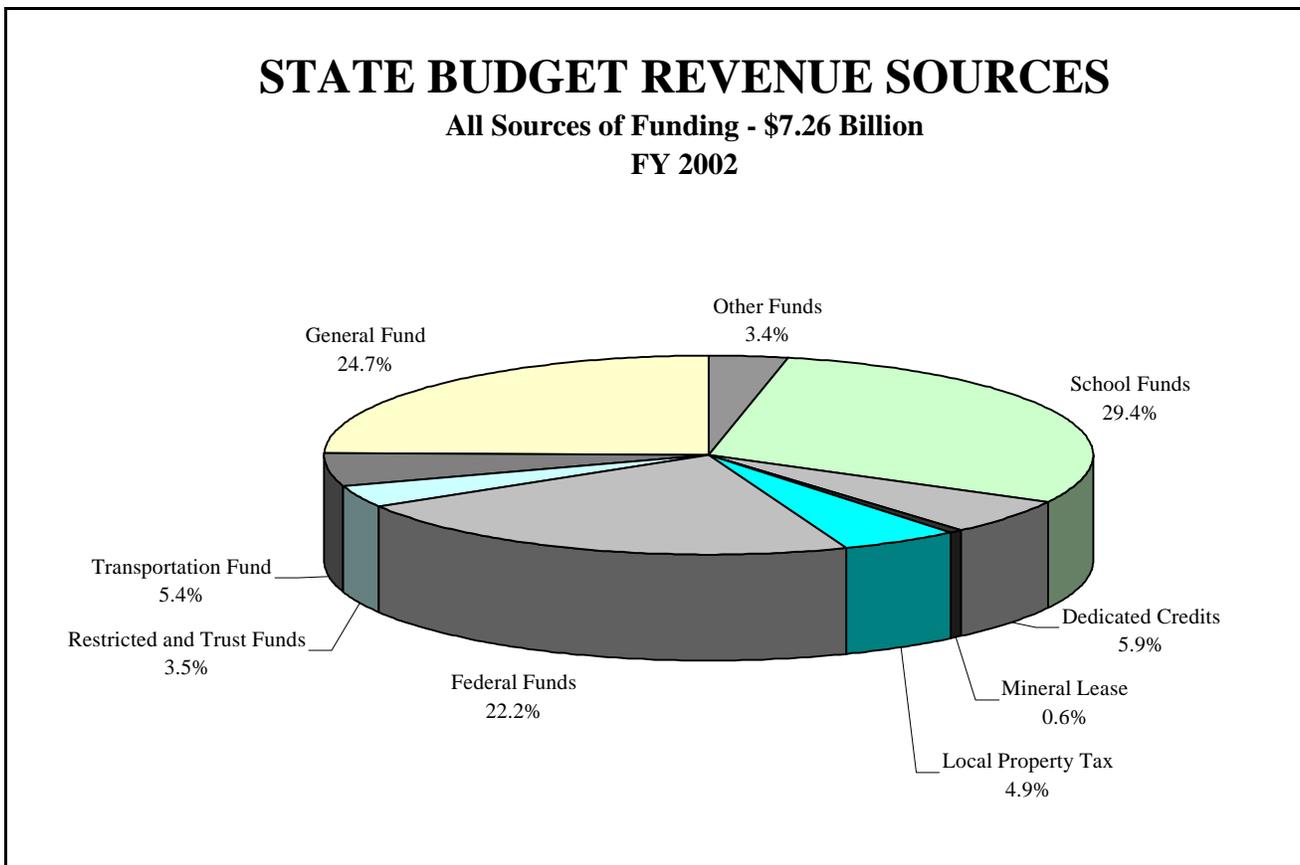
Figure 4 demonstrates the funding sources for the total state budget. ([Table 7](#) shows the appropriations of these resources.)

**GENERAL FUND/SCHOOL FUNDS**

[Figure 5](#) shows the contribution of the various taxes to the General and Uniform School Funds. ([Table 6](#) shows the appropriation of these collections.)

The General Fund is the primary funding source for most

**Figure 4**



state government operations and includes all revenue not accounted for in other funds. All revenue not specifically restricted by statute or the state constitution is deposited into the General Fund. Its major revenue sources are sales, insurance premium, beer, cigarette, and tobacco taxes.

The Uniform School Fund was established in the state constitution and is restricted to the support of education. The major revenue sources of the Uniform School Fund are individual income and corporate franchise taxes.

In the 1996 General Election, voters approved a constitutional amendment that explicitly allows the use of income tax revenue for Higher Education. The amendment allows the use of income tax revenue for higher education purposes with the remaining amount being deposited in the Uniform School Fund.

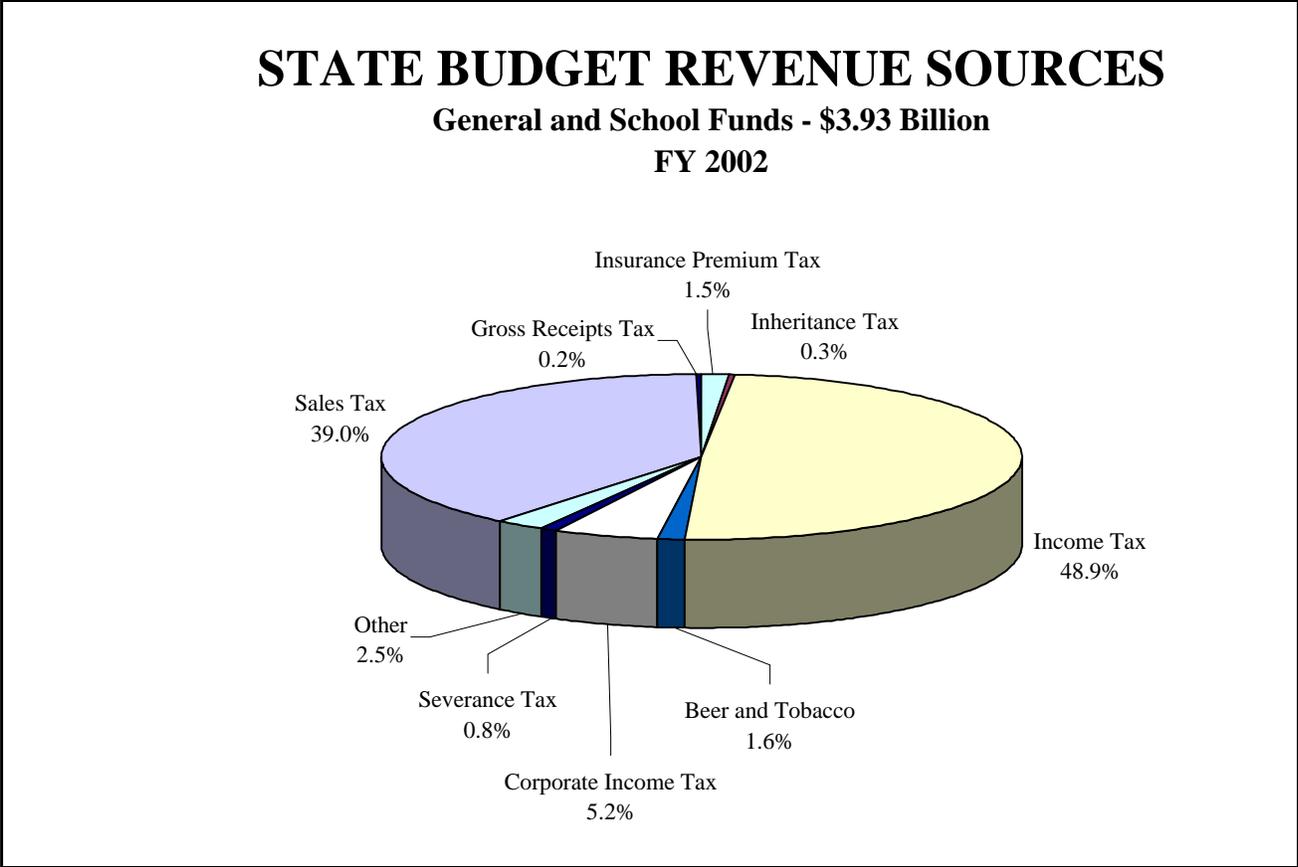
Receipts in FY 2000 from sales, income, and corporate taxes were greater than projected. The difference between actual collections and budget forecasts resulted in a \$25.4 million surplus in the General

Fund and \$88.1 million in school funds. School funds include Uniform School Fund and income tax revenue for Higher Education.

The General Fund is forecasted to increase 3.7 percent from FY 2001 to FY 2002, with sales tax receipts increasing 5.0 percent. School funds should grow 6.4 percent, with individual income tax receipts increasing 6.5 percent.

Most states do not have a separate dedicated fund for major expenditures like education. To compare to other states

Figure 5



and show how the majority of Utah taxpayer money is spent, the General Fund and school funds are frequently combined in this document and are referred to as state funds.

### TRANSPORTATION FUND

The Transportation Fund was established in the state constitution to fund construction, improvement, repair, and maintenance of state roads. Its principal revenue source is the motor fuel tax. Fiscal year 2002 collections are estimated to increase 2.6 percent over the FY 2001 estimates. [Table 4](#) shows actual and forecasted Transportation Fund collections.

### FEDERAL FUNDS

Federal funds account for 22.2 percent of the total budget. Federal dollars help finance programs that benefit the state and meet a perceived national need. To qualify for most federal aid, states must comply with federal regulations and match the federal contribution with state funds. The match rate varies by program. Federal funds appropriated by the legislature are estimates. State agencies may spend what they actually receive in federal revenue but may not exceed the state amount appropriated to match the federal funds. The Medicaid program

within the Department of Health receives about 40.3 percent of the state's federal revenue.

### PROPERTY TAX

Property tax shown in the state budget represents the contribution of local school districts to the state's Minimum School Program. The basic school levy is set annually by the legislature and represents the maximum amount of property tax revenue that can be raised. Using the rate set by the legislature, Governor Leavitt's budget recommendations allow for additional property tax revenue from the basic levy of \$7.6 million for FY 2002 based on new growth in assessed valuation.

Also, the Board and Voted Leeway is increasing \$16.8 million due to increases in local levies and in assessed property valuation as estimated by the Common Data Committee. This committee includes representatives from the Governor's Office of Planning and Budget, State Tax Commission, State Office of Education, and Office of the Legislative Fiscal Analyst.

### DEDICATED CREDITS

Dedicated credits include proceeds from user fees, fines, and sales of publications and

licenses. State park entrance fees and higher education tuition are examples of dedicated credits. By law, an agency may spend what it collects in dedicated credits up to 125 percent of its dedicated credit appropriation. Recommended dedicated credits reflect the best estimate of revenue from each source.

### RESTRICTED AND TRUST FUNDS

Restricted and trust funds are established in statute and are earmarked for specific purposes. Agencies may not spend more than the amount appropriated from restricted funds. Unless otherwise stated, unused restricted fund money lapses back to each respective fund at the end of the fiscal year. Lapsing funds may not be spent unless reappropriated by the legislature. Hunting and fishing license fees and hazardous waste fees are examples of proceeds that go into restricted funds.

### MINERAL LEASE FUNDS

The federal government returns to the state a portion of the money it collects from leases of mineral rights on federally-owned property within Utah's boundaries. Federal law requires that mineral lease revenue be spent on activities that relieve the impact of mineral development on the

community. Mineral lease revenue is allocated among various state agencies using a statutory formula. [Table 5](#) shows past and forecasted mineral lease revenue and its uses.

## RAINY DAY FUND

The budgeted funds are appropriated to the expected level of revenues and beginning fund balances. By using a prudent revenue estimate, a year-end surplus is assured.

For additional budget stabilization, the state maintains a Rainy Day Fund. It can only be used to cover operating deficits or retroactive tax refunds. In addition to interest earnings, 25 percent of any General Fund surplus at fiscal year end is transferred to the fund. The balance at the end of FY 2000 was \$109.7 million. The ceiling of the fund is 8.0 percent of the particular year's General Fund appropriation total which was \$127.0 million at FY 2000 year end.

## DEMOGRAPHICS

Utah, with a projected population of 2.2 million people in

2000, continues to be one of the fastest growing states in the nation. Since 1940, Utah's population growth rate has been roughly twice that of the nation. Compared to the rest of the country, Utah's population growth is characterized by a high birth rate and low death rate. Utah also continues to have a distinctive demographic profile where there are more persons per household and residents, on average, are younger, live longer, and have higher fertility rates.

The majority of the state's population growth is due to Utah's high birth rate. Since 1990, 74 percent of the state's population growth has been internal (births minus deaths).

Migration also plays an important role in understanding Utah's demographic make-up. Over time, net migration in Utah fluctuates through cycles of net in- and net out-migration. Throughout the 1990s, Utah has experienced a period of sustained net in-migration. Net in-migration occurs when the population increase from the previous year exceeds the natural increase (births minus deaths).

Utah's population is the youngest in the nation with a median age of 26.7 in 1999, compared to 36.5 nationally.

Utah also has the highest share of its total population in the preschool age (under 5) and school age (5-17) groups. At the same time, Utah has the smallest share of its total population in the working age (18-64) and the retirement age (65 and older) groups.

The state's population has continued to become more racially and ethnically diverse. In 1990, an estimated 91.2 percent of the state was considered White non-Hispanic compared to 88.6 percent in 1999. Hispanics of any race now make up 7.1 percent of the state's total population. Asian and Pacific Islanders, the second largest minority group, make up 2.6 percent of the population, followed by American Indians at 1.4 percent and Blacks at 0.9 percent. ■

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*12001 Economic Report to the Governor, State of Utah, Governor's Office of Planning and Budget.*



Table 1

**STATE FISCAL PLAN**  
**General Fund and School Funds**  
(In Thousands of Dollars)

	Governor Leavitt's Recommendations							
	Actual	Authorized	Recom-	Dollar	Recom-	Dollar	Percent	Percent
	FY 2000	FY 2001	mended	Difference	mended	Difference	Change	Change
		FY 2001	R01-A01	FY 2002	R02-A01	A00-R01	R01-R02	
		(a)	(b)	(c)	(d)	(e)		
<b>Sources of Funding</b>								
Beginning Balance	\$7,286	\$0	\$113,435	\$113,435	\$0	\$0	1,456.9%	(100.0%)
(f) General Fund Estimates	\$1,652,226	\$1,619,718	\$1,692,600	\$72,882	\$1,755,600	\$135,882	2.4%	3.7%
(f) School Funds Estimates	1,852,756	1,874,876	1,981,900	107,024	2,108,400	233,524	7.0	6.4
Subtotal GF/SF Estimates	\$3,504,982	\$3,494,594	\$3,674,500	\$179,906	\$3,864,000	\$369,406	4.8%	5.2%
Recommended Tax Cuts	\$0	\$0	\$0	\$0	(\$5,400)	(\$5,400)	--%	--%
Transfers	167	2,400	2,400	0	0	(2,400)	1,337.1	(100.0)
Mineral Lease	998	1,350	1,650	300	900	(450)	65.3	(45.5)
Other	1,528	309	309	0	0	(309)	(79.8)	(100.0)
Lapsing Balances	344	1,500	1,500	0	0	(1,500)	336.0	(100.0)
Additional Insurance Fee Collections	0	338	338	0	0	(338)	--	(100.0)
Long-term Disability Insurance Rebate	0	0	0	0	3,060	3,060	--	--
Asbestos Litigation Settlement	0	0	1,456	1,456	0	0	--	(100.0)
Transfer to Rainy Day Fund	(9,148)	0	0	0	0	0	(100.0)	--
IAF Reserve from Prior Fiscal Year	1,760	0	1,901	1,901	0	0	8.0	(100.0)
IAF Reserve for Following Fiscal Year	(1,901)	0	0	0	0	0	(100.0)	--
Reserve from Prior Fiscal Year	28,865	57,898	57,898	0	63,592	5,694	100.6	9.8
Reserve for Following Fiscal Year	(57,898)	0	(63,592)	(63,592)	0	0	9.8	(100.0)
<b>Total Sources of Funding</b>	<b>\$3,476,983</b>	<b>\$3,558,389</b>	<b>\$3,791,795</b>	<b>\$233,406</b>	<b>\$3,926,152</b>	<b>\$367,763</b>	<b>9.1%</b>	<b>3.5%</b>
<b>(g) Appropriations (Tables 6 and 8)</b>								
Operations Budget	\$3,057,762	\$3,238,191	\$3,238,191	\$0	\$3,573,910	\$335,719	5.9%	10.4%
Capital Budget	214,427	226,483	226,483	0	283,941	57,458	5.6	25.4
Debt Service	91,359	93,376	93,376	0	68,301	(25,075)	2.2	(26.9)
Subtotal Appropriations	\$3,363,548	\$3,558,050	\$3,558,050	\$0	\$3,926,152	\$368,102	5.8%	10.3%
<b>Other</b>								
(h) Supplementals	\$0	\$0	\$233,745	\$233,745	\$0	\$0	--%	(100.0%)
<b>Total Appropriations</b>	<b>\$3,363,548</b>	<b>\$3,558,050</b>	<b>\$3,791,795</b>	<b>\$233,745</b>	<b>\$3,926,152</b>	<b>\$368,102</b>	<b>12.7%</b>	<b>3.5%</b>
<b>Ending Balance</b>	<b>\$113,435</b>	<b>\$339</b>	<b>\$0</b>	<b>(\$339)</b>	<b>\$0</b>	<b>(\$339)</b>	<b>(100.0%)</b>	<b>--%</b>

(a) Recommended FY 2001 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2001. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2002. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 2000 to Recommended FY 2001.

(e) Change is from Recommended FY 2001 to Recommended FY 2002.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

(h) See Table 10.

Table 1 shows all the sources of funding used to balance the General Fund and school funds portions of the budget. It is the total of Table 2, General Fund, and Table 3, School Funds.

Table 2

**STATE FISCAL PLAN**  
**General Fund**  
(In Thousands of Dollars)

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations					
			Recom- mended FY 2001	Dollar Difference R01-A01	Recom- mended FY 2002	Dollar Difference R02-A01	Percent Change A00-R01	Percent Change R01-R02
			(a)	(b)		(c)	(d)	(e)
<b>Sources of Funding</b>								
Beginning Balance	\$281	\$0	\$25,376	\$25,376	\$0	\$0	8,930.6%	(100.0%)
(f) General Fund Estimates	\$1,652,226	\$1,619,718	\$1,692,600	\$72,882	\$1,755,600	\$135,882	2.4%	3.7%
Recommended Tax Cuts	0	0	0	0	0	0	--	--
Transfers	167	2,400	2,400	0	0	(2,400)	1,337.1	(100.0)
Mineral Lease	998	1,350	1,650	300	900	(450)	65.3	(45.5)
Other	1,352	309	309	0	0	(309)	(77.1)	(100.0)
Lapsing Balances	331	1,500	1,500	0	0	(1,500)	353.2	(100.0)
Additional Insurance Fee Collections	0	338	338	0	0	(338)	--	(100.0)
Long-term Disability Insurance Rebate	0	0	0	0	3,060	3,060	--	--
Asbestos Litigation Settlement	0	0	1,456	1,456	0	0	--	(100.0)
Transfer to Rainy Day Fund	(9,148)	0	0	0	0	0	(100.0)	--
IAF Reserve from Prior Fiscal Year	1,760	0	1,901	1,901	0	0	8.0	(100.0)
IAF Reserve for Following Fiscal Year	(1,901)	0	0	0	0	0	(100.0)	--
Reserve from Prior Fiscal Year	12,854	46,429	46,429	0	34,457	(11,972)	261.2	(25.8)
Reserve for Following Fiscal Year	(46,429)	0	(34,457)	(34,457)	0	0	(25.8)	(100.0)
<b>Total Sources of Funding</b>	<b>\$1,612,491</b>	<b>\$1,672,044</b>	<b>\$1,739,502</b>	<b>\$67,458</b>	<b>\$1,794,017</b>	<b>\$121,973</b>	<b>7.9%</b>	<b>3.1%</b>
(g) <b>Appropriations (Tables 6 and 8)</b>								
Operations Budget	\$1,342,655	\$1,412,306	\$1,412,306	\$0	\$1,542,458	\$130,152	5.2%	9.2%
Capital Budget	173,253	186,309	186,309	0	207,929	21,620	7.5	11.6
Debt Service	71,207	73,224	73,224	0	43,630	(29,594)	2.8	(40.4)
Subtotal Appropriations	\$1,587,115	\$1,671,839	\$1,671,839	\$0	\$1,794,017	\$122,178	5.3%	7.3%
<b>Other</b>								
(h) Supplementals	\$0	\$0	\$67,663	\$67,663	\$0	\$0	--%	(100.0%)
<b>Total Appropriations</b>	<b>\$1,587,115</b>	<b>\$1,671,839</b>	<b>\$1,739,502</b>	<b>\$67,663</b>	<b>\$1,794,017</b>	<b>\$122,178</b>	<b>9.6%</b>	<b>3.1%</b>
<b>Ending Balance</b>	<b>\$25,376</b>	<b>\$205</b>	<b>\$0</b>	<b>(\$205)</b>	<b>\$0</b>	<b>(\$205)</b>	<b>(100.0%)</b>	<b>--%</b>

(a) Recommended FY 2001 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2001. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2002. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 2000 to Recommended FY 2001.

(e) Change is from Recommended FY 2001 to Recommended FY 2002.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

(h) See Table 10.

Table 2 shows all the sources of funding used to balance the General Fund portion of the budget.

Table 3

**STATE FISCAL PLAN**  
**School Funds\***  
(In Thousands of Dollars)

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations					
			Recom- mended FY 2001 (a)	Dollar Difference R01-A01 (b)	Recom- mended FY 2002	Dollar Difference R02-A01 (c)	Percent Change A00-R01 (d)	Percent Change R01-R02 (e)
<b>Sources of Funding</b>								
Beginning Balance	\$7,005	\$0	\$88,059	\$88,059	\$0	\$0	1,157.1%	(100.0%)
(f) School Funds Estimates	\$1,852,756	\$1,874,876	\$1,981,900	\$107,024	\$2,108,400	\$233,524	7.0%	6.4%
Recommended Tax Cuts	0	0	0	0	(5,400)	(5,400)	--	--
Other	176	0	0	0	0	0	(100.0)	--
Lapsing Balances	13	0	0	0	0	0	(100.0)	--
Reserve from Prior Fiscal Year	16,011	11,469	11,469	0	29,135	17,666	(28.4)	154.0
Reserve for Following Fiscal Year	(11,469)	0	(29,135)	(29,135)	0	0	154.0	(100.0)
<b>Total Sources of Funding</b>	<b>\$1,864,492</b>	<b>\$1,886,345</b>	<b>\$2,052,293</b>	<b>\$165,948</b>	<b>\$2,132,135</b>	<b>\$245,790</b>	<b>10.1%</b>	<b>3.9%</b>
(g) <b>Appropriations (Tables 6 and 8)</b>								
Operations Budget	\$1,715,107	\$1,825,885	\$1,825,885	\$0	\$2,031,452	\$205,567	6.5%	11.3%
Capital Budget	41,174	40,174	40,174	0	76,012	35,838	(2.4)	89.2
Debt Service	20,152	20,152	20,152	0	24,671	4,519	0.0	22.4
Subtotal Appropriations	\$1,776,433	\$1,886,211	\$1,886,211	\$0	\$2,132,135	\$245,924	6.2%	13.0%
<b>Other</b>								
(h) Supplementals	\$0	\$0	\$166,082	\$166,082	\$0	\$0	--%	(100.0%)
<b>Total Appropriations</b>	<b>\$1,776,433</b>	<b>\$1,886,211</b>	<b>\$2,052,293</b>	<b>\$166,082</b>	<b>\$2,132,135</b>	<b>\$245,924</b>	<b>15.5%</b>	<b>3.9%</b>
<b>Ending Balance</b>	<b>\$88,059</b>	<b>\$134</b>	<b>\$0</b>	<b>(\$134)</b>	<b>\$0</b>	<b>(\$134)</b>	<b>(100.0%)</b>	<b>--%</b>

\* Includes Uniform School Fund and Income Tax Revenue for Higher Education.

(a) Recommended FY 2001 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2001. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2002. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 2000 to Recommended FY 2001.

(e) Change is from Recommended FY 2001 to Recommended FY 2002.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

(h) See Table 10.

Table 3 shows all the sources of funding used to balance the school funds portion of the budget.

Table 4

**REVENUE COLLECTIONS AND ESTIMATES**  
**Three-Year Comparison**  
**(In Thousands of Dollars)**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations				
			Recom- mended FY 2001	Dollar Difference R01-A01	Recom- mended FY 2002	Dollar Difference R02-A01	Percent Change R01-R02
			(a)	(b)		(c)	(d)
<b>General Fund (GF)</b>							
Sales and Use Tax	\$1,369,637	\$1,399,987	\$1,435,000	\$35,013	\$1,507,000	\$107,013	5.0%
Liquor Profits	28,659	30,200	30,500	300	32,700	2,500	7.2
Insurance Premiums	52,180	52,000	55,000	3,000	58,000	6,000	5.5
Beer, Cigarette, and Tobacco	58,027	60,000	59,200	(800)	60,300	300	1.9
Oil and Gas Severance Tax	17,313	14,000	27,000	13,000	26,000	12,000	(3.7)
Metal Severance Tax	5,698	5,500	6,100	600	6,300	800	3.3
Inheritance Tax	64,559	9,000	25,000	16,000	11,000	2,000	(56.0)
Investment Income	19,534	15,000	21,000	6,000	20,000	5,000	(4.8)
Other	40,992	38,231	38,000	(231)	38,500	269	1.3
Property and Energy Credit	(4,373)	(4,200)	(4,200)	0	(4,200)	0	0.0
Subtotal General Fund	\$1,652,226	\$1,619,718	\$1,692,600	\$72,882	\$1,755,600	\$135,882	3.7%
<b>School Funds (SF)</b>							
<b>(Includes Income Tax Revenue for Higher Education)</b>							
Individual Income Tax	\$1,654,949	\$1,691,883	\$1,772,000	\$80,117	\$1,888,000	\$196,117	6.5%
Corporate Franchise Tax	179,596	164,614	190,000	25,386	200,000	35,386	5.3
Permanent School Fund Interest	2,390	4,775	4,900	125	5,200	425	6.1
Gross Receipts Tax	7,340	7,500	7,300	(200)	7,300	(200)	0.0
Other	8,481	6,104	7,700	1,596	7,900	1,796	2.6
Subtotal School Funds	\$1,852,756	\$1,874,876	\$1,981,900	\$107,024	\$2,108,400	\$233,524	6.4%
<b>Subtotal GF/SF</b>	<b>\$3,504,982</b>	<b>\$3,494,594</b>	<b>\$3,674,500</b>	<b>\$179,906</b>	<b>\$3,864,000</b>	<b>\$369,406</b>	<b>5.2%</b>
<b>Transportation Fund</b>							
Motor Fuel Tax	\$237,574	\$243,200	\$242,000	(\$1,200)	\$248,000	\$4,800	2.5%
Special Fuel Tax	76,590	77,700	77,000	(700)	79,000	1,300	2.6
Other	64,954	61,045	66,000	4,955	68,000	6,955	3.0
<b>Subtotal Transportation Fund</b>	<b>\$379,118</b>	<b>\$381,945</b>	<b>\$385,000</b>	<b>\$3,055</b>	<b>\$395,000</b>	<b>\$13,055</b>	<b>2.6%</b>
<b>Mineral Lease (Table 5)</b>							
Royalties	\$33,671	\$27,500	\$36,000	\$8,500	\$37,000	\$9,500	2.8%
Bonus	5,951	4,500	5,500	1,000	5,500	1,000	0.0
<b>Subtotal Mineral Lease</b>	<b>\$39,622</b>	<b>\$32,000</b>	<b>\$41,500</b>	<b>\$9,500</b>	<b>\$42,500</b>	<b>\$10,500</b>	<b>2.4%</b>

(a) Recommended FY 2001 is based upon updated revenue projections.

(b) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2001. These dollars represent new one-time revenues.

(c) Difference is from Authorized FY 2001 adopted in the 2000 General Session to Recommended FY 2002. These dollars represent new ongoing revenues.

(d) Change is from Recommended FY 2001 to Recommended FY 2002.

Table 4 shows actual revenue collections for FY 2000 and estimated revenue collections for FY 2001 and FY 2002. These include state revenues only; federal funds, licenses, fees, etc., are not included.

Table 5

**MINERAL LEASE FUNDS**  
**Three-Year Comparison**  
**(In Thousands of Dollars)**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations	
			Recommended FY 2001 (a)	Recommended FY 2002
<b>Sources of Funding</b>				
Beginning Balance	\$0	\$896	\$896	\$0
Federal Mineral Lease Royalties	31,582	27,500	33,768	34,706
Exchanged Lands Mineral Lease Royalties	2,018	0	2,160	2,220
National Monument Mineral Lease Royalties	71	0	72	74
Subtotal Mineral Lease Royalties	33,671	27,500	36,000	37,000
Federal Mineral Lease Bonus	3,305	4,500	3,000	3,000
Exchanged Lands Mineral Lease Bonus	2,646	0	2,500	2,500
Subtotal Mineral Lease Bonus	5,951	4,500	5,500	5,500
<b>Total Funding</b>	<b>\$39,622</b>	<b>\$32,896</b>	<b>\$42,396</b>	<b>\$42,500</b>
<b>Appropriations</b>				
Board of Education	\$739	\$672	\$817	\$840
Community Impact Fund	17,110	13,893	16,735	17,205
Constitutional Defense Restricted Account	328	89	0	0
DCED - county special service districts	1,674	1,350	1,753	1,801
Discretionary:				
Constitutional Defense Restricted Account	0	0	896	0
Rural Telemedicine Initiatives	100	0	0	0
Primary Care Grants	500	0	0	0
Transfer to General Fund	998	1,350	1,650	900
Higher Education Institutions	1,424	0	0	0
Payment in Lieu of Taxes	2,276	2,200	2,276	2,276
Rural Electronic Commerce Fund	86	107	0	0
Transportation - county special service districts	11,748	10,800	14,049	14,439
USU Water Research Lab	753	608	789	811
Utah Geological Survey	712	602	790	812
Subtotal Appropriations	38,449	31,671	39,754	39,084
<b>Allocations</b>				
Community Impact Fund	0	0	608	608
Constitutional Defense Restricted Account	0	0	1,432	1,777
Rural Development Fund	265	274	265	268
Rural Electronic Commerce Fund	0	0	324	750
State School Fund	13	9	13	13
Subtotal Allocations	277	283	2,641	3,416
<b>Total Appropriations and Allocations</b>	<b>\$38,726</b>	<b>\$31,954</b>	<b>\$42,396</b>	<b>\$42,500</b>
Ending Balance	\$896	\$942	\$0	\$0

(a) Governor Leavitt's recommendations for FY 2001 are based upon updated revenue projections and statutory amendments enacted by Senate Bill 55, Mineral Lease Act Amendments, that passed in the 2000 General Session.

Table 5 shows the actual, authorized, and appropriated allocation of Mineral Lease revenue. This revenue comes from mineral leases on federal lands in Utah. Statutory allocations are set by formula.

Table 6

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT**  
**Appropriations from General Fund and School Funds**  
**(In Thousands of Dollars)**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations					
			Recom- mended FY 2001 (a)	Base FY 2002 (b)	Adjust- ments (c)	Recom- mended FY 2002	Percent Change R01-R02 (d)	Percent Change B02-R02 (e)
<b>Plan of Financing</b>								
General Fund	\$1,587,115	\$1,671,838	\$1,739,502	\$1,621,031	\$172,986	\$1,794,017	3.1%	10.7%
School Funds	1,776,433	1,886,212	2,052,293	1,883,073	249,062	2,132,135	3.9	13.2
<b>Total Financing</b>	<b>\$3,363,548</b>	<b>\$3,558,050</b>	<b>\$3,791,795</b>	<b>\$3,504,104</b>	<b>\$422,048</b>	<b>\$3,926,152</b>	<b>3.5%</b>	<b>12.0%</b>
<b>Programs</b>								
Administrative Services	\$23,295	\$26,062	\$26,399	\$23,599	\$3,696	\$27,295	3.4%	15.7%
Commerce and Revenue	105,671	109,460	109,495	109,844	5,900	115,744	5.7	5.4
Corrections (Adult and Youth)	225,669	240,954	249,085	241,580	34,344	275,924	10.8	14.2 (f)
Courts	85,760	89,513	91,084	90,595	5,890	96,485	5.9	6.5
Econ. Development/Human Res.	35,073	38,475	46,206	34,997	4,780	39,777	(13.9)	13.7 (g)
Elected Officials	28,789	29,886	30,604	29,647	3,688	33,335	8.9	12.4
Environmental Quality	10,728	9,759	10,356	10,262	1,709	11,971	15.6	16.7 (h)
Health	183,648	194,317	194,802	194,018	34,756	228,774	17.4	17.9 (i)
Higher Education	528,133	559,596	568,609	554,333	69,902	624,235	9.8	12.6 (j)
Human Services	193,431	201,384	203,315	201,979	25,511	227,490	11.9	12.6 (k)
Legislature	12,620	13,570	13,570	12,945	602	13,547	(0.2)	4.7
National Guard	3,475	3,672	3,841	3,631	306	3,937	2.5	8.4
Natural Resources	42,324	42,809	48,295	42,782	2,313	45,095	(6.6)	5.4
Public Education	1,535,311	1,633,675	1,721,452	1,623,132	146,996	1,770,128	2.8	9.1 (l)
Public Safety	42,137	43,812	44,891	44,051	3,645	47,696	6.2	8.3
Transportation	1,698	1,247	1,295	1,296	3,004	4,300	232.0	231.8 (m)
Other	0	0	0	0	8,177	8,177	--	-- (n)
<b>Total Operations Budget</b>	<b>\$3,057,762</b>	<b>\$3,238,191</b>	<b>\$3,363,299</b>	<b>\$3,218,691</b>	<b>\$355,219</b>	<b>\$3,573,910</b>	<b>6.3%</b>	<b>11.0%</b>
Capital Budget	\$214,427	\$226,483	\$337,981	\$192,037	\$91,905	\$283,942	(16.0)	47.9 (o)
Debt Service	91,359	93,376	90,515	93,376	(25,076)	68,300	(24.5)	(26.9) (p)
<b>Total Budget</b>	<b>\$3,363,548</b>	<b>\$3,558,050</b>	<b>\$3,791,795</b>	<b>\$3,504,104</b>	<b>\$422,048</b>	<b>\$3,926,152</b>	<b>3.5%</b>	<b>12.0%</b>

Table 6 shows the budgeted use of major state tax revenue (sales and income taxes) by state agency. It is a summary of the department tables found in a following section.

- (a) Recommended FY 2001 is based on updated revenue projections and includes recommended supplemental appropriations.
- (b) Base FY 2002 is the FY 2001 recommended amount adjusted for one-time FY 2001 appropriations, program transfers between departments, internal service fund rate changes, base cuts, personal service adjustments, and market comparability adjustments.
- (c) Recommended adjustments are shown in more detail in the individual department sections of the book.
- (d) The percent change is the difference from Recommended FY 2001 to Recommended FY 2002. Both years include one-time appropriations.
- (e) The percent change is the difference from Base FY 2002 to Recommended FY 2002.
- (f) The increase includes \$10.3 million for jail programs, \$5.0 million for full-year funding for CUCF II (Gunnison - 288 beds) operations, \$3.0 million for community alternatives in Youth Corrections, \$1.2 million to operate two new youth facilities in Richfield and St. George, and \$1.1 million for out-of-state placements.

**Table 6**

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT  
Appropriations from General Fund and School Funds**

**Footnotes**

- (g) The increase includes \$1.35 million for the Utah/Silicon Valley Alliance, \$1.0 million for Custom Fit training, \$300,000 for Read-to-Me, and \$230,000 for state Fairpark operations.
- (h) The increase includes \$1.0 million for nuclear waste opposition, and \$150,000 for methamphetamine lab cleanup.
- (i) The increase includes \$22.4 million for Medicaid inflation, utilization, and match rate change; and \$5.7 million to replace one-time funds.
- (j) The increase includes \$33.5 million for a 6.0 percent state funds increase, \$24.4 million for enrollment growth, \$1.6 million for the Utah Education Network operations, \$5.0 million for the engineering initiative, and \$3.6 million for operations and maintenance.
- (k) The increase includes \$3.5 million for drug courts and methamphetamine addiction, \$4.9 million to replace lost federal funds, \$2.0 million for the disabilities waiting list, \$1.0 million for the in-home services waiting list, and \$2.7 million for adoption assistance.
- (l) The increase includes \$99.9 million for a 6.0 percent compensation and operating increase, a \$50 million block grant to districts, and \$4.0 million for assessment and accountability. The percent change for Public Education is 9.5 % when the \$10 million increase to the capital outlay program shown in the Capital Budget line is included.
- (m) The increase includes \$3.0 million for rural airport maintenance.
- (n) The increase includes \$6.0 million for legislative initiatives and fiscal notes, and \$2.2 million for Olympic impacts on state agency services.
- (o) The increase includes \$29.7 million for the Utah State University heat plant, \$10 million for the public education capital outlay program, \$10 million for park repairs, and \$32 million for the Centennial Highway Fund.
- (p) The state's debt service requirements for scheduled payments dropped by over \$25 million.

**WHERE STATE TAX DOLLARS GO**

**General and School Funds - \$3.93 Billion  
FY 2002**

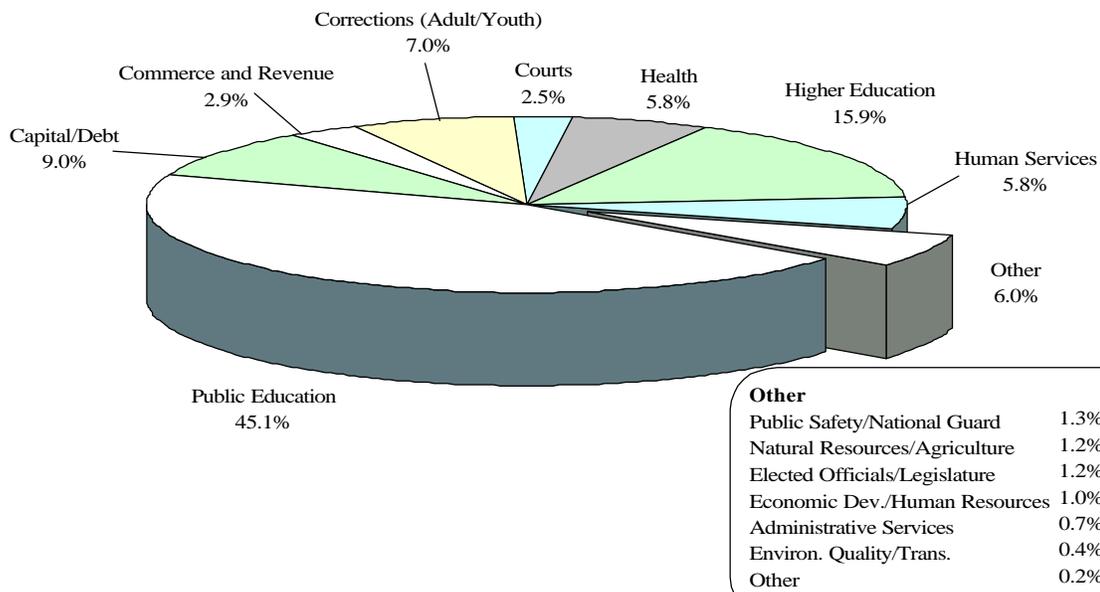


Table 7

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT**  
**Appropriations from All Sources of Funding**  
**(In Thousands of Dollars)**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations				Percent Change R01-02 (d)
			Recom- mended FY 2001 (a)	Base FY 2002 (b)	Adjust- ments (c)	Recom- mended FY 2002	
<b>Plan of Financing</b>							
General Fund	\$1,587,115	\$1,671,839	\$1,739,502	\$1,621,031	\$172,986	\$1,794,017	3.1%
School Funds	1,776,433	1,886,212	2,052,293	1,883,072	249,062	2,132,134	3.9
Transportation Fund	360,651	388,939	389,119	381,069	13,931	395,000	1.5
Federal Funds	1,538,812	1,624,448	1,625,020	1,558,581	56,728	1,615,309	(0.6)
Dedicated Credits	411,269	412,972	418,754	405,538	21,207	426,745	1.9
Mineral Lease	38,726	41,500	42,396	42,500	0	42,500	0.2
Restricted and Trust Funds	215,795	237,884	241,299	237,739	13,837	251,576	4.3
Transfers	226,388	226,025	222,313	226,474	7,572	234,046	5.3
Other Funds	1,934	4,895	11,581	782	1,329	2,111	(81.8)
Pass-through Funds	146	688	687	487	0	487	(29.1)
Beginning Balances	144,821	143,127	143,127	62,838	149	62,987	(56.0)
Closing Balances	(143,127)	(69,708)	(76,221)	(55,352)	0	(55,352)	(27.4)
Lapsing Funds	(33,672)	(1,619)	(1,619)	(11)	0	(11)	(99.3)
Local Property Tax	311,575	331,713	331,713	331,713	24,398	356,111	7.4
<b>Total Financing</b>	<b>\$6,436,866</b>	<b>\$6,898,915</b>	<b>\$7,139,964</b>	<b>\$6,696,461</b>	<b>\$561,199</b>	<b>\$7,257,660</b>	<b>1.6%</b>
<b>Programs</b>							
Administrative Services	\$25,949	\$23,523	\$23,862	\$21,282	\$3,431	\$24,713	3.6%
Commerce and Revenue	358,169	390,139	390,435	379,889	13,285	393,174	0.7
Corrections (Adult and Youth)	263,260	272,972	281,102	267,124	32,982	300,106	6.8 (e)
Courts	94,182	98,544	100,354	98,827	6,375	105,202	4.8
Econ. Development/Human Res.	117,824	135,605	138,728	121,892	9,578	131,470	(5.2)
Elected Officials	64,312	66,943	67,760	62,978	4,629	67,607	(0.2)
Environmental Quality	33,971	38,496	39,112	37,225	3,610	40,835	4.4
Health	986,494	1,068,323	1,068,789	1,042,332	78,052	1,120,384	4.8 (f)
Higher Education	730,338	758,813	767,826	752,706	85,193	837,899	9.1 (g)
Human Services	425,206	442,283	445,243	441,853	39,020	480,873	8.0 (h)
Legislature	12,906	13,901	13,901	13,276	751	14,027	0.9
National Guard	16,279	15,391	15,590	15,259	593	15,852	1.7
Natural Resources	116,710	127,777	133,771	122,969	4,623	127,592	(4.6)
Public Education	2,093,934	2,261,396	2,349,161	2,226,562	172,935	2,399,497	2.1 (i)
Public Safety	92,155	105,164	106,913	104,706	5,525	110,231	3.1
Transportation	205,615	197,736	197,912	196,542	15,524	212,066	7.2 (j)
Other	18,800	21,027	21,922	21,521	8,177	29,698	35.5 (k)
<b>Total Operations Budget</b>	<b>\$5,656,104</b>	<b>\$6,038,033</b>	<b>\$6,162,381</b>	<b>\$5,926,943</b>	<b>\$484,283</b>	<b>\$6,411,226</b>	<b>4.0%</b>
Capital Budget	\$622,488	\$699,130	\$815,610	\$611,310	\$60,439	\$671,749	(17.6) (l)
Debt Service	158,274	161,752	161,973	158,208	16,477	174,685	7.8 (m)
<b>Total Budget</b>	<b>\$6,436,866</b>	<b>\$6,898,915</b>	<b>\$7,139,964</b>	<b>\$6,696,461</b>	<b>\$561,199</b>	<b>\$7,257,660</b>	<b>1.6%</b>

Table 7 shows the budgeted use of all sources of funding by state agency.  
It is a summary of the department tables found in the following section.

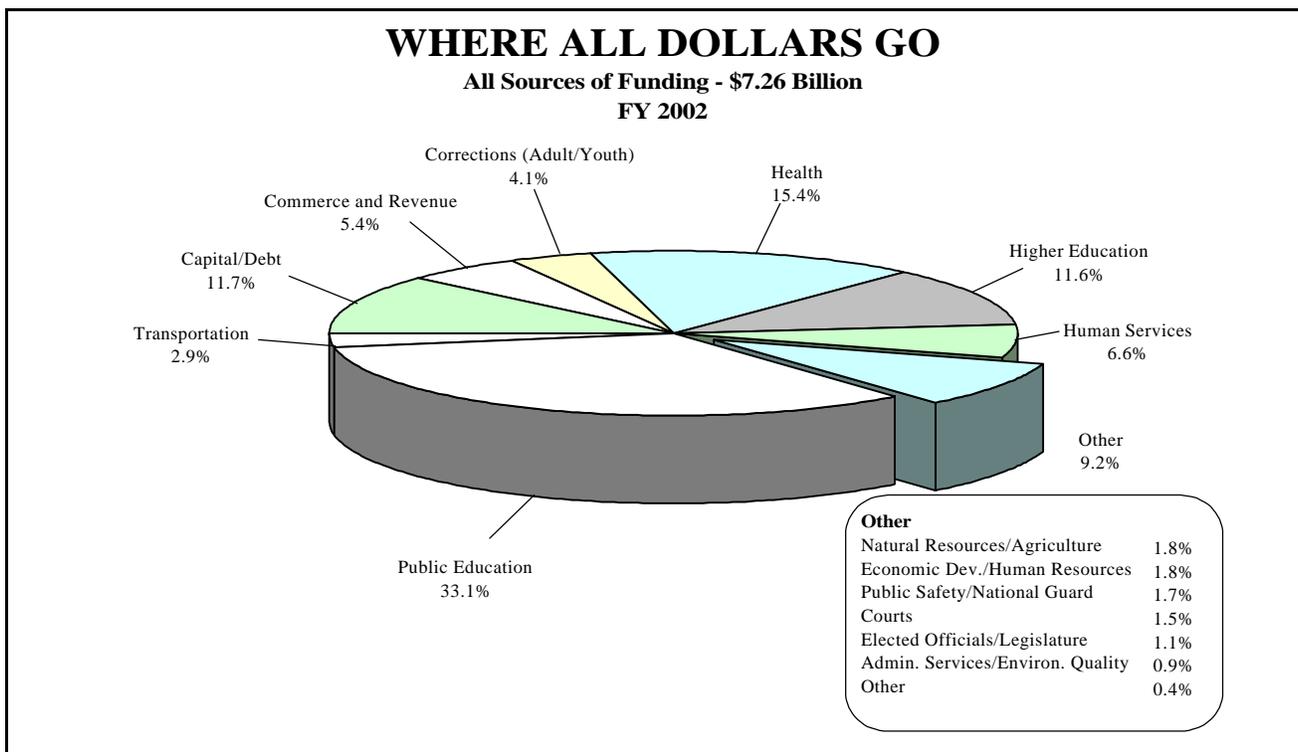
(a) Recommended FY 2001 is based on updated revenue projections and includes recommended supplemental appropriations.

**Table 7**

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT  
Appropriations from All Sources of Funding**

**Footnotes**

- (b) Base FY 2002 is the FY 2001 recommended amount adjusted for one-time FY 2001 appropriations, program transfers between departments, internal service fund rate changes, personal service adjustments, and market comparability adjustments.
- (c) Recommended adjustments are shown in more detail in the individual department sections of the book.
- (d) The Percent Change is the difference from Recommended FY 2001 to Recommended FY 2002. Both years include one-time appropriations.
- (e) The increase includes \$10.3 million for jail programs, \$5.0 million for full-year funding for CUCF II (Gunnison - 288 beds) operations, \$3.0 million for community alternatives in Youth Corrections, \$1.2 million to operate two new youth facilities in Richfield and St. George, and \$1.1 million for out-of-state placements.
- (f) The increase includes \$52.4 million for Medicaid inflation, utilization, and match rate change; and \$5.7 million to replace one-time funds.
- (g) The increase includes \$40.7 million for a 6.0 percent state funds and 4.0 percent tuition increase, \$32.6 million for enrollment growth, \$5.0 million for the engineering initiative, and \$3.6 million for operations and maintenance.
- (h) The increase includes \$3.5 million for drug courts and methamphetamine addiction, \$4.9 million to replace lost federal funds, \$5.2 million for the disabilities waiting list, \$1.8 million for the in-home services waiting, list, and \$4.2 million for adoption assistance.
- (i) The increase includes \$99.9 million for a 6.0 percent compensation and operating increase, a \$50 million block grant to districts, and \$4.0 million for assessment and accountability, plus \$24.4 million in property tax growth and increased board and voted leeways.
- (j) The increase includes \$3.0 million for rural airport maintenance, plus \$5.1 million in maintenance for expanded Interstate 15.
- (k) The increase includes \$6.0 million for legislative initiatives and fiscal notes, and \$2.2 million for Olympic impacts on state agency services.
- (l) The increase for FY 2001 includes six higher education facilities with a total projected cost of \$110.7 million, plus \$364,000 for the purchase of land for the Ogden/Weber Applied Technology Center.
- (m) The state's debt service requirements for scheduled payments dropped by over \$25 million in General Fund, but increased by \$41.5 million in transfers from the Transportation capital budget (Centennial Highway Fund).



**Table 8**  
**SUMMARY PLAN OF FINANCING BY DEPARTMENT AND SOURCES OF FUNDING**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted/Trust Funds	Other	Property Tax	Total
<b>Administrative Services</b>										
Actual FY 2000	\$23,293,100	\$0	\$450,000	\$0	\$1,814,900	\$0	\$1,657,400	(\$1,268,500)	\$0	\$25,948,900
Recommended FY 2001	26,399,300	0	450,000	0	1,682,800	0	2,682,300	(7,352,200)	0	23,862,200
Recommended FY 2002	27,294,700	0	450,000	0	1,726,500	0	1,486,300	(6,243,600)	0	24,713,900
<b>Commerce and Revenue</b>										
Actual FY 2000	89,432,300	16,238,300	4,857,400	186,218,400	18,377,100	0	46,889,900	(3,844,800)	0	358,168,600
Recommended FY 2001	92,904,300	16,590,400	4,857,400	201,527,800	17,911,100	0	47,314,200	9,330,200	0	390,435,400
Recommended FY 2002	98,084,100	17,659,900	4,857,400	195,176,700	18,220,400	0	51,351,600	7,823,500	0	393,173,600
<b>Corrections (Adult and Youth)</b>										
Actual FY 2000	225,668,800	0	0	4,885,800	5,602,900	0	500,000	26,602,300	0	263,259,800
Recommended FY 2001	249,085,000	0	0	4,491,000	5,799,000	0	659,100	21,068,100	0	281,102,200
Recommended FY 2002	275,923,600	0	0	4,218,500	5,843,200	0	659,100	13,461,500	0	300,105,900
<b>Courts</b>										
Actual FY 2000	85,760,200	0	0	27,000	754,600	0	6,179,100	1,460,800	0	94,181,700
Recommended FY 2001	91,084,300	0	0	122,000	1,273,400	0	6,308,400	1,565,900	0	100,354,000
Recommended FY 2002	96,484,900	0	0	122,000	1,309,300	0	6,448,500	837,100	0	105,201,800
<b>Economic Development and Human Resources</b>										
Actual FY 2000	35,072,900	0	118,000	26,640,100	22,249,100	0	33,017,500	726,500	0	117,824,100
Recommended FY 2001	46,205,600	0	118,000	30,778,900	29,547,900	0	35,656,800	(3,579,200)	0	138,728,000
Recommended FY 2002	39,777,100	0	118,000	31,915,300	19,897,100	0	37,204,100	2,559,600	0	131,471,200
<b>Elected Officials</b>										
Actual FY 2000	28,788,500	0	0	20,585,100	11,292,500	0	2,942,300	703,700	0	64,312,100
Recommended FY 2001	30,604,200	0	0	17,654,800	11,893,300	0	4,720,100	2,887,200	0	67,759,600
Recommended FY 2002	33,335,600	0	0	15,913,000	12,170,800	0	5,620,900	566,400	0	67,606,700
<b>Environmental Quality</b>										
Actual FY 2000	10,728,100	0	0	11,471,700	5,830,300	0	6,717,200	(775,300)	0	33,972,000
Recommended FY 2001	10,355,700	0	0	14,468,300	6,281,900	0	6,739,700	1,266,100	0	39,111,700
Recommended FY 2002	11,971,600	0	0	13,633,300	6,419,500	0	7,845,000	964,900	0	40,834,300
<b>Health</b>										
Actual FY 2000	183,647,800	0	0	660,577,500	58,677,900	600,000	15,645,000	67,345,600	0	986,493,800
Recommended FY 2001	194,801,900	0	0	715,447,100	62,471,500	0	22,163,600	73,904,400	0	1,068,788,500
Recommended FY 2002	228,774,200	0	0	737,674,000	64,744,000	0	16,262,000	72,930,300	0	1,120,384,500
<b>Higher Education</b>										
Actual FY 2000	364,246,600	163,886,700	0	4,546,200	183,496,100	2,177,500	457,000	11,527,900	0	730,338,000
Recommended FY 2001	383,671,300	184,937,400	0	4,592,900	189,082,800	788,600	4,752,700	0	0	767,825,700
Recommended FY 2002	383,315,800	240,919,100	0	4,572,000	203,532,600	810,500	4,748,400	0	0	837,898,400
<b>Human Services</b>										
Actual FY 2000	193,430,900	0	0	103,940,400	8,088,600	0	1,850,000	117,896,000	0	425,205,900
Recommended FY 2001	203,315,000	0	0	108,489,300	8,156,500	0	3,897,200	121,385,100	0	445,243,100
Recommended FY 2002	227,489,300	0	0	111,289,800	8,375,100	0	3,897,200	129,821,600	0	480,873,000



**Table 9**  
**SUMMARY OF FY 2002 ADJUSTMENTS BY DEPARTMENT**  
**Ongoing and One-time**  
**All Sources of Funding**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted and Trust Funds	Other	Property Tax	Total
<b>Appropriations by Department</b>										
Administrative Services	\$3,695,800	\$0	\$0	\$0	\$18,600	\$0	\$0	(\$283,100)	\$0	\$3,431,300
Commerce and Revenue	4,924,500	975,600	0	3,184,500	339,600	0	3,765,800	94,900	0	13,284,900
Corrections (Youth and Adult)	34,344,000	0	0	21,300	0	0	0	(1,382,900)	0	32,982,400
Courts	5,889,900	0	0	0	103,500	0	221,100	160,400	0	6,374,900
Econ. Development/Human Res.	4,780,300	0	0	119,200	2,410,800	0	2,426,700	(158,000)	0	9,579,000
Elected Officials	3,688,500	0	0	50,100	534,600	0	342,700	12,700	0	4,628,600
Environmental Quality	1,709,500	0	0	426,800	207,200	0	1,258,200	8,000	0	3,609,700
Health	34,756,000	0	0	39,694,900	1,504,400	0	1,832,700	264,000	0	78,052,000
Higher Education	0	69,902,200	0	0	15,290,500	0	0	0	0	85,192,700
Human Services	25,510,600	0	0	3,965,800	262,500	0	400,000	8,881,500	0	39,020,400
Legislature	602,000	0	0	0	0	0	0	148,700	0	750,700
National Guard	305,500	0	0	282,200	5,000	0	0	0	0	592,700
Natural Resources	2,313,300	0	0	579,700	101,300	0	1,612,200	16,600	0	4,623,100
Public Education	0	146,996,100	0	1,426,000	64,700	0	4,400	45,800	24,398,400	172,935,400
Public Safety	3,645,000	0	0	119,000	67,800	0	1,638,800	54,300	0	5,524,900
Transportation	3,003,900	0	11,031,500	857,800	296,100	0	334,400	0	0	15,523,700
Other	5,177,100	3,000,000	0	0	0	0	0	0	0	8,177,100
<b>Total Operations Adj.</b>	<b>\$134,345,900</b>	<b>\$220,873,900</b>	<b>\$11,031,500</b>	<b>\$50,727,300</b>	<b>\$21,206,600</b>	<b>\$0</b>	<b>\$13,837,000</b>	<b>\$7,862,900</b>	<b>\$24,398,400</b>	<b>\$484,283,500</b>
Capital Budget	\$68,234,500	\$23,670,100	\$2,900,000	\$6,000,000	\$0	\$0	\$0	(\$40,366,100)	\$0	\$60,438,500
Debt Service	(29,594,100)	4,518,100	0	0	0	0	0	41,553,100	0	16,477,100
<b>Total Budget Adjustments</b>	<b>\$172,986,300</b>	<b>\$249,062,100</b>	<b>\$13,931,500</b>	<b>\$56,727,300</b>	<b>\$21,206,600</b>	<b>\$0</b>	<b>\$13,837,000</b>	<b>\$9,049,900</b>	<b>\$24,398,400</b>	<b>\$561,199,100</b>

Table 9 shows recommended FY 2002 ongoing and one-time appropriations by department.

Table 10

**SUMMARY OF FY 2001 SUPPLEMENTALS BY DEPARTMENT**  
**All Sources of Funding**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted and Trust Funds	Other	Total
<b>Appropriations by Department</b>									
Administrative Services	\$336,800	\$0	\$0	\$0	\$2,600	\$0	\$0	\$0	\$339,400
Commerce and Revenue	2,100	32,100	0	(139,400)	(3,600)	0	414,900	(10,000)	296,100
Corrections (Youth and Adult)	8,130,600	0	0	0	0	0	0	0	8,130,600
Courts	1,571,800	0	0	0	0	0	85,000	152,800	1,809,600
Econ. Development/Human Res.	7,730,600	0	0	(2,900)	5,834,900	0	(100)	(10,439,100)	3,123,400
Elected Officials	717,800	0	0	(1,800)	(6,400)	0	107,200	(200)	816,600
Environmental Quality	596,700	0	0	(4,800)	(4,000)	0	28,000	(100)	615,800
Health	485,300	0	0	(15,200)	(3,300)	0	600	(1,500)	465,900
Higher Education	(17,900)	9,030,700	0	0	0	0	0	0	9,012,800
Human Services	1,931,300	0	0	762,300	17,800	0	200,000	48,300	2,959,700
Legislature	0	0	0	0	0	0	0	0	0
National Guard	169,200	0	0	0	5,000	0	0	24,600	198,800
Natural Resources	5,486,000	0	0	(5,000)	(5,400)	0	1,891,300	(1,373,600)	5,993,300
Public Education	0	87,777,300	0	(9,000)	(1,000)	0	0	(2,400)	87,764,900
Public Safety	1,078,600	0	0	(7,600)	(6,900)	0	688,400	(2,700)	1,749,800
Transportation	48,400	0	179,300	(4,200)	(48,200)	0	100	0	175,400
Other	0	0	0	0	0	895,600	0	0	895,600
<b>Total Operations Adjustments</b>	<b>\$28,267,300</b>	<b>\$96,840,100</b>	<b>\$179,300</b>	<b>\$572,400</b>	<b>\$5,781,500</b>	<b>\$895,600</b>	<b>\$3,415,400</b>	<b>(\$11,603,900)</b>	<b>\$124,347,700</b>
Capital Budget	\$42,257,000	\$69,241,000	\$0	\$0	\$0	\$0	\$0	\$4,983,400	\$116,481,400
Debt Service	(2,861,300)	0	0	0	0	0	0	3,081,600	220,300
<b>Total Budget Adjustments</b>	<b>\$67,663,000</b>	<b>\$166,081,100</b>	<b>\$179,300</b>	<b>\$572,400</b>	<b>\$5,781,500</b>	<b>\$895,600</b>	<b>\$3,415,400</b>	<b>(\$3,538,900)</b>	<b>\$241,049,400</b>

Table 10 shows recommended FY 2001 supplemental appropriations by department, including internal service fund rate reductions.



## BASIS OF BUDGETING

### GOVERNOR'S BUDGET

The governor's budget for general government type funds (see [Table 1](#) for General Fund and school funds and [Table 8](#), column 3, for Transportation Fund) is prepared on a modified accrual basis. Revenues are recognized when measurable and available to finance operations during the year. Expenditures are recognized when liabilities are incurred.

Modifications to the accrual basis of accounting include the following: 1) recognizing inventories of materials and supplies as current expenditures when purchased, 2) recognizing prepaid expenses as current expenditures, and 3) recognizing principal and interest on general long-term debt as liabilities when due. Buildings and other fixed assets are not depreciated.

Internal service fund budgets and enterprise fund budgets (see Internal Service and Enterprise Funds section) are prepared on a full accrual basis with revenues recognized when earned and expenditures recognized when the related liabilities are incurred. Buildings and equipment are depreciated on a straight-line basis over their estimated useful lives.

### RELATIONSHIP TO THE COMPREHENSIVE ANNUAL FINANCIAL REPORT

The Division of Finance issues the *Comprehensive Annual Financial Report* (CAFR), which includes all funds and account groups. It is prepared on a modified accrual basis with enterprise and internal service funds prepared on a full accrual basis. There are several major differences between the CAFR and the budget. The CAFR differs in the following ways: 1) it does not accrue property taxes, 2) it eliminates intra-fund revenues and expenditures, 3) it accrues the value of medicines, food stamps, and commodities received for school lunch programs, and 4) it accrues Medicaid expenditures beyond budgetary cutoffs. Also, the CAFR includes assets acquired with proceeds of general obligation and revenue bonds as current year expenditures; the budget does not.

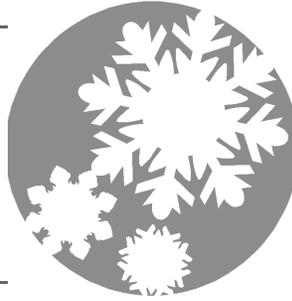
The budget reports higher education revenue accruals not reported in the CAFR. It also reports loan programs separately since they are not a source of funding for state departments and are not expenditures. In addition, most trust funds are not included in the budget.

### BUDGETARY CONTROL

Budgetary control is maintained at the functional or organizational level as identified by line items in the appropriations acts. Budget accounts, other than General Fund, Uniform School Fund, Transportation Fund, and restricted funds, may be modified subject to the governor's approval if actual revenue differs from original estimates authorized by the legislature. If funding sources are not sufficient to cover the appropriation, the governor is required to reduce the budget by the amount of the deficiency. Any other changes to the budget must be approved by the legislature in a supplemental appropriation.

### YEAR-END BALANCES

Unexpended balances at year end may do the following: 1) lapse to unrestricted balances for future appropriation, 2) lapse to restricted balances for future appropriations restricted for specific purposes as defined in statute, or 3) remain in the fund as nonlapsing balances. Nonlapsing balances are considered automatically reappropriated as authorized by statute, by the appropriations act, or by limited commitments related to unperformed contracts for goods or services. ■



## THE BUDGET PROCESS

The publication of Governor Leavitt's budget recommendations, prepared by the Governor's Office of Planning and Budget (GOPB), is the culmination of several months of preparation and deliberation. The director of GOPB, the statutory state budget officer, oversees preparation of the governor's budget recommendations.

Item:	<b><i>Forms and Guidelines</i></b>	<i>GOPB distributes forms and guidelines to agencies for use in preparing agency operating and capital budget requests. The budget preparation calendar and parameters for requesting budget enhancements are established.</i>
By:	<b><i>GOPB</i></b>	
Dates:	<b><i>July</i></b>	
Item:	<b><i>Agency Requests</i></b>	<i>State agencies prepare budget requests for the governor's review in accordance with agency goals and GOPB guidelines. A copy is forwarded to the Office of the Legislative Fiscal Analyst.</i>
By:	<b><i>State Agencies</i></b>	
Dates:	<b><i>July to September</i></b>	
Item:	<b><i>GOPB Recommendations</i></b>	<i>GOPB collects and analyzes agency requests. GOPB prepares recommendations on base budgets and proposed enhancements for the governor.</i>
By:	<b><i>GOPB</i></b>	
Dates:	<b><i>September to October</i></b>	
Item:	<b><i>Budget Briefings</i></b>	<i>GOPB holds budget briefings with the governor's office, state agencies, and the judicial branch. Preliminary GOPB recommendations are reviewed. Policy matters with budgetary implications are discussed.</i>
By:	<b><i>Governor's Office/GOPB</i></b>	
Dates:	<b><i>October to November</i></b>	

<p>Item: <b><i>Budget Release</i></b>  By: <b><i>Governor</i></b>  Dates: <b><i>Mid-December</i></b></p>	<p><i>The governor releases his budget recommendations to the public in a series of press releases.</i></p>
<p>Item: <b><i>Fiscal Analyst's Analysis</i></b>  By: <b><i>Legislative Fiscal Analyst</i></b>  Dates: <b><i>December to Mid-January</i></b></p>	<p><i>The legislative fiscal analyst analyzes the governor's budget recommendations, independently projects revenue (with review by GOPB), and prepares operating and capital budget recommendations for consideration by the legislature.</i></p>
<p>Item: <b><i>Legislative Deliberations</i></b>  By: <b><i>Legislature</i></b>  Dates: <b><i>Mid-January to March</i></b></p>	<p><i>The legislature's Executive Appropriations Committee has nine subcommittees that hold hearings on the budget. The subcommittees gather relevant testimony on agency budgets before sending their recommendations to the Executive Appropriations Committee. The Executive Appropriations Committee prepares appropriations bills to be considered by the full legislature. The legislature passes the bills and forwards them to the governor for signature, veto, or passage into law without signature.</i></p>
<p>Item: <b><i>Budget Implementation</i></b>  By: <b><i>Governor/Agencies</i></b>  Dates: <b><i>March to June</i></b></p>	<p><i>The governor signs or vetoes the enrolled appropriations bills. The state constitution allows the governor line item veto authority.</i></p>
<p>Item: <b><i>Budget Amendments</i></b>  By: <b><i>Legislature/Governor</i></b>  Dates: <b><i>As Needed</i></b></p>	<p><i>Generally, budget amendments affecting state appropriations must be made by the legislature. The legislature can amend a current year budget through a supplemental appropriations bill. Supplementals can be positive or negative adjustments. The governor has emergency authority to reduce state budgets. This authority has not been used recently. ■</i></p>



# State of Utah

## Capital Budget Summary and Debt Service

- Capital budget table guide
- Capital budget overview
- Bonding limit
- Capital budget table showing three-year comparison of appropriations by department
- Capital facility projects recommended as FY 2001 supplements
- Capital facility projects recommended for FY 2002
- Debt service table showing three-year comparisons

## CAPITAL BUDGET TABLE GUIDE

The total capital budget table shows revenue and expenditures for the past fiscal year (FY 2000), authorized and recommended revenue and expenditures for the current fiscal year (FY 2001), and recommended revenue and expenditures for the budget fiscal year (FY 2002). The guide below illustrates how to read this table.

**Capital Budget**  
All Source of Funding

*The "Recommended FY 2001" column represents the authorized year plus recommended supplementals.*

*The "Total" column shows all state-funded recommendations.*

**Governor Leavitt's Recommendations**

	Actual FY 2000	Authorized FY 2001	Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
G	218,600			\$125,17,500	\$12,000,000	\$137,517,500
M	7,414			7,310,700		7,310,700
Restricted and Trust Funds	74,543			7,394,000		7,394,000
Transfers	(49,000)			2,985,000		2,985,000
Other Funds	599			8,418,100		8,418,100
Pass-through Funds				7,976,900		7,976,900
Beginning Balances	34,960			16,299,000	0	16,299,000
Closing Balances	(33,061,200)			(12,000,000)	0	(12,000,000)
Lapsing Funds	(26,290,000)	0	0	0	0	0
Local Property Tax	0	0	0	0	0	0
<b>Total Financing</b>	<b>\$594,686,000</b>	<b>\$590,870,500</b>				<b>\$637,284,800</b>
<b>Departments</b>						
Administrative Services	\$0	\$0	\$0	\$0	\$0	\$0
Econ. Dev./Human Res.	17,369,500	16,793,800	16,793,800	16,233,100	0	16,233,100
Environmental Quality	3,496,400	10,104,200	10,104,200	10,115,800	0	10,115,800
Higher Education	0				0	0
Natural Resources	28,578,200				0	32,372,000
Public Education	0				0	0
Transportation	545,241,900				10,202,000	578,563,900
<b>Total Budget</b>	<b>\$594,686,000</b>	<b>\$590,870,500</b>	<b>\$588,608,100</b>	<b>\$627,082,800</b>	<b>\$10,202,000</b>	<b>\$637,284,800</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>8.3%</b>

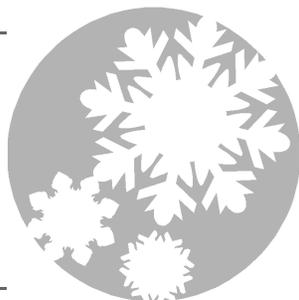
*The "Actual FY 2000" column represents verified revenues and expenditures for capital projects in FY 2000.*

*The "Authorized FY 2001" column reflects finances and expenditures appropriated by the legislature, modified by revisions to federal revenues and other anticipated collections, before recommended supplementals.*

*The "Adjustments" column includes changes in funding for existing programs or funding for new projects in FY 2002.*

*The "Base FY 2002" column includes funding from non-bond sources for ongoing capital programs.*

*The amounts in each column are detailed in the individual department capital tables.*



## CAPITAL BUDGET

### OVERVIEW

The capital budget includes acquisition, development, construction, and improvement of fixed public assets. Capital funds from Environmental Quality, Economic Development, and Public Education help finance projects for local agencies and school districts. A portion of the Natural Resources capital budget goes toward local water projects. All other agencies' capital projects are classified as developments, improvements, or planning.

*Capital developments* include: 1) remodeling, site, or utility projects costing \$1,000,000 or more; 2) constructing a new facility with a cost of \$250,000 or more; and 3) purchasing real property where an appropriation is requested to fund the purchase.

*Capital improvements* are major remodeling, alterations, replacement, repairs, or improvements of fixed capital

assets costing less than \$1,000,000. State law requires annual capital improvement funding to be at least 0.9 percent of the estimated replacement cost of all state facilities. For fiscal year 2002, this amount is \$39,594,000. The Utah State Building Board allocates capital improvement funds to priority projects.

*Capital planning* is the programming process conducted before a project is considered for further funding. It provides the basis for choosing among alternatives.

### BONDING LIMIT

The Utah Constitution Article XIV, Section 1 limits general obligation debt to 1.5 percent of the total fair market value of taxable property. As of December 1, 2000, the state's total outstanding general obligation debt is \$1,131,000,000, which is \$946,139,000 below the constitutional debt limit. By

January 24, 2001 the state will have issued an additional \$15,000,000 of previously authorized but unissued bonds.

Section 63-38c-402 UCA further limits outstanding general obligation debt to no more than 20 percent of the maximum allowable limit on appropriations from the General Fund, school funds, and Transportation Fund, less debt service. Considering \$908,000,000 of transportation bonds are exempt from this limit, the state's net outstanding general obligation debt is \$537,533,000 below the appropriation debt limit.

Because of the state's sound debt administration, the state continues to enjoy a triple "A" rating on general obligation bonds and a double "A" rating on revenue bonds from Moody's Investors Service Inc., Standard and Poor's Corporation, and Fitch IBCA Inc. These are the highest credit ratings available and save the state millions of dollars of interest on general obligation and revenue bonds.

## REVIEW PROCESS

Before recommending a capital budget, the governor considers agency requests and recommendations of the Utah State Building Board. The Board's seven voting members are appointed by the governor and serve as policy makers for the Division of Facilities Construction and Management.

Other boards that play a role in the capital budget process include the Board of Regents, Board of Education, Transportation Commission, Solid and Hazardous Waste Control Board, Board of Water Resources, Water Pollution Control Committee, Safe Drinking Water Committee, Permanent Community Impact Fund Board, Board of Parks and Recreation, Wildlife Board, State Armory Board, and Soil Conservation Commission.

## RECOMMENDATIONS

Governor Leavitt recommends a total FY 2002 capital budget of \$671,748,700. The capital budget table on [page 38](#) shows a three-year comparison by department for all state agencies. This includes roads, appropriations to loan funds, environmental site remediation, state park improvements, etc.

The table on [page 39](#) lists the capital facility projects recom-

mended as supplementals for FY 2001 as well as proposed sources of funding for each facility. The table on [page 40](#) lists the capital facility projects recommended for FY 2002 as well as proposed sources of funding for each facility.

Governor Leavitt recommends a lease revenue bond for \$22,736,000 to construct: 1) an \$8,281,000 expansion to the Alcoholic Beverage Control main warehouse, 2) a \$1,498,000 liquor store in South Valley, 3) a \$957,000 liquor store in Magna to replace the building currently being leased, and 4) a \$12,000,000 golf course at Soldier Hollow.

The governor supports several non-state funded projects. The state's colleges and universities have requested permission to build ten new facilities and remodel two facilities on their campuses at a total cost of \$272,340,000, all of which will be funded through private donations, student fees, and operating revenue. Logan City has also proposed to build a fire station on the Utah State University campus for approximately \$1,000,000. Property in the amount of \$13,500,000 is being donated to Salt Lake Community College. Finally, the Department of Corrections has requested permission to build a family history center at the Utah State Prison for \$375,000.

For debt service, the governor recommends an FY 2002 appropriation of \$174,685,200 and an

FY 2001 supplemental appropriation of \$220,300. In FY 2002, debt service for General Fund and school funds was reduced by \$25,076,000. The governor recommends that this amount be used as one-time money to pay for capital projects.

The governor acknowledges the need to turn the State Fairpark into a viable year-round operation. Building a multi-purpose facility will help meet that goal. However, a study by consultants found that projected revenue was inadequate to cover the debt service payments required to build the facility. The governor recommends that the legislature review this and make the necessary appropriation to indicate their support of the building.

The governor recommends that if new funds are available, or if a general obligation bond is authorized, the following projects be funded: 1) Cache County first district court for \$12,320,000, 2) land for the Sandy court for \$950,000, and 3) the Utah Field House of Natural History for \$6,841,000.

## FY 2001 PROPOSED LEGISLATIVE INTENT

When necessary to meet interest requirements on variable rate demand obligations issued to finance highway construction projects, the Division of Finance may request a transfer of funds

from the Department of Transportation. After receiving such a request, the Department of Transportation shall transfer monies from the Centennial Highway Fund to the Debt Service Fund to pay interest on variable rate demand obligations issued to finance highway construction projects.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

When necessary to meet interest requirements on variable rate demand obligations issued to finance highway construction projects, the Division of Finance may request a transfer of funds from the Department of Transportation. After receiving such a request, Transportation shall transfer monies from the Centennial Highway Fund to the Debt Service Fund to pay interest on variable rate demand obligations issued to finance highway construction.

The Salt Lake Olympic Committee repayment of \$28,500,000 plus interest due on March 7, 2002 will be used to begin the first phase in renovating the State Capitol building. Additional future funding will be required for completion of the first phase, for seismic base isolation, capitol restoration, heat plant construction, and upgrades.

The Division of Facilities Construction and Management is authorized to use up

to \$1,187,000 from the Special Administrative Expense Fund as established in Section 35A-4-506 UCA to construct an addition to the Cedar City Employment Center.

The Division of Facilities Construction and Management is authorized to use transportation funds of up to \$2,819,000 for transportation capital development projects and \$1,127,000 for transportation capital improvement projects.

No state funds shall be used for the following projects or for operations and maintenance of the facility:

Gardner Student Center Expansion - Dixie College

Cafeteria Remodel - Redwood Campus - Salt Lake Community College

Baseball Field - Salt Lake Community College

Utah Shakespearean Festival Centre - Southern Utah University

Huntsman Cancer Research Hospital - University of Utah

Logan City Fire Station - Utah State University

No state funds shall be used for the following projects. State funds may be requested for operations and maintenance

if the facility meets the approved purposes under Board of Regents policy R710:

Hurricane Education Center Addition - Dixie College

Automotive and Customer Service Training Facility - Salt Lake Community College

Auto Trades Building - Salt Lake Community College

Moran Eye Center II - University of Utah

Emma Eccles Jones Medical Science Addition - University of Utah

Wasatch Campus Initial Building - Utah Valley State College

Health, Physical Ed, and Recreation Building Expansion - Utah State University

No state funds shall be used for the Utah Museum of Natural History at the University of Utah. The university may request state funds for operations and maintenance if the facility meets the approved purposes under Board of Regents policy R710. The university may not request state funds for museum exhibits. No state funds shall be used for the Wasatch Family History Center. State funds may be requested for operations and maintenance of the facility. ■

**CAPITAL BUDGET**

## All Sources of Funding

	<b>Governor Leavitt's Recommendations</b>						
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>	<b>FY 2002 Bond</b>
<b>Plan of Financing</b>							
General Fund	\$173,252,800	\$186,308,600	\$228,565,600	\$139,694,700	\$68,234,500	\$207,929,200	\$0
School Funds	41,174,100	40,174,100	109,415,100	52,342,000	23,670,100	76,012,100	0
Transportation Fund	215,516,400	237,790,500	237,790,500	229,207,100	2,900,000	232,107,100	0
Federal Funds	204,236,400	209,011,200	209,011,200	171,092,300	6,000,000	177,092,300	0
Dedicated Credits	10,480,700	4,858,000	4,858,000	2,985,000	0	2,985,000	0
Mineral Lease	15,698,700	18,077,100	18,077,100	18,516,000	0	18,516,000	0
Restricted and Trust Funds	22,920,100	25,030,000	25,030,000	33,730,000	0	33,730,000	0
FY 2002 G.O. Bond	0	0	0	0	0	0	107,000,000
FY 2002 Revenue Bond	0	0	0	0	0	0	22,736,000
Transfers	(37,102,700)	(36,756,900)	(39,838,500)	(36,756,900)	(41,553,100)	(78,310,000)	0
Other Funds	1,364,600	2,355,700	10,420,700	0	1,187,000	1,187,000	0
Pass-through Funds	0	0	0	0	0	0	0
Beginning Balances	11,332,800	12,781,400	12,781,400	500,000	0	500,000	0
Closing Balances	(12,781,400)	(500,000)	(500,000)	0	0	0	0
Lapsing Funds	(23,604,300)	0	0	0	0	0	0
<b>Total Financing</b>	<b>\$622,488,200</b>	<b>\$699,129,700</b>	<b>\$815,611,100</b>	<b>\$611,310,200</b>	<b>\$60,438,500</b>	<b>\$671,748,700</b>	<b>\$129,736,000</b>
<b>Departments</b>							
Administrative Services	\$44,477,900	\$53,269,300	\$61,334,300	\$47,321,400	\$17,459,600	\$64,781,000	\$22,736,000
Econ. Dev./Human Res.	2,270,100	1,889,400	2,289,400	1,801,100	0	1,801,100	0
Environmental Quality	2,066,800	5,512,300	5,512,300	5,404,000	0	5,404,000	0
Higher Education	23,391,000	11,308,800	122,042,800	0	29,713,000	29,713,000	0
Natural Resources	8,884,100	19,185,500	19,185,500	8,136,000	10,000,000	18,136,000	0
Public Education	33,292,000	31,129,800	31,493,800	28,358,000	10,000,000	38,358,000	0
Transportation	508,106,300	576,834,600	573,753,000	520,289,700	(6,734,100)	513,555,600	107,000,000
<b>Total Budget</b>	<b>\$622,488,200</b>	<b>\$699,129,700</b>	<b>\$815,611,100</b>	<b>\$611,310,200</b>	<b>\$60,438,500</b>	<b>\$671,748,700</b>	<b>\$129,736,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(17.6%)</b>	
<p><i>This table shows summary capital project costs from all departments and includes roads, General Fund appropriations to loan programs, environmental site remediation, state parks improvements, the Public Education capital outlay program, and economic development. The tables on the following two pages show only facility related projects, including the cost of each facility development or acquisition. For more detail on other type projects, refer to the capital tables in the individual department sections.</i></p>							

**CAPITAL FACILITY PROJECTS - FY 2001 SUPPLEMENTALS**

All Sources of Funding

	<u>Governor Leavitt's FY 2001 Supplemental Recommendations</u>					
	General Fund / School Funds	Trans- portation Fund	Federal Funds	Donations / Private / Other	Total Recom- mended	Future State O and M
<b>STATE FUNDED PROJECTS</b>						
<b>Higher Education</b>						
USU-Engineering Bldg Renovation/Addition	\$23,857,000	\$0	\$0	\$10,000,000 (a)	\$33,857,000	\$906,000
U of U-Engineering Building	20,000,000	0	0	30,000,000 (a)	50,000,000	489,000
Engineering Building Package Savings	(2,000,000)	0	0	(2,600,000) (a)	(4,600,000)	0
CEU-Main Building Complex Replacement	10,281,000	0	0	0	10,281,000	0
WSU-Davis Campus Building/Infrastructure	24,000,000	0	0	0	24,000,000	471,000
SUU-Teacher Ed./General Classroom	19,146,000	0	0	0	19,146,000	603,000
Classroom Building Package Savings	(3,500,000)	0	0	0	(3,500,000)	0
UVSC-Classroom Building	18,950,000	0	0	0	18,950,000	743,000
<b>National Guard</b>						
Camp Williams Armory	0	0	0	8,065,000 (b)	8,065,000	0
<b>Public Education</b>						
Ogden Weber ATC-Land Purchase	364,000	0	0	0	364,000	0
<b>TOTAL STATE FUNDED PROJECTS</b>	<b>\$111,098,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$45,465,000</b>	<b>\$156,563,000</b>	<b>\$3,212,000</b>

(a) Funding provided by donations.

(b) Funding provided by proceeds from the sale of Sunnyside Armory.

## CAPITAL FACILITY PROJECTS - FY 2002

### All Sources of Funding

	Governor Leavitt's FY 2002 Recommendations					Future State O and M
	General Fund / School Funds	Trans- portation Fund	Federal Funds	Donations / Private / Other	Total Recommended	
<b>STATE FUNDED PROJECTS</b>						
<b>Statewide</b>						
Capital Improvements	\$38,467,000	\$1,127,000	\$0	\$0	\$39,594,000	\$0
<b>Alcoholic Beverage Control (ABC)</b>						
Main Warehouse Expansion	0	0	0	8,281,000 (b)	8,281,000	80,000
South Valley Liquor Store	0	0	0	1,498,000 (b)	1,498,000	40,000
Magna Liquor Store Replacement	0	0	0	957,000 (b)	957,000	24,000
<b>Capitol Preservation Board</b>						
Capitol Preservation Design and Fees	4,500,000	0	0	0	4,500,000	0
<b>Corrections</b>						
Oxbow Women's Facility	13,500,000	0	6,000,000	0	19,500,000	42,000
<b>Higher Education</b>						
USU - Central Heat Plant	29,713,000	0	0	0	29,713,000	0
<b>Natural Resources</b>						
Soldier Hollow Golf Course	0	0	0	12,000,000 (b)	12,000,000	555,000
Southeastern Regional Office (d)						
<b>Transportation</b>						
Levan Maintenance Complex	0	965,000 (c)	0	0	965,000	74,000
Roosevelt Complex Addition/Remodel	0	445,000 (c)	0	0	445,000	15,000
Echo Complex Addition/Remodel	0	710,000 (c)	0	0	710,000	15,000
Richfield District Warehouse	0	699,000	0	0	699,000	28,000
<b>Workforce Services</b>						
Cedar City Center Remodel/Addition	0	0	0	1,187,000 (a)	1,187,000	35,000
<b>TOTAL STATE FUNDED PROJECTS</b>	<b>\$86,180,000</b>	<b>\$3,946,000</b>	<b>\$6,000,000</b>	<b>\$23,923,000</b>	<b>\$120,049,000</b>	<b>\$908,000</b>
<b>NON-STATE FUNDED PROJECTS</b>						
<b>Corrections</b>						
Wasatch Family History Center	\$0	\$0	\$0	\$375,000	\$375,000	\$14,000
<b>Dixie College</b>						
Hurricane Education Center Addition	0	0	0	440,000	440,000	25,000
Gardner Student Center Expansion	0	0	0	1,500,000	1,500,000	0
<b>Salt Lake Community College</b>						
Cafeteria Remodel - Redwood Campus	0	0	0	6,000,000	6,000,000	0
Baseball Field	0	0	0	5,000,000	5,000,000	0
Automotive and Customer Srv. Training Bldg.	0	0	0	6,750,000	6,750,000	288,000
Auto Trades Building	0	0	0	6,750,000	6,750,000	288,000
<b>Southern Utah University</b>						
Utah Shakespearean Festival Centre	0	0	0	60,000,000	60,000,000	0
<b>University of Utah</b>						
Moran Eye Center II	0	0	0	38,700,000	38,700,000	660,000
Emma Eccles Jones Med Sci Addition	0	0	0	7,000,000	7,000,000	133,000
Huntsman Cancer Research Hospital	0	0	0	70,000,000	70,000,000	0
Utah Museum of Natural History	0	0	0	60,000,000	60,000,000	783,000
Marriot Library Phase I Renovation	0	0	0	13,200,000	13,200,000	0
<b>Utah State University</b>						
Health, Physical Ed. and Rec. Bldg. Expansion	0	0	0	3,500,000	3,500,000	90,000
Logan City Fire Station	0	0	0	1,000,000	1,000,000	0
<b>Utah Valley State College</b>						
Wasatch Campus Initial Building	0	0	0	7,000,000	7,000,000	287,000
<b>TOTAL NON-STATE FUNDED PROJECTS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$287,215,000</b>	<b>\$287,215,000</b>	<b>\$2,568,000</b>
<b>TOTAL - ALL SOURCES OF FUNDING</b>	<b>\$86,180,000</b>	<b>\$3,946,000</b>	<b>\$6,000,000</b>	<b>\$311,138,000</b>	<b>\$407,264,000</b>	<b>\$3,476,000</b>
(a) Funding provided by Special Administrative Expense Fund.						
(b) Funding provided by lease revenue bond.						
(c) The Department of Transportation provided the Building Board with new cost estimates for these projects in December 2000. Due to time constraints, these changes are not reflected in detail in the governor's budget recommendations.						
(d) The governor supports the lease purchase of a new Natural Resource regional office building in Price. The lease will be paid within existing budgets.						

**DEBT SERVICE**

## All Sources of Funding

Plan of Financing	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
General Fund	\$71,206,700	\$73,223,900	\$70,362,600	\$73,223,900	(\$29,594,100)	\$43,629,800
School Funds	20,152,500	20,152,500	20,152,500	20,152,500	4,518,100	24,670,600
Dedicated Credits	21,919,600	23,565,500	23,565,500	23,727,300	0	23,727,300
Transfers	45,628,600	41,104,400	44,186,000	41,104,400	41,553,100	82,657,500
Beginning Balances	8,123,600	8,757,200	8,757,200	5,051,200	0	5,051,200
Closing Balances	(8,757,200)	(5,051,200)	(5,051,200)	(5,051,200)	0	(5,051,200)
<b>Total Financing</b>	<b>\$158,273,800</b>	<b>\$161,752,300</b>	<b>\$161,972,600</b>	<b>\$158,208,100</b>	<b>\$16,477,100</b>	<b>\$174,685,200</b>
<b>Programs</b>						
G.O. Bond Principal	\$77,200,000	\$81,325,000	\$81,325,000	\$81,325,000	\$11,475,000	\$92,800,000
G.O. Bond Interest	56,917,700	56,045,000	56,272,700	52,366,400	4,986,300	57,352,700
G.O. Bond Fees	542,000	593,800	586,400	566,400	15,800	582,200
Revenue Bond Principal	11,068,500	11,668,400	11,668,400	12,423,300	0	12,423,300
Revenue Bond Interest	12,467,000	12,054,300	12,054,300	11,461,100	0	11,461,100
Revenue Bond Fees	78,600	65,800	65,800	65,900	0	65,900
<b>Total Budget</b>	<b>\$158,273,800</b>	<b>\$161,752,300</b>	<b>\$161,972,600</b>	<b>\$158,208,100</b>	<b>\$16,477,100</b>	<b>\$174,685,200</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>7.8%</b>



# State of Utah

## Operating and Capital Budgets by Department

- Table guides
- State of Utah organizational chart
- Department sections in alphabetical order by category
- Mission statement for each department reflecting its general purpose
- Purpose of department divisions and programs
- Selected goals reflecting what the department hopes to achieve through its programs
- Governor Leavitt's major budget recommendations
- Intent statements recommended by the governor for the FY 2001 supplemental appropriations act and the FY 2002 appropriations act
- Three-year comparison of operating and capital budgets. Principal and interest for prior year bond projects are shown in the Debt Service budget
- Detailed FY 2002 base adjustments, FY 2002 ongoing and one-time appropriations, and FY 2001 supplemental appropriations



## GUIDES TO OPERATING AND CAPITAL BUDGET TABLES

The departmental operating and capital budget tables show revenue and expenditures for the past fiscal year (FY 2000), authorized and recommended revenue and expenditures for the current fiscal year (FY 2001), and recommended revenue and expenditures for the budget fiscal year (FY 2002). The guide below illustrates how to read these tables.

	Actual FY 2000	Authorized FY 2001	Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>PUBLIC SAFETY</b>						
<b>Operating Budget</b>						
<b>Governor Leavitt's Recommendations</b>						
<b>Plan of Financing</b>						
General Fund	\$39,743,500	\$42,137,800	\$42,137,800	\$41,894,200	\$2,623,700	\$44,507,900
Transportation Fund	5,495,500	5,495,500	5,495,500	5,495,500	0	5,495,500
Federal	12,021,900	12,021,900	12,021,900	12,021,900	(744,000)	12,021,900
Interlocal	5,088,000	5,088,000	5,088,000	5,088,000	26,000	5,088,000
Interagency	23,679,500	23,679,500	23,679,500	23,679,500	1,373,200	23,679,500
Trust	1,555,100	1,555,100	1,555,100	1,555,100	\$1,400	1,555,100
Bev. Control	285,300	285,300	285,300	285,300	0	285,300
Closing Balances	(1,701,500)	(1,701,500)	(1,701,500)	(1,701,500)	0	0
Lapsing Funds	(2,308,300)	(2,308,300)	(2,308,300)	(2,308,300)	0	0
<b>Total FY 2000</b>	<b>\$82,928,200</b>	<b>\$91,325,000</b>	<b>\$91,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,632,800</b>
Highway Patrol	5,252,600	5,252,600	5,252,600	5,252,600	0	5,252,600
Management Information	9,382,800	9,382,800	9,382,800	9,382,800	0	9,382,800
Fire Marshal	143,400	143,400	143,400	143,400	0	143,400
Liquor Law Enforcement	2,794,700	2,794,700	2,794,700	2,794,700	0	2,794,700
Police	5,686,700	5,686,700	5,686,700	5,686,700	15,000	5,686,700
Public Safety Administration	15,062,900	15,062,900	15,062,900	15,062,900	15,000	15,062,900
Highway Patrol	5,475,000	37,066,600	37,066,600	37,066,600	0	37,066,600
Management Information	1,769,200	1,829,600	1,829,600	1,829,600	0	1,829,600
Fire Marshal	2,888,700	3,101,500	3,101,500	3,076,100	33,000	3,109,100
Liquor Law Enforcement	1,004,200	968,400	968,400	968,400	26,300	994,700
<b>Total Budget</b>			<b>1,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,632,800</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>-3.2%</b>
<b>FTE Positions</b>						
	--	1,097.8	1,097.8	1,097.8	(9.0)	1,088.8

# ONGOING, ONE-TIME, AND SUPPLEMENTAL TABLE GUIDES

These tables show in detail the governor's recommendations for base budget adjustments, ongoing program appropriations, one-time program increases, and supplemental appropriations. Ongoing program appropriations authorize expenditures on a recurring basis. One-time program increases are expenditures on a non-recurring or limited basis. Supplemental appropriations are adjustments to authorized expenditures in the current fiscal year only.

<b>HIGHER EDUCATION</b>		General Fund	School Funds	Other Funds	Total Funds
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2002 OPERATING BUDGET</b>					
<b>Beginning Base Budget</b>					
A1	FY 2001 appropriated budget				\$0
A2	Less one-time FY 2001 appropriations				0
<b>Total FY 2002 Utah System of Higher Education Base Budget</b>					
<b>Ongoing</b>					
A9	Brief title				0
<b>One-time Adjustments</b>					
A14	Brief title/description here	0	0	0	0
<b>Total FY 2002 Utah System of Higher Education Adjustments</b>					
<b>Total FY 2002 Utah System of Higher Education Operating Budget</b>					
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 OPERATING SUPPLEMENTALS</b>					
A19	Brief title/description here				
<b>Total FY 2001 Utah System of Higher Education Supplementals</b>					
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2002 CAPITAL BUDGET</b>					
<b>One-time Adjustments</b>					
A33	Brief title/description here				0
<b>Total FY 2002 USHE Capital Adjustments</b>					
<b>Total FY 2002 Utah System of Higher Education Capital Budget</b>					
		\$0	\$0	\$0	\$0
<b>UTAH EDUCATION NETWORK FY 2002 OPERATING BUDGET</b>					
<b>Beginning Base Budget</b>					
A43	FY 2001 appropriated budget	\$0			\$0
<b>Total FY 2002 Utah Education Network Base Budget</b>					
<b>Total FY 2002 Utah Education Network Adjustments</b>					
<b>Total FY 2002 Utah Education Network Operating Budget</b>					
		\$0			\$0
<b>HIGHER EDUCATION TOTALS</b>					
<b>FY 2002 Operating Base Budget</b>		\$0			\$0
<b>FY 2002 Operating Adjustments</b>		0			0
<b>FY 2002 Operating Recommendation</b>		0			0
<b>FY 2001 Operating Supplementals</b>		0	0	0	0
<b>FY 2002 Capital Base Budget</b>		0	0	0	0
<b>FY 2002 Capital Adjustments</b>		0	0	0	0
<b>FY 2002 Capital Recommendation</b>		0	0	0	0
<b>FY 2001 Capital Supplementals</b>		0	0	0	0

*These numbers are for ease in referencing.*

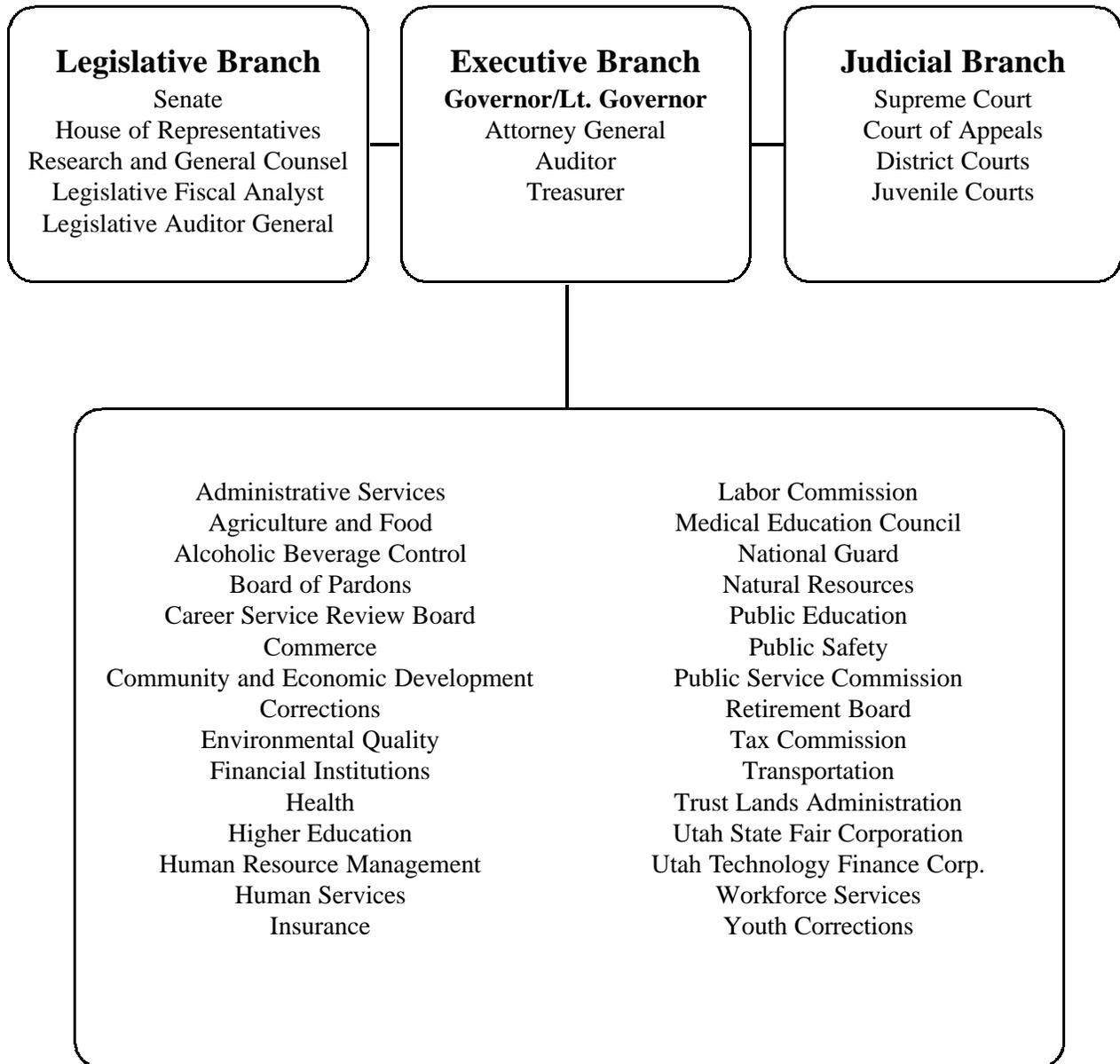
*Separate columns are provided for different funding sources. The "Total Funds" column represents combined funding from all sources.*

*Each agency has its own section for operating and capital base budget, ongoing, one-time, and supplemental adjustments. The total base budget, total adjustments, and total budget rows for each department match the base budget, adjustments, and total columns in the operating or capital tables.*

*Each item has a brief description.*

*The "Total" section combines base budget, adjustment, recommendation, and supplemental information for all agencies in a department section for easy comparison to operating and capital tables.*

# State of Utah Organizational Chart





## ADMINISTRATIVE SERVICES

*Administrative Services consists of the Department of Administrative Services and the Office of the Capitol Preservation Board. Their primary focus is to provide specialized agency support services, to eliminate unnecessary duplication of services within state government, and to preserve the State Capitol building and grounds.*

### PROGRAMS

- **Administrative Services**

**Executive Director** provides financial management, strategic planning, and organizational development for the department.

**Administrative Rules** administers rule making procedures and publishes and distributes administrative rules.

**Archives** administers records management programs and serves as the depository for official state records.

**Debt Collection** oversees collection of state receivables and provides training and technical assistance to state agencies on collection-related matters. This program is now completely funded by an internal service fund.

**Facilities Construction and Management** oversees construction and maintenance of facilities owned or occupied by the state.

**Finance** provides statewide accounting, processes payroll, and produces the state's annual financial report.

**Finance - Mandated - Judicial Conduct Commission** screens, investigates, and resolves complaints against Utah judges.

**Finance - Mandated - Other** provides accounting services for indigent defense programs, 800 megahertz conversion, and land conservation funding. Actuals include Year 2000 programming activities.

**Fleet Services** assists state fleets in management issues and operates the central fleet as an internal service fund. The appropriated budget

includes fleet capitalization funding.

**Fuel Mitigation** oversees replacement of state-owned underground fuel tanks and cleanup of state-owned fuel sites. This program has come to a conclusion.

**Information Technology Services (ITS)** provides data processing and telecommunication services to state agencies as an internal service fund. The appropriated budget includes geographic information services for public and private customers.

**Purchasing and General Services** procures and provides goods and services as well as management of contracts and services for state agencies.

- **Office of the Capitol Preservation Board** provides strategic planning and direction to the Capitol Preserva-

tion Board's efforts to renovate the State Capitol building and grounds. The office also oversees ongoing maintenance and preservation of all facilities on Capitol Hill.

**SELECTED DEPARTMENT GOALS**

**• Administrative Services**

Improve and expand inner-web and internet applications to better serve agency customers.

Implement an integrated information management system with complete building maintenance and project management information and accessibility via the Internet to electronic copies of key documents and digitized drawings.

Implement an electronic procurement system for use by state agencies and local governments.

Automate vehicle procurement process by accepting customer orders via the Internet.

**• Capitol Preservation Board**

Seismically upgrade and preserve the Utah State Capitol to make it a safe building for occupants.

Provide, through the renovation of the State Capitol,

effective and efficient use of building space and services.

Maintain the historical and architectural integrity of the original building design.

*A comprehensive list of goals can be found in the Utah Tomorrow Strategic Plan, 2000 Report, page 44. A more extensive list of performance measures can be found in the Utah Tomorrow Strategic Plan, 2000 Report – Appendix, pages 49 and 86.*

**MAJOR BUDGET RECOMMENDATIONS**

- Replace \$300,000 one-time funding with ongoing General Fund for the Automated Geographic Reference Center.
- Authorize ITS to spend up to \$500,000 ongoing General Fund for the Chief Information Officer's Information Technology (IT) Innovation Program to fund IT projects critical to Utah becoming a digital state.
- Authorize ITS to spend up to \$435,400 supplemental General Fund on equipment for the Utah Telehealth Network.
- Authorize ITS to spend up to \$2,027,900 one-time and \$428,800 ongoing General Fund for 800 megahertz

equipment and operating costs.

- Replace \$179,400 ongoing General Fund with internal service fund receipts for Debt Collection.
- Create a separate line item for amounts appropriated under the Post-Conviction Remedies Act.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**• Administrative Services**

Funds for the Executive Director's Office are nonlapsing.

Funds for the Division of Administrative Rules are nonlapsing.

Funds for the Division of Archives are nonlapsing.

Funds for the Division of Facilities Construction and Management are nonlapsing.

Funds for Post-Conviction Indigent Defense are nonlapsing.

Funds for the Division of Purchasing and General Services are nonlapsing.

**• Capitol Preservation Board**

Funds for the Office of the Capitol Preservation Board are nonlapsing.

**FY 2002 PROPOSED  
LEGISLATIVE INTENT**

- **Administrative Services**

Funds for the Executive Director’s Office are nonlapsing.

Funds for the Division of Administrative Rules are nonlapsing.

Funds for the Division of Archives are nonlapsing.

Funds for the Division of Facilities Construction and Management are nonlapsing.

Funds for the Division of Finance are nonlapsing and are to be used toward statewide accounting systems.

Funds for the Judicial Conduct Commission are nonlapsing.

Funds for Post-Conviction Indigent Defense are nonlapsing.

Funds for the Division of Purchasing and General Services are nonlapsing.

The Office of State Debt Collection shall pass on to the debtor all reasonable costs of collection including attorney fees, all legal costs, and all administrative costs unless inappropriate or prohibited by law.

Administrative Services internal service funds may add FTEs beyond the authorized

level if it represents a benefit to the state and a decrease of FTEs in the user agency. Total FTEs within state government shall not change with any shift of FTEs.

The Division of Facilities Construction and Management’s internal service fund may add FTEs beyond the authorized level if new facilities come on line or maintenance agreements are requested. Any added FTEs will be reviewed and approved by the legislature at the next legislative session.

- **Capitol Preservation Board**

Funds for the Office of the Capitol Preservation Board are nonlapsing.

**ADMINISTRATIVE SERVICES**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			Total FY 2002
			Recommended FY 2001	Base FY 2002	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$23,295,100	\$26,062,500	\$26,399,300	\$23,598,900	\$3,695,800	\$27,294,700
Transportation Fund	450,000	450,000	450,000	450,000	0	450,000
Dedicated Credits	1,814,900	1,680,200	1,682,800	1,707,900	18,600	1,726,500
Restricted and Trust Funds	1,657,400	2,682,300	2,682,300	1,486,300	0	1,486,300
Transfers	(6,571,600)	(9,358,800)	(9,358,800)	(6,801,700)	(283,100)	(7,084,800)
Beginning Balances	8,483,700	3,033,800	3,033,800	1,027,200	0	1,027,200
Closing Balances	(3,033,800)	(1,027,200)	(1,027,200)	(186,000)	0	(186,000)
Lapsing Funds	(146,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$25,948,900</b>	<b>\$23,522,800</b>	<b>\$23,862,200</b>	<b>\$21,282,600</b>	<b>\$3,431,300</b>	<b>\$24,713,900</b>
<b>Programs</b>						
<b>Administrative Services</b>						
Executive Director	\$746,100	\$943,300	\$943,100	\$923,700	\$38,200	\$961,900
Fuel Mitigation	445,300	3,800	3,800	0	0	0
Administrative Rules	275,000	386,700	386,700	270,300	12,100	282,400
Archives	1,867,600	1,947,300	1,947,600	1,958,400	73,900	2,032,300
Debt Collection	170,800	0	0	179,400	(179,400)	0
DFCM						
Administration	3,033,200	3,096,000	3,095,000	3,083,600	139,700	3,223,300
Facilities Management	446,300	414,800	414,800	432,800	5,300	438,100
Finance						
Administration	9,200,000	10,197,300	10,103,400	9,968,000	304,700	10,272,700
Mandated - Judicial Conduct Com.	225,600	254,400	254,200	231,800	10,300	242,100
Mandated - Other	5,903,400	2,313,300	2,748,700	197,500	2,956,700	3,154,200
Purchasing	1,274,100	1,447,200	1,446,200	1,399,700	62,300	1,462,000
<i>Subtotal Administrative Services</i>	<i>23,587,400</i>	<i>21,004,100</i>	<i>21,343,500</i>	<i>18,645,200</i>	<i>3,423,800</i>	<i>22,069,000</i>
<b>Capitol Preservation Board</b>						
Administration	2,361,500	2,518,700	2,518,700	2,637,400	7,500	2,644,900
<b>Total Budget</b>	<b>\$25,948,900</b>	<b>\$23,522,800</b>	<b>\$23,862,200</b>	<b>\$21,282,600</b>	<b>\$3,431,300</b>	<b>\$24,713,900</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>3.6%</b>
FTE Positions	--	206.9	204.9	204.7	(2.0)	202.7

**ADMINISTRATIVE SERVICES****Capital Budget**

	<b>Governor Leavitt's Recommendations</b>						
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>	<b>FY 2002 Bond</b>
<b>Plan of Financing</b>							
General Fund	\$34,058,300	\$47,068,800	\$47,068,800	\$22,291,400	\$8,337,600	\$30,629,000	\$0
School Funds	3,682,100	0	0	23,984,000	1,854,000	25,838,000	0
Transportation Fund	0	0	0	1,046,000	81,000	1,127,000	0
Federal Funds	6,437,500	4,144,800	4,144,800	0	6,000,000	6,000,000	0
FY 2002 Revenue Bond	0	0	0	0	0	0	22,736,000
Other Funds	300,000	2,055,700	10,120,700	0	1,187,000	1,187,000	0
<b>Total Financing</b>	<b>\$44,477,900</b>	<b>\$53,269,300</b>	<b>\$61,334,300</b>	<b>\$47,321,400</b>	<b>\$17,459,600</b>	<b>\$64,781,000</b>	<b>\$22,736,000</b>
<b>Projects</b>							
Statewide Capital Improvements	\$33,558,000	\$36,753,000	\$36,753,000	\$36,753,000	\$2,841,000	\$39,594,000	\$0
Ongoing Funds Used for One-time projects	0	0	0	10,568,400	(10,568,400)	0	0
Statewide Capital Planning	50,000	2,086,500	2,086,500	0	0	0	0
Youth Corrections - Richfield	4,432,400	0	0	0	0	0	0
Corrections - Draper 300 Beds	3,662,500	0	0	0	0	0	0
Vernal Courts	2,775,000	0	0	0	0	0	0
Corrections - Privatized Transition Center	0	2,777,800	2,777,800	0	0	0	0
Youth Corrections - St. George Expansion	0	1,300,000	1,300,000	0	0	0	0
Courts - Logan Property/Design	0	2,000,000	2,000,000	0	0	0	0
State Hospital - Rampton Phase II	0	5,700,000	5,700,000	0	0	0	0
DNR - Bear Lake Campground	0	2,195,000	2,195,000	0	0	0	0
Heber Valley Railroad - Depot	0	260,000	260,000	0	0	0	0
Workforce Services - Logan Property	0	197,000	197,000	0	0	0	0
Capitol Preservation Design and Fees	0	0	0	0	4,500,000	4,500,000	0
Corrections - Oxbow Women's Facility	0	0	0	0	19,500,000	19,500,000	0
DWS - Cedar City Center Remodel/Addition	0	0	0	0	1,187,000	1,187,000	0
ABC - Main Warehouse Expansion	0	0	0	0	0	0	8,281,000
ABC - South Valley Liquor Store	0	0	0	0	0	0	1,498,000
ABC - Magna Liquor Store Replacement	0	0	0	0	0	0	957,000
DNR - Soldier Hollow Golf Course	0	0	0	0	0	0	12,000,000
National Guard - Camp Williams Armory	0	0	8,065,000	0	0	0	0
<b>Total Budget</b>	<b>\$44,477,900</b>	<b>\$53,269,300</b>	<b>\$61,334,300</b>	<b>\$47,321,400</b>	<b>\$17,459,600</b>	<b>\$64,781,000</b>	<b>\$22,736,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>5.6%</b>	
<p><i>Capital facility projects (except for Higher Education, Public Education, and Transportation) are included in the Administrative Services budget because they are administered by the Division of Facilities Construction and Management, a division of the Department of Administrative Services. For FY 2002, the governor has restored on a one-time basis the \$13.4 million base reduction approved by the legislature in the 2000 General Session. The governor has transferred \$6.0 million of this to Higher Education for capital projects, bringing total one-time recommended General Fund and school funds for FY 2002 to \$7.4 million. For FY 2002, the governor increased the ongoing Capital Facilities budget by \$2.8 million for capital improvement funds mandated by statute, resulting in an ongoing budget for General Fund and school funds of \$49.1 million. For FY 2002, the governor is using \$1.1 million of ongoing Transportation Fund for its pro-rata portion of capital improvements mandated by statute. The Transportation Fund is intended only to be used for improvements to transportation buildings.</i></p>							

**ADMINISTRATIVE SERVICES**

	General Fund	School Funds	Transportation Fund	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADMINISTRATIVE SERVICES FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
A1	FY 2001 appropriated budget	\$0	\$450,000	\$1,444,400	\$2,682,300	(\$7,746,900)	\$20,605,200
A2	Less one-time FY 2001 appropriations		0	0	(1,196,000)	1,196,000	(1,926,500)
A3	800 megahertz allocation		0	0	0	0	(534,900)
A4	Adjustments in non-state funding levels		0	17,200	0	592,800	610,000
	<i>Subtotal Beginning Base Budget - Administrative Services</i>		<i>450,000</i>	<i>1,461,600</i>	<i>1,486,300</i>	<i>(5,958,100)</i>	<i>18,753,800</i>
<b>Base Adjustments</b>							
A5	Internal service fund adjustments		0	0	0	0	(129,300)
A6	Market comparability adjustments		0	4,300	0	1,600	113,800
A7	Retirement rate adjustments		0	(5,800)	0	(7,600)	(286,400)
A8	Insurance benefit adjustments		0	4,400	0	3,600	193,300
	<i>Subtotal Base Adjustments - Administrative Services</i>		<i>0</i>	<i>2,900</i>	<i>0</i>	<i>(2,400)</i>	<i>(108,600)</i>
	<b>Total FY 2002 Administrative Services Base Budget</b>	<b>0</b>	<b>450,000</b>	<b>1,464,500</b>	<b>1,486,300</b>	<b>(5,960,500)</b>	<b>18,645,200</b>
<b>Ongoing Adjustments</b>							
A9	AGRC Data Integration		0	0	0	(300,000)	0
A10	Shift General Fund to 100% ISF funding		0	0	0	0	(179,400)
A11	Postal rate increase		0	5,200	0	0	14,500
A12	800 megahertz radio service		0	0	0	0	428,800
A13	IT Innovation Program		0	0	0	0	500,000
A14	Compensation package		0	12,700	0	16,900	632,000
	<i>Subtotal Ongoing Adjustments - Administrative Services</i>		<i>0</i>	<i>17,900</i>	<i>0</i>	<i>(283,100)</i>	<i>1,395,900</i>
<b>One-time Adjustments</b>							
A15	800 megahertz equipment		0	0	0	0	2,027,900
	<i>Subtotal One-time Adjustments - Administrative Services</i>		<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>2,027,900</i>
	<b>Total FY 2002 Administrative Services Adjustments</b>	<b>0</b>	<b>0</b>	<b>17,900</b>	<b>0</b>	<b>(283,100)</b>	<b>3,423,800</b>
	<b>Total FY 2002 Administrative Services Operating Budget</b>	<b>\$0</b>	<b>\$450,000</b>	<b>\$1,482,400</b>	<b>\$1,486,300</b>	<b>(\$6,243,600)</b>	<b>\$22,069,000</b>
<b>ADMINISTRATIVE SERVICES FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
A16	Internal service fund adjustments		\$0	\$0	\$0	\$0	(\$103,300)
A17	IT Innovation Program/Utah Telehealth Network		0	0	0	0	435,400
A18	Postal rate increase		0	2,600	0	0	7,300
	<b>Total FY 2001 Administrative Services Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$339,400</b>

**ADMINISTRATIVE SERVICES - CONTINUED**

	General Fund	School Funds	Transportation Fund	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADMINISTRATIVE SERVICES FY 2002 CAPITAL BUDGET</b>							
<b>Beginning Base Budget</b>							
A19	FY 2001 appropriated budget	\$0	\$0	\$0	\$0	\$6,200,500	\$6,200,500
A20	Less one-time FY 2001 appropriations	(13,400,000)	0	0	0	0	(13,400,000)
A21	Shift capital budget in other depts. back to Capital Facilities	1,836,500	11,816,100	0	0	0	13,652,600
A22	Adjustments in non-state funding levels	0	0	0	0	(6,200,500)	(6,200,500)
	<i>Subtotal Beginning Base Budget - Capital</i>	<i>11,816,100</i>	<i>11,816,100</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>47,321,400</i>
<b>Base Adjustments</b>							
A23	Shift in funding sources based upon replacement value of assets	12,167,900	1,046,000	0	0	0	0
	<i>Subtotal Base Adjustments - Capital</i>	<i>12,167,900</i>	<i>1,046,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<b>Total FY 2002 Administrative Services Capital Base Budget</b>	<b>23,984,000</b>	<b>1,046,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47,321,400</b>
<b>Ongoing Adjustments</b>							
A24	Increase in capital improvement funds mandated by statute	906,000	1,854,000	81,000	0	0	2,841,000
	<i>Subtotal Ongoing Adjustments - Capital</i>	<i>906,000</i>	<i>1,854,000</i>	<i>81,000</i>	<i>0</i>	<i>0</i>	<i>2,841,000</i>
<b>One-time Adjustments</b>							
A25	Ongoing funding applied to one-time projects	(10,568,400)	0	0	0	0	(10,568,400)
A26	Capital Preservation Design & Fees	4,500,000	0	0	0	0	4,500,000
A27	Corrections - Oxbow Women's Facility	13,500,000	0	0	0	6,000,000	19,500,000
A28	Workforce Services - Cedar City Center Remodel/Addition	0	0	0	1,187,000	0	1,187,000
	<i>Subtotal One-time Adjustments - Capital</i>	<i>7,431,600</i>	<i>0</i>	<i>0</i>	<i>1,187,000</i>	<i>6,000,000</i>	<i>14,618,600</i>
	<b>Total FY 2002 Administrative Services Capital Adjustments</b>	<b>8,337,600</b>	<b>81,000</b>	<b>0</b>	<b>1,187,000</b>	<b>6,000,000</b>	<b>17,459,600</b>
	<b>Total FY 2002 Administrative Services Capital Budget</b>	<b>\$30,629,000</b>	<b>\$25,838,000</b>	<b>\$1,127,000</b>	<b>\$0</b>	<b>\$6,000,000</b>	<b>\$64,781,000</b>
<b>ADMINISTRATIVE SERVICES FY 2001 CAPITAL BUDGET SUPPLEMENTALS</b>							
A29	National Guard - Camp Williams Armory	\$0	\$0	\$0	\$0	\$8,065,000	\$8,065,000
	<b>Total FY 2001 Administrative Services Capital Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$8,065,000</b>	<b>\$8,065,000</b>
<b>CAPITOL PRESERVATION BOARD FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
A30	FY 2001 appropriated budget	\$2,287,100	\$0	\$0	\$228,200	\$0	\$2,515,300
A31	Adjustments in non-state funding levels	0	0	0	3,400	0	3,400
	<i>Subtotal Beginning Base Budget - Capitol Preserv. Board</i>	<i>2,287,100</i>	<i>0</i>	<i>0</i>	<i>231,600</i>	<i>0</i>	<i>2,518,700</i>

**ADMINISTRATIVE SERVICES - CONTINUED**

	General Fund	School Funds	Transportation Fund	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
A32 Internal service fund adjustments	108,000	0	0	11,900	0	0	119,900
A33 Retirement rate adjustments	(3,200)	0	0	(300)	0	0	(3,500)
A34 Insurance benefit adjustments	2,100	0	0	200	0	0	2,300
<i>Subtotal Base Adjustments - Capital Preserv. Board</i>	<i>106,900</i>	<i>0</i>	<i>0</i>	<i>11,800</i>	<i>0</i>	<i>0</i>	<i>118,700</i>
<b>Total FY 2002 Capital Preservation Board Base Budget</b>	<b>2,394,000</b>	<b>0</b>	<b>0</b>	<b>243,400</b>	<b>0</b>	<b>0</b>	<b>2,637,400</b>
<b>Ongoing Adjustments</b>							
A35 Compensation package	6,800	0	0	700	0	0	7,500
<i>Subtotal Ongoing Adjustments - Capital Preserv. Board</i>	<i>6,800</i>	<i>0</i>	<i>0</i>	<i>700</i>	<i>0</i>	<i>0</i>	<i>7,500</i>
<b>Total FY 2002 Capital Preservation Board Adjustments</b>	<b>6,800</b>	<b>0</b>	<b>0</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>7,500</b>
<b>Total FY 2002 Capital Preservation Board Operating Budget</b>	<b>\$2,400,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$244,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,644,900</b>

**ADMINISTRATIVE SERVICES TOTALS**

FY 2002 Operating Base Budget	\$23,598,900	\$0	\$450,000	\$1,707,900	\$1,486,300	(\$5,960,500)	\$21,282,600
FY 2002 Operating Adjustments	3,695,800	0	0	18,600	0	(283,100)	3,431,300
FY 2002 Operating Recommendation	27,294,700	0	450,000	1,726,500	1,486,300	(6,243,600)	24,713,900
FY 2001 Operating Supplementals	336,800	0	0	2,600	0	0	339,400
FY 2002 Capital Base Budget	22,291,400	23,984,000	1,046,000	0	0	0	47,321,400
FY 2002 Capital Adjustments	8,337,600	1,854,000	81,000	0	1,187,000	6,000,000	17,459,600
FY 2002 Capital Recommendation	30,629,000	25,838,000	1,127,000	0	1,187,000	6,000,000	64,781,000
FY 2001 Capital Supplementals	0	0	0	0	0	8,065,000	8,065,000



## COMMERCE AND REVENUE

*Commerce and Revenue consists of departments that encourage employment, provide temporary assistance, and maintain an appropriate balance between business development and regulation.*

### DEPARTMENTS

- **Alcoholic Beverage Control** regulates the sale and use of alcoholic beverages in Utah.
- **Commerce** administers state laws regulating professional occupations and business practices.
- **Financial Institutions** examines and regulates all state-chartered financial institutions.
- **Insurance** regulates insurance companies and individual agents to assure equitable and competitive business practices.
- **Labor Commission** provides regulatory and educational services in the areas of worker's compensation, workplace safety, and discrimination.
- **Public Service Commission** sets utility service rates and regulates public utility companies.

- **Tax Commission** collects revenue for state and local governments and administers tax and related motor vehicle laws.
- **Workforce Services** provides coordinated employment-related and temporary public assistance and training services for workers, employers, and communities.

### SELECTED DEPARTMENT GOALS

- **Commerce**  
Protect the public by ensuring fair commercial and professional practices.
- **Financial Institutions**  
Promote the availability of sound financial services by chartering, regulating, and supervising financial institutions under its jurisdiction.
- **Insurance**  
Protect the public by reasonably and fairly regulating the

insurance industry, fostering a competitive insurance market, monitoring and promoting the solvency of insurance companies, and detecting and prosecuting insurance fraud.

- **Labor Commission**

Assure a safe, healthful, fair, nondiscriminatory work environment; assure fair housing practices; and promote the general welfare of Utah's employees and employers without needless interference.

- **Public Service Commission**

Strengthen Utah's free enterprise system by providing a reasonable regulatory environment that protects the citizens of Utah.

- **Tax Commission**

Effectively communicate and build working relationships with all customers and deliver quality products and services.

- **Workforce Services**

Provide quality, accessible, and comprehensive employment-related and supportive services responsive to the needs of employers, job seekers, and the community.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 25-28, and 43. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 38-46, 52, and 85.*

**MAJOR BUDGET RECOMMENDATIONS**

- **Commerce**

Appropriate \$113,700 in ongoing restricted funds and \$39,000 in ongoing dedicated credits to fund the Mortgage Practices Act that passed in the 2000 General Session. Fund \$67,000 in ongoing restricted funds for a fraud investigator in the Division of Securities and \$117,000 in ongoing restricted funds for three office technicians in the Division of Corporations.

- **Financial Institutions**

Fund \$729,600 in ongoing and \$103,000 in one-time restricted funds for 10 new financial examiners and related costs.

- **Insurance**

Appropriate \$27,500 in ongoing General Fund for

the COSMOS software licensing fee, \$51,700 in ongoing dedicated credits for a fraud investigator, and \$83,100 in ongoing General Fund for an actuary.

- **Labor Commission**

Provide \$42,200 in General Fund and \$42,300 in trust funds for a computer programmer, and \$100,000 supplemental and \$190,000 in ongoing restricted funds for a Workplace Safety marketing campaign.

- **Public Service Commission**

Fund a coordinator for a hearing impaired awareness campaign with \$60,000 dedicated credits from the Speech and Hearing Impaired Fund.

- **Tax Commission**

Appropriate \$272,000 in ongoing state funds for computer maintenance fees. Fund increased postage volume and rates, increased Motor Vehicle branch office lease costs, and an IRS electronic match system with \$243,600 ongoing state funds. Increase liquor profit distribution to local governments by \$1,741,000 in ongoing General Fund.

- **Workforce Services**

Utilize excess federal funds for one-time programs in FY 2001 and FY 2002 that help Temporary Assistance for Needy Families (TANF) eligible families work towards self-sufficiency.

This includes \$4,746,000 for training for TANF recipients, \$5,200,000 for computer enhancements and employee training within Workforce Services, \$2,697,000 for family stabilization services, \$770,000 for child care quality services, \$700,000 for employment services, \$1,500,000 for housing services, \$1,235,000 for transportation services, and \$1,375,000 for youth programs. This is shown as an increase in base federal funds for both FY 2001 and FY 2002.

- **Alcoholic Beverage Control**

Provide a 6.0 percent funding adjustment for type III packaging agencies with \$67,100 restricted funds.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Commerce**

Unexpended year-end balances will be carried forward for the completion of the Licensing Enforcement System, other computer related expenses, and the Mortgage Broker Practices Act that passed in the 2000 General Session.

**Insurance**

Unexpended year-end balances will be carried forward for the purchase of hardware, software, and training to develop and implement e-commerce.

**Tax Commission**

The Tax Commission will carry forward unexpended year-end balances for costs directly related to the modernization of the tax and motor vehicle systems and business processes.

**FY 2002 PROPOSED  
LEGISLATIVE INTENT**
**Commerce**

Unexpended year-end balances will be carried forward for the completion of the Licensing Enforcement System, other computer related expenses, and the Mortgage Broker Practices Act that passed in the 2000 General Session.

Unused Committee of Consumer Services funds will be transferred to the committee's Professional and Technical Services fund and are nonlapsing up to \$500,000.

Funds for the Division of Public Utilities - Professional and Technical Services are nonlapsing up to \$500,000.

Funds for Real Estate Education are nonlapsing.

**Insurance**

Funds for the Comprehensive Health Insurance Pool (HIP) are nonlapsing.

Unexpended year-end balances will be carried forward

for the purchase of hardware, software, and training to develop and implement e-commerce.

Funds for Bail Bond Surety are nonlapsing.

Funds for the Title Insurance Program are nonlapsing.

**Labor Commission**

Fees collected from sponsoring and holding seminars are nonlapsing.

Funds remaining at the end of the fiscal year are nonlapsing for the purchase of computer equipment.

**Public Service Commission**

Funds for Administration are nonlapsing.

Funds for Research and Analysis are nonlapsing.

Funds for Speech and Hearing Impaired are nonlapsing.

Funds for Universal Public Telecommunications Service Support are nonlapsing.

**Tax Commission**

The Tax Commission will carry forward unexpended year-end balances for costs directly related to the modernization of the tax and motor vehicle systems and business processes.

Funds for License Plate Production are nonlapsing.

Funds for Liquor Profit Distribution are nonlapsing.

The Tax Commission will outsource all accounts over 24 months old that are not in litigation, under a payment agreement, assigned to a collector for active collection, or whose outsourcing would be in violation of state or federal law. The Tax Commission shall report the results of its outsourcing to the Office of Debt Collection.

The Tax Commission will track additional revenues resulting from tax modernization efforts and report the results quarterly to the Office of the Legislative Fiscal Analyst, the Governor's Office of Planning and Budget, and the Division of Finance.

**Workforce Services**

Funds appropriated to Workforce Services are nonlapsing.

The Division of Facilities Construction and Management is authorized to use up to \$1,187,000 from the Special Administrative Expense Fund as established in Section 35A-4-506 UCA to enter into one or more contracts on behalf of the Department of Workforce Services to build an addition to the Cedar City Employment Center located at 176 East 200 North in Cedar City.

**COMMERCE AND REVENUE**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$89,432,300	\$92,902,200	\$92,904,300	\$93,159,600	\$4,924,500	\$98,084,100
School Funds	16,238,300	16,558,300	16,590,400	16,684,300	975,600	17,659,900
Transportation Fund	4,857,400	4,857,400	4,857,400	4,857,400	0	4,857,400
Federal Funds	186,218,400	201,667,200	201,527,800	191,992,200	3,184,500	195,176,700
Dedicated Credits	18,377,100	17,914,700	17,911,100	17,880,800	339,600	18,220,400
Restricted and Trust Funds	46,889,900	46,899,300	47,314,200	47,585,800	3,765,800	51,351,600
Transfers	4,786,000	3,786,500	3,776,500	3,751,500	94,900	3,846,400
Other Funds	0	0	0	6,100	0	6,100
Pass-through Funds	17,100	22,300	22,300	22,300	0	22,300
Beginning Balances	32,803,000	39,896,000	39,896,000	32,745,700	0	32,745,700
Closing Balances	(39,896,000)	(32,745,700)	(32,745,700)	(28,786,300)	0	(28,786,300)
Lapsing Funds	(1,554,900)	(1,618,900)	(1,618,900)	(10,700)	0	(10,700)
<b>Total Financing</b>	<b>\$358,168,600</b>	<b>\$390,139,300</b>	<b>\$390,435,400</b>	<b>\$379,888,700</b>	<b>\$13,284,900</b>	<b>\$393,173,600</b>
<b>Programs</b>						
Alcoholic Beverage Control	\$14,192,200	\$14,955,200	\$15,284,600	\$14,701,600	\$1,352,100	\$16,053,700
Commerce	14,412,500	16,853,000	16,835,900	15,952,000	979,800	16,931,800
Financial Institutions	2,592,700	2,907,800	2,907,800	2,984,300	962,300	3,946,600
Insurance	5,028,800	5,574,000	5,574,000	5,158,500	397,600	5,556,100
Health Insurance Pool	5,632,400	7,753,400	7,753,400	8,633,700	0	8,633,700
Labor Commission	8,049,100	8,804,100	8,921,400	8,824,000	638,400	9,462,400
Public Service Commission	1,378,400	1,507,200	1,557,200	1,492,400	126,200	1,618,600
Hearing Impaired Fund	1,183,800	1,689,800	1,689,800	1,818,800	0	1,818,800
Universal Trust Fund <sup>(1)</sup>	5,221,900	6,342,900	6,342,900	7,539,300	0	7,539,300
Tax Commission	57,161,700	62,943,200	62,961,900	61,718,300	4,324,400	66,042,700
Workforce Services	243,315,100	260,808,700	260,606,500	251,065,800	4,504,100	255,569,900
<b>Total Budget</b>	<b>\$358,168,600</b>	<b>\$390,139,300</b>	<b>\$390,435,400</b>	<b>\$379,888,700</b>	<b>\$13,284,900</b>	<b>\$393,173,600</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>0.7%</b>
FTE Positions	--	3,491.7	3,497.7	3,491.7	24.0	3,515.7
 <i>(1) The Lifeline Services Trust Fund has been consolidated with the Universal Trust Fund pursuant to a proposed rule change. These funds have been consolidated for all three years for comparison purposes.</i>						

**COMMERCE AND REVENUE**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ALCOHOLIC BEVERAGE CONTROL FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B1	FY 2001 appropriated budget	\$0	\$0	\$0	\$14,952,000	\$0	\$14,952,000
B2	Less one-time FY 2001 appropriations	0	0	0	(421,000)	0	(421,000)
	<i>Subtotal Beginning Base Budget - Alcoholic Beverage Control</i>	0	0	0	14,531,000	0	14,531,000
<b>Base Adjustments</b>							
B3	Market comparability adjustments	0	0	0	163,500	0	163,500
B4	Retirement rate adjustments	0	0	0	(185,600)	0	(185,600)
B5	Insurance benefit adjustments	0	0	0	192,700	0	192,700
	<i>Subtotal Base Adjustments - Alcoholic Beverage Control</i>	0	0	0	170,600	0	170,600
	<b>Total FY 2002 Alcoholic Beverage Control Base Budget</b>	0	0	0	14,701,600	0	14,701,600
<b>Ongoing Adjustments</b>							
B6	Bond payments	0	0	0	182,700	0	182,700
B7	DFCM leased store maintenance	0	0	0	49,000	0	49,000
B8	Sales clerks	0	0	0	151,900	0	151,900
B9	Funding adjustment for package agencies (6%)	0	0	0	67,100	0	67,100
B10	Package agency caseload increase	0	0	0	83,900	0	83,900
B11	Compensation package	0	0	0	481,500	0	481,500
	<i>Subtotal Ongoing Adjustments - Alcoholic Beverage Control</i>	0	0	0	1,016,100	0	1,016,100
<b>One-time Adjustments</b>							
B12	Warehouse equipment	0	0	0	86,000	0	86,000
B13	Consulting services - e-commerce initiative	0	0	0	230,000	0	230,000
B14	Fire suppressant system	0	0	0	20,000	0	20,000
	<i>Subtotal One-time Adjustments - Alcoholic Beverage Control</i>	0	0	0	336,000	0	336,000
	<b>Total FY 2002 Alcoholic Beverage Control Adjustments</b>	0	0	0	1,352,100	0	1,352,100
	<b>Total FY 2002 Alcoholic Beverage Control Operating Budget</b>	\$0	\$0	\$0	\$16,053,700	\$0	\$16,053,700
<b>ALCOHOLIC BEVERAGE CONTROL FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
B15	Bond payments	\$0	\$0	\$0	\$182,700	\$0	\$182,700
B16	DFCM leased store maintenance	0	0	0	49,000	0	49,000
B17	Sales clerks	0	0	0	76,000	0	76,000
B18	Package agency caseload increase	0	0	0	21,700	0	21,700
	<b>Total FY 2001 Alcoholic Beverage Control Supplementals</b>	\$0	\$0	\$0	\$329,400	\$0	\$329,400



**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
B34	0	0	0	0	94,300	0	94,300
							(58,800)
B35	0	0	0	0	(58,800)	0	(58,800)
B36	0	0	0	0	41,000	0	41,000
	0	0	0	0	76,500	0	76,500
	<i>Subtotal Base Adjustments - Financial Institutions</i>						
	0	0	0	0	2,984,300	0	2,984,300
<b>Total FY 2002 Financial Institutions Base Budget</b>							
<b>Ongoing Adjustments</b>							
B37	0	0	0	0	729,600	0	729,600
B38	0	0	0	0	129,700	0	129,700
	0	0	0	0	859,300	0	859,300
	<i>Subtotal Ongoing Adjustments - Financial Institutions</i>						
<b>One-time Adjustments</b>							
B39	0	0	0	0	89,000	0	89,000
B40	0	0	0	0	14,000	0	14,000
	0	0	0	0	103,000	0	103,000
	<i>Subtotal One-time Adjustments - Financial Institutions</i>						
	0	0	0	0	962,300	0	962,300
	<b>Total FY 2002 Financial Institutions Adjustments</b>						
	\$0	\$0	\$0	\$0	\$3,946,600	\$0	\$3,946,600
<b>Total FY 2002 Financial Institutions Operating Budget</b>							
<b>INSURANCE FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B41	\$4,078,200	\$0	\$0	\$884,300	\$15,000	\$180,800	\$5,158,300
B42	(7,000)	0	0	0	0	0	(7,000)
B43	0	0	0	117,000	0	(163,700)	(46,700)
	4,071,200	0	0	1,001,300	15,000	17,100	5,104,600
	<i>Subtotal Beginning Base Budget - Insurance</i>						
<b>Base Adjustments</b>							
B44	67,800	0	0	0	8,900	0	76,700
B45	(87,000)	0	0	(12,800)	0	0	(99,800)
B46	68,300	0	0	8,700	0	0	77,000
	49,100	0	0	(4,100)	8,900	0	53,900
	<i>Subtotal Base Adjustments - Insurance</i>						
	4,120,300	0	0	997,200	23,900	17,100	5,158,500
	<b>Total FY 2002 Insurance Base Budget</b>						

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Ongoing Adjustments</b>							
B47	27,500	0	0	0	0	0	27,500
B48	0	0	0	51,700	0	0	51,700
B49	83,100	0	0	0	0	0	83,100
B50	13,100	0	0	1,900	0	0	15,000
B51	192,100	0	0	28,200	0	0	220,300
	<i>315,800</i>	<i>0</i>	<i>0</i>	<i>81,800</i>	<i>0</i>	<i>0</i>	<i>397,600</i>
	<b>315,800</b>	<b>0</b>	<b>0</b>	<b>81,800</b>	<b>0</b>	<b>0</b>	<b>397,600</b>
<b>Total FY 2002 Insurance Operating Budget</b>	<b>\$4,436,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,079,000</b>	<b>\$23,900</b>	<b>\$17,100</b>	<b>\$5,556,100</b>
<b>LABOR COMMISSION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B32	\$5,031,800	\$0	\$2,184,500	\$0	\$1,576,400	\$0	\$8,792,700
B33	0	0	118,900	0	(102,500)	0	16,400
	<i>5,031,800</i>	<i>0</i>	<i>2,303,400</i>	<i>0</i>	<i>1,473,900</i>	<i>0</i>	<i>8,809,100</i>
	<b>5,031,800</b>	<b>0</b>	<b>2,303,400</b>	<b>0</b>	<b>1,473,900</b>	<b>0</b>	<b>8,809,100</b>
<b>Base Adjustments</b>							
B54	(6,300)	0	(2,100)	0	(1,000)	0	(9,400)
B55	42,200	0	20,600	0	3,500	0	66,300
B56	(124,800)	0	(26,500)	0	(11,200)	0	(162,500)
B57	90,700	0	20,500	0	9,300	0	120,500
	<i>1,800</i>	<i>0</i>	<i>12,500</i>	<i>0</i>	<i>600</i>	<i>0</i>	<i>14,900</i>
	<b>5,033,600</b>	<b>0</b>	<b>2,315,900</b>	<b>0</b>	<b>1,474,500</b>	<b>0</b>	<b>8,824,000</b>
<b>Total FY 2002 Labor Commission Base Budget</b>	<b>\$5,033,600</b>	<b>\$0</b>	<b>2,315,900</b>	<b>\$0</b>	<b>1,474,500</b>	<b>\$0</b>	<b>8,824,000</b>
<b>Ongoing Adjustments</b>							
B58	42,200	0	0	0	42,300	0	84,500
B59	0	0	0	0	190,000	0	190,000
B60	2,000	0	0	0	0	0	2,000
B61	278,300	0	59,100	0	24,500	0	361,900
	<i>322,500</i>	<i>0</i>	<i>59,100</i>	<i>0</i>	<i>256,800</i>	<i>0</i>	<i>638,400</i>
	<b>322,500</b>	<b>0</b>	<b>59,100</b>	<b>0</b>	<b>256,800</b>	<b>0</b>	<b>638,400</b>
<b>Total FY 2002 Labor Commission Operating Budget</b>	<b>\$5,356,100</b>	<b>\$0</b>	<b>\$2,375,000</b>	<b>\$0</b>	<b>\$1,731,300</b>	<b>\$0</b>	<b>\$9,462,400</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>LABOR COMMISSION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
B62	Internal service fund adjustments (\$6,000)	\$0	(\$2,000)	\$0	(\$800)	\$0	(\$8,800)
B63	Marketing campaign for Workplace Safety 0	0	0	0	100,000	0	100,000
B64	Purchase two motor pool cars 25,000	0	0	0	0	0	25,000
B65	Postal rate increase 1,100	0	0	0	0	0	1,100
<b>Total FY 2001 Labor Commission Supplementals</b>	<b>\$20,100</b>	<b>\$0</b>	<b>(\$2,000)</b>	<b>\$0</b>	<b>\$99,200</b>	<b>\$0</b>	<b>\$117,300</b>
<b>PUBLIC SERVICE COMMISSION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B66	FY 2001 appropriated budget \$1,442,300	\$0	\$0	\$62,800	\$0	\$0	\$1,505,100
B67	Adjustments in non-state funding levels 0	0	0	2,200	0	0	2,200
	<i>Subtotal Beginning Base Budget - Public Service Commission</i>	<i>1,442,300</i>	<i>0</i>	<i>65,000</i>	<i>0</i>	<i>0</i>	<i>1,507,300</i>
<b>Base Adjustments</b>							
B68	Market comparability adjustments 1,100	0	0	0	0	0	1,100
B69	Retirement rate adjustments (30,800)	0	0	0	0	0	(30,800)
B70	Insurance benefit adjustments 14,800	0	0	0	0	0	14,800
	<i>Subtotal Base Adjustments - Public Service Commission</i>	<i>(14,900)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(14,900)</i>
<b>Total FY 2002 Public Service Commission Base Budget</b>	<b>1,427,400</b>	<b>0</b>	<b>0</b>	<b>65,000</b>	<b>0</b>	<b>0</b>	<b>1,492,400</b>
<b>Ongoing Adjustments</b>							
B71	Coordinator for hearing impaired awareness campaign 0	0	0	60,000	0	0	60,000
B72	Compensation package 66,200	0	0	0	0	0	66,200
	<i>Subtotal Ongoing Adjustments - Public Service Commission</i>	<i>66,200</i>	<i>0</i>	<i>60,000</i>	<i>0</i>	<i>0</i>	<i>126,200</i>
<b>Total FY 2002 Public Service Commission Adjustments</b>	<b>66,200</b>	<b>0</b>	<b>0</b>	<b>60,000</b>	<b>0</b>	<b>0</b>	<b>126,200</b>
<b>Total FY 2002 Public Service Commission Operating Budget</b>	<b>\$1,493,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$125,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,618,600</b>
<b>PUBLIC SERVICE COMMISSION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
B73	Restore laps ed funding \$50,000	\$0	\$0	\$0	\$0	\$0	\$50,000
<b>Total FY 2001 Public Service Commission Supplementals</b>	<b>\$50,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$50,000</b>

COMMERCE AND REVENUE - CONTINUED

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TAX COMMISSION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B74	FY 2001 appropriated budget	\$16,558,300	\$516,200	\$6,518,300	\$6,003,600	\$5,667,800	\$59,215,500
B75	Less one-time FY 2001 appropriations	0	0	(9,000)	(150,000)	1,500,000	1,341,000
B76	800 megahertz allocation	8,200	0	0	0	0	8,200
B77	Adjustments in non-state funding levels	0	91,000	(104,000)	0	753,500	740,500
	<i>Subtotal Beginning Base Budget - Tax Commission</i>	<i>23,959,300</i>	<i>16,558,300</i>	<i>6,405,300</i>	<i>5,853,600</i>	<i>7,921,300</i>	<i>61,305,200</i>
<b>Base Adjustments</b>							
B78	Internal service fund adjustments	(25,100)	24,500	0	(500)	2,500	1,400
B79	Market comparability adjustments	312,300	163,600	0	15,200	69,800	560,900
B80	Retirement rate adjustments	(471,200)	(318,200)	0	(16,000)	(115,900)	(921,300)
B81	Insurance benefit adjustments	396,600	256,100	0	18,200	101,200	772,100
	<i>Subtotal Base Adjustments - Tax Commission</i>	<i>212,600</i>	<i>126,000</i>	<i>0</i>	<i>16,900</i>	<i>57,600</i>	<i>413,100</i>
	<b>Total FY 2002 Tax Commission Base Budget</b>	<b>24,172,100</b>	<b>16,684,300</b>	<b>6,072,200</b>	<b>6,422,200</b>	<b>7,921,300</b>	<b>61,718,300</b>
<b>Ongoing Adjustments</b>							
B82	CACSG operating costs	136,000	136,000	0	0	0	272,000
B83	Postage and handling volume and rate increases	34,300	34,300	0	0	0	68,600
B84	Rentals - Motor Vehicle branch offices	75,700	0	0	0	0	75,700
B85	Federal refund to offset state liabilities	0	29,300	0	0	0	29,300
B86	STAKS - IRS electronic match of data	0	70,000	0	0	0	70,000
B87	Liquor profit distribution to local governments	1,741,000	0	0	0	0	1,741,000
B88	Compensation package	1,068,300	706,000	0	35,400	258,100	2,067,800
	<i>Subtotal Ongoing Adjustments - Tax Commission</i>	<i>3,055,300</i>	<i>975,600</i>	<i>0</i>	<i>35,400</i>	<i>258,100</i>	<i>4,324,400</i>
	<b>Total FY 2002 Tax Commission Adjustments</b>	<b>3,055,300</b>	<b>975,600</b>	<b>0</b>	<b>35,400</b>	<b>258,100</b>	<b>4,324,400</b>
	<b>Total FY 2002 Tax Commission Operating Budget</b>	<b>\$27,227,400</b>	<b>\$17,659,900</b>	<b>\$6,072,200</b>	<b>\$6,457,600</b>	<b>\$7,921,300</b>	<b>\$66,042,700</b>
<b>TAX COMMISSION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
B89	Internal service fund adjustments	(\$26,000)	\$22,300	\$0	(\$400)	\$3,200	(\$900)
B90	Postal rate increase	9,800	9,800	0	0	0	19,600
	<b>Total FY 2001 Tax Commission Supplementals</b>	<b>(\$16,200)</b>	<b>\$35,100</b>	<b>\$0</b>	<b>(\$400)</b>	<b>\$3,200</b>	<b>\$18,700</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>WORKFORCE SERVICES FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B91	\$55,263,600	\$0	\$193,300,000	\$2,778,600	\$0	\$3,366,200	\$254,708,400
B92	0	0	(4,488,300)	596,000	0	122,700	(3,769,600)
	<i>Subtotal Beginning Base Budget - Workforce Services</i>	<i>0</i>	<i>188,811,700</i>	<i>3,374,600</i>	<i>0</i>	<i>3,488,900</i>	<i>250,938,800</i>
<b>Base Adjustments</b>							
B93	(44,200)	0	(124,500)	(2,300)	0	(9,700)	(180,700)
B94	92,200	0	301,300	11,700	0	6,100	411,300
B95	(503,100)	0	(1,281,100)	(47,400)	0	(40,400)	(1,872,000)
B96	462,700	0	1,223,300	48,000	0	34,400	1,768,400
	<i>Subtotal Base Adjustments - Workforce Services</i>	<i>7,600</i>	<i>119,000</i>	<i>10,000</i>	<i>0</i>	<i>(9,600)</i>	<i>127,000</i>
	<b>Total FY 2002 Workforce Services Base Budget</b>	<b>0</b>	<b>188,930,700</b>	<b>3,384,600</b>	<b>0</b>	<b>3,479,300</b>	<b>251,065,800</b>
<b>Ongoing Adjustments</b>							
B97	1,164,700	0	3,125,400	119,100	0	94,900	4,504,100
	<i>Subtotal Ongoing Adjustments - Workforce Services</i>	<i>1,164,700</i>	<i>3,125,400</i>	<i>119,100</i>	<i>0</i>	<i>94,900</i>	<i>4,504,100</i>
	<b>Total FY 2002 Workforce Services Adjustments</b>	<b>0</b>	<b>3,125,400</b>	<b>119,100</b>	<b>0</b>	<b>94,900</b>	<b>4,504,100</b>
	<b>Total FY 2002 Workforce Services Operating Budget</b>	<b>\$56,435,900</b>	<b>\$192,056,100</b>	<b>\$3,503,700</b>	<b>\$0</b>	<b>\$3,574,200</b>	<b>\$255,569,900</b>
<b>WORKFORCE SERVICES FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
B98	(\$51,800)	\$0	(\$137,400)	(\$3,000)	\$0	(\$10,000)	(\$202,200)
	<b>Total FY 2001 Workforce Services Supplementals</b>	<b>(\$51,800)</b>	<b>(\$137,400)</b>	<b>(\$3,000)</b>	<b>\$0</b>	<b>(\$10,000)</b>	<b>(\$202,200)</b>
<b>COMPREHENSIVE HEALTH INSURANCE POOL FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B99	\$3,135,000	\$0	\$0	\$4,672,000	\$0	(\$56,800)	\$7,750,200
B100	0	0	0	372,000	0	511,500	883,500
	<i>Subtotal Beginning Base Budget - Comp. Health Ins. Pool</i>	<i>3,135,000</i>	<i>0</i>	<i>5,044,000</i>	<i>0</i>	<i>454,700</i>	<i>8,633,700</i>
	<b>Total FY 2002 Comp. Health Insurance Pool Base Budget</b>	<b>3,135,000</b>	<b>0</b>	<b>5,044,000</b>	<b>0</b>	<b>454,700</b>	<b>8,633,700</b>
	<b>Total FY 2002 Comp. Health Insurance Pool Operating Budget</b>	<b>\$3,135,000</b>	<b>\$0</b>	<b>\$5,044,000</b>	<b>\$0</b>	<b>\$454,700</b>	<b>\$8,633,700</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>UNIVERSAL TELECOMMUNICATIONS SERVICE SUPPORT FUND FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B101 FY 2001 appropriated budget	\$0	\$0	\$0	\$0	\$6,671,700	(\$227,900)	\$6,443,800
B102 Adjustments in non-state funding levels	0	0	0	0	401,500	694,000	1,095,500
<b>Total FY 2002 Universal Telecommunications Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7,073,200</b>	<b>466,100</b>	<b>7,539,300</b>
<b>Total FY 2002 Universal Telecommunications Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$7,073,200</b>	<b>\$466,100</b>	<b>\$7,539,300</b>
<b>SPEECH AND HEARING IMPAIRED FUND FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B103 FY 2001 appropriated budget	\$0	\$0	\$0	\$2,514,300	\$0	(\$206,800)	\$2,307,500
B104 Adjustments in non-state funding levels	0	0	0	(895,000)	0	406,300	(488,700)
<b>Total FY 2002 Speech and Hearing Impaired Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,619,300</b>	<b>0</b>	<b>199,500</b>	<b>1,818,800</b>
<b>Total FY 2002 Speech and Hearing Impaired Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,619,300</b>	<b>\$0</b>	<b>\$199,500</b>	<b>\$1,818,800</b>
<b>COMMERCE AND REVENUE TOTALS</b>							
FY 2002 Operating Base Budget	\$93,159,600	\$16,684,300	\$191,992,200	\$17,880,800	\$47,585,800	\$12,586,000	\$379,888,700
FY 2002 Operating Adjustments	4,924,500	975,600	3,184,500	339,600	3,765,800	94,900	13,284,900
FY 2002 Operating Recommendation	98,084,100	17,659,900	195,176,700	18,220,400	51,351,600	12,680,900	393,173,600
FY 2001 Operating Supplementals	2,100	32,100	(139,400)	(3,600)	414,900	(10,000)	296,100



## CORRECTIONS (ADULT AND YOUTH)

Mission: *Protect the public, provide adult offenders with skills training to enhance their prospects for success after release, and provide rehabilitation programs for young offenders.*

### PROGRAMS

- **Adult Corrections**

**Administration** provides administrative support for each division.

**Field Operations** supervises offenders on probation or parole in a community setting.

**Institutional Operations** directs prison operations at Draper, Gunnison, and Iron County facilities, and oversees state inmates housed at county jails.

**Clinical Services** ensures proper medical and mental health treatment for inmates.

**Jail Programs** reimburses counties by statutory formula for offenders sentenced up to one year in county jail as a condition of probation or for housing state inmates in county jails.

- **Board of Pardons and Parole**, a state constitutional board, determines parole revocations and prison releases.

- **Youth Corrections**

**Administration/Case Management/Parole** provides administrative support and assigns case managers/parole officers to provide supervision and individual treatment for juvenile offenders.

**Community-based Alternatives** provides supervision and treatment for juvenile offenders through contracts with private providers.

**Out-of-State Placement** provides funding to place juvenile offenders in programs outside of the state.

**Alternatives to Institutional Care** includes work camps, day and night reporting centers, observation and

assessment centers, and other innovative alternatives outside of institutional care.

**Institutional Care** directs operations in long-term secure care facilities, detention centers, and multi-purpose facilities.

**Receiving Centers** offer local law enforcement a one-stop location to deliver youth for nondetainable or status offenses.

**Youth Parole Authority**, a citizen board, determines release of juvenile offenders from long-term secure facilities and conditions of their parole.

### SELECTED DEPARTMENT GOALS

- **Board of Pardons and Parole**

Expand the hearing process to include a procedure for the Drug Court Hearing program.

Replace obsolete computer programs with the new adult corrections offender tracking system.

• **Adult Corrections**

Manage offenders in the most cost-effective way.

Have safe and secure prisons while helping offenders lead crime-free lives.

Improve the compensation and training of staff in order to carry out the mission of the department in the most cost-effective way.

• **Youth Corrections**

Stress accountability by expanding opportunities for juvenile offenders to pay or work for restitution to victims.

Increase competency development by providing educational and vocational opportunities for youth in the custody of the state.

Provide for public safety in the community by appropriate placement of youth in programs that are based on their individual needs for security and treatment.

Enable all staff to complete 100 percent of the mandatory training requirements as defined in their individual job classification.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, page 48. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 91-93.*

**MAJOR BUDGET RECOMMENDATIONS**

**Adult Corrections**

- Add 1,195 beds for adult offenders. This includes 288 beds at Gunnison, 552 beds at Oxbow, plus 355 beds in county jails.
- Purchase and renovate the Salt Lake County Oxbow Jail at a cost of \$19,500,000, including \$13,500,000 in General Fund and \$6,000,000 in federal VOITIS funds.
- Provide \$5,016,700 in General Fund for the full-year costs of the 288-bed addition at Gunnison scheduled to open in July 2001.
- Increase ongoing General Fund for Jail Programs by \$10,335,700. In addition, provide \$4,497,800 General Fund as a supplemental. This funding allows for an increase in capacity of 355 adult offenders in county jails and implements provisions of House Bill 118, *Sentencing of Convicted Felons*, which was enacted during the 1999 General Session.

- Recommend \$586,000 in General Fund to allow medical staff in the Department of Corrections to become POST certified. This will increase the safety of medical staff and will implement recommendations of the November 1998 performance audit conducted by the legislative auditor general.
- Add \$470,000 in General Fund for five clinical staff to provide increased opportunity for treatment of sex offenders at the Draper prison site. In addition, a portion of the funds will be used to conduct a pilot project in Salt Lake City to measure the effectiveness of using the Global Positioning System (GPS). This system will provide the ability to track an offender's location at all times. This recommendation is supported by the legislative task force on Sexual Victims and Offenders.
- Increase \$193,000 in General Fund for three data processing staff to provide ongoing support and maintenance for the new offender tracking system developed for the department.
- Provide \$327,600 in General Fund to add five additional probation and parole agents to supervise felony offenders in the community.
- Increase General Fund \$850,000 for medical

expenses for inmates at the Draper prison site.

- Fund a \$966,000 General Fund supplemental to correct a shortage for compensation that occurred during the 2000 General Session.

**Board of Pardons and Parole**

- Include \$35,000 from the General Fund for mandatory retirement costs for board staff.
- Fund a \$16,000 General Fund supplemental to correct a shortage for compensation that occurred during the 2000 General Session.

**Youth Corrections**

- Add 26 beds for juvenile offenders at the St. George Youth Crisis Center.
- Provide \$3,004,400 in General Fund to adequately budget for an increase in the number of juvenile offenders being placed in community programs. In addition, provide a \$1,083,500 General Fund supplemental to assist with increased growth in community placements in the current fiscal year.
- Provide \$2,117,800 in General Fund to cover increased federal match rates

for Medicaid and to replace federal funds in programs such as out-of-state placements, rural detention, electronic monitoring, and day/night reporting centers.

- Include \$868,000 in ongoing General Fund and \$1,438,300 in General Fund supplemental to offset the loss of Medicaid revenue in community placements.
- Provide \$891,900 in General Fund for a 3.0 percent cost-of-living adjustment for private providers and facilities under contract with Youth Corrections.
- Include \$500,000 in one-time General Fund for state match on Youth Correction construction projects.
- Increase ongoing General Fund by \$1,213,800 for youth facilities in Richfield and St. George. Funding for Richfield provides the remainder of the resources needed for full-year operation while the first four months funding is provided for the St. George facility.
- Fund a \$229,000 General Fund supplemental to correct a shortage for compensation that occurred during the 2000 General Session.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Adult Corrections - Administration**

Funds for Administration are nonlapsing.

**Adult Corrections - Field Operations**

Funds for Field Operations are nonlapsing.

**Adult Corrections - Institutional Operations**

Funds for Institutional Operations are nonlapsing.

**Adult Corrections - Draper Medical Services**

Funds for Draper Medical Services are nonlapsing.

**Adult Corrections - Jail Programs**

Funds for Jail Programs are nonlapsing.

**Board of Pardons and Parole**

Funds for the Board of Pardons and Parole are nonlapsing.

**Youth Corrections**

Funds for Youth Corrections are nonlapsing.

**CORRECTIONS (ADULT AND YOUTH)**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$225,668,800	\$240,954,400	\$249,085,000	\$241,579,600	\$34,344,000	\$275,923,600
Federal Funds	4,885,800	4,491,000	4,491,000	4,197,200	21,300	4,218,500
Dedicated Credits	5,602,900	5,799,000	5,799,000	5,843,200	0	5,843,200
Restricted and Trust Funds	500,000	659,100	659,100	659,100	0	659,100
Transfers	23,107,100	16,843,200	16,843,200	14,844,400	(1,382,900)	13,461,500
Beginning Balances	7,730,700	4,224,900	4,224,900	0	0	0
Closing Balances	(4,224,900)	0	0	0	0	0
Lapsing Funds	(10,600)	0	0	0	0	0
<b>Total Financing</b>	<b>\$263,259,800</b>	<b>\$272,971,600</b>	<b>\$281,102,200</b>	<b>\$267,123,500</b>	<b>\$32,982,400</b>	<b>\$300,105,900</b>
<b>Programs</b>						
<b>Adult Corrections</b>						
Administration	\$9,027,000	\$8,762,800	\$9,712,100	\$8,441,600	\$540,500	\$8,982,100
Field Operations	35,176,400	39,148,400	39,038,000	37,314,100	1,771,700	39,085,800
Institutional Operations	91,374,800	92,472,100	92,427,700	90,795,400	9,493,200	100,288,600
Clinical Services	16,242,800	17,633,100	17,630,600	17,655,900	2,121,700	19,777,600
Forensic Services	190,000	190,000	190,000	0	0	0
Jail Programs	21,344,600	22,465,800	26,959,900	22,461,800	9,514,200	31,976,000
<i>Subtotal Adult Corrections</i>	<i>173,355,600</i>	<i>180,672,200</i>	<i>185,958,300</i>	<i>176,668,800</i>	<i>23,441,300</i>	<i>200,110,100</i>
<b>Board of Pardons</b>						
Board of Pardons Operations	\$2,447,600	\$2,749,900	\$2,760,600	\$2,582,900	\$143,400	\$2,726,300
<i>Subtotal Board of Pardons</i>	<i>2,447,600</i>	<i>2,749,900</i>	<i>2,760,600</i>	<i>2,582,900</i>	<i>143,400</i>	<i>2,726,300</i>
<b>Youth Corrections</b>						
Admin./Case Management/Parole	\$11,375,400	\$9,090,500	\$9,297,100	\$9,075,500	\$534,500	\$9,610,000
Community-based Alternatives	26,629,700	27,156,000	29,802,700	25,418,500	5,360,800	30,779,300
Out-of-State Placement	3,900,500	3,398,700	3,398,700	2,398,700	0	2,398,700
Alternatives to Institutional Care	14,129,000	14,587,600	14,583,400	14,524,500	437,600	14,962,100
Institutional Care	28,649,600	32,501,800	32,487,700	33,642,300	2,968,000	36,610,300
Receiving Centers	2,550,900	2,565,100	2,563,900	2,562,500	88,600	2,651,100
Youth Parole Authority	221,500	249,800	249,800	249,800	8,200	258,000
<i>Subtotal Youth Corrections</i>	<i>87,456,600</i>	<i>89,549,500</i>	<i>92,383,300</i>	<i>87,871,800</i>	<i>9,397,700</i>	<i>97,269,500</i>
<b>Total Budget</b>	<b>\$263,259,800</b>	<b>\$272,971,600</b>	<b>\$281,102,200</b>	<b>\$267,123,500</b>	<b>\$32,982,400</b>	<b>\$300,105,900</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>6.8%</b>
FTE Positions	--	3,168.9	3,168.9	3,168.9	99.5	3,268.4

**CORRECTIONS (ADULT AND YOUTH)**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADULT CORRECTIONS FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C1	\$169,663,800	\$0	\$2,308,300	\$4,638,000	\$81,700	\$2,154,600	\$178,846,400
C2	369,400	0	0	0	0	0	369,400
C3	966,000	0	0	0	0	0	966,000
C4	(190,000)	0	0	0	0	0	(190,000)
C5	0	0	27,200	(1,194,400)	0	(1,405,100)	(2,572,300)
	<i>Subtotal Beginning Base Budget - Adult Corrections</i>	<i>0</i>	<i>2,335,500</i>	<i>3,443,600</i>	<i>81,700</i>	<i>749,500</i>	<i>177,419,500</i>
<b>Base Adjustments</b>							
C6	(348,100)	0	0	0	0	0	(348,100)
C7	337,400	0	0	0	0	0	337,400
C8	(2,787,100)	0	0	0	0	0	(2,787,100)
C9	2,047,100	0	0	0	0	0	2,047,100
	<i>Subtotal Base Adjustments - Adult Corrections</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(750,700)</i>
	<b>170,058,500</b>	<b>0</b>	<b>2,335,500</b>	<b>3,443,600</b>	<b>81,700</b>	<b>749,500</b>	<b>176,668,800</b>
<b>Ongoing Adjustments</b>							
C10	193,000	0	0	0	0	0	193,000
C11	327,600	0	0	0	0	0	327,600
C12	5,016,700	0	0	0	0	0	5,016,700
C13	470,000	0	0	0	0	0	470,000
C14	350,000	0	0	0	0	0	350,000
C15	500,000	0	0	0	0	0	500,000
C16	586,000	0	0	0	0	0	586,000
C17	10,335,700	0	0	0	0	0	10,335,700
C18	6,000	0	0	0	0	0	6,000
C19	10,200	0	0	0	0	0	10,200
C20	5,646,100	0	0	0	0	0	5,646,100
	<i>Subtotal Ongoing Adjustments - Adult Corrections</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>23,441,300</i>
	<b>23,441,300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>23,441,300</b>
<b>Total FY 2002 Adult Corrections Operating Budget</b>							
	<b>\$193,499,800</b>	<b>\$0</b>	<b>\$2,335,500</b>	<b>\$3,443,600</b>	<b>\$81,700</b>	<b>\$749,500</b>	<b>\$200,110,100</b>

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADULT CORRECTIONS FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
C21	Internal service fund adjustments (\$180,500)	\$0	\$0	\$0	\$0	\$0	(\$180,500)
C22	Postal rate increase 2,800	0	0	0	0	0	2,800
C23	Compensation funding shortfall 966,000	0	0	0	0	0	966,000
C24	Jail Program funding shortfall 4,497,800	0	0	0	0	0	4,497,800
<b>Total FY 2001 Adult Corrections Supplementals</b>	<b>\$5,286,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,286,100</b>
<b>BOARD OF PARDONS AND PAROLE FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C25	FY 2001 appropriated budget \$2,504,400	\$0	\$0	\$2,000	\$77,400	\$145,100	\$2,728,900
C26	Add FY 2001 compensation package to base 16,000	0	0	0	0	0	16,000
C27	Adjustments in non-state funding levels 0	0	0	200	0	(145,100)	(144,900)
	<i>Subtotal Beginning Base Budget - Bd. of Pardons and Parole</i>	<i>2,520,400</i>	<i>0</i>	<i>2,200</i>	<i>77,400</i>	<i>0</i>	<i>2,600,000</i>
<b>Base Adjustments</b>							
C28	Internal service fund adjustments (6,400)	0	0	0	0	0	(6,400)
C29	Market comparability adjustments 11,300	0	0	0	0	0	11,300
C30	Retirement rate adjustments (51,400)	0	0	0	0	0	(51,400)
C31	Insurance benefit adjustments 28,400	0	0	0	0	0	28,400
	<i>Subtotal Base Adjustments - Board of Pardons and Parole</i>	<i>(17,100)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(17,100)</i>
<b>Total FY 2002 Board of Pardons and Parole Base Budget</b>	<b>2,503,300</b>	<b>0</b>	<b>0</b>	<b>2,200</b>	<b>77,400</b>	<b>0</b>	<b>2,582,900</b>
<b>Ongoing Adjustments</b>							
C32	Public safety retirement mandate 35,000	0	0	0	0	0	35,000
C33	Postal rate increase 100	0	0	0	0	0	100
C34	Compensation increase for ISF billings 600	0	0	0	0	0	600
C35	Compensation package 107,700	0	0	0	0	0	107,700
	<i>Subtotal Ongoing Adjustments - Board of Pardons and Parole</i>	<i>143,400</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>143,400</i>
<b>Total FY 2002 Board of Pardons and Parole Adjustments</b>	<b>143,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>143,400</b>
<b>Total FY 2002 Board of Pardons and Parole Operating Budget</b>	<b>\$2,646,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,200</b>	<b>\$77,400</b>	<b>\$0</b>	<b>\$2,726,300</b>

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>BOARD OF PARDONS AND PAROLE FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
C36	Internal service fund adjustments	\$0	\$0	\$0	\$0	\$0	(\$5,300)
C37	Compensation funding shortfall	16,000	0	0	0	0	16,000
<b>Total FY 2001 Board of Pardons and Parole Supplementals</b>	<b>\$10,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,700</b>
<b>YOUTH CORRECTIONS FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C38	FY 2001 appropriated budget	\$0	\$1,580,200	\$2,745,400	\$500,000	\$14,966,700	\$88,578,500
C39	800 megahertz allocation	2,200	0	0	0	0	2,200
C40	Add FY 2001 compensation package to base	229,000	0	0	0	0	229,000
C41	Transfer to Human Services	(25,000)	0	0	0	0	(25,000)
C42	Adjustments in non-state funding levels	0	282,300	(348,000)	0	(869,400)	(935,100)
	<i>Subtotal Beginning Base Budget - Youth Corrections</i>	<i>68,992,400</i>	<i>1,862,500</i>	<i>2,397,400</i>	<i>500,000</i>	<i>14,097,300</i>	<i>87,849,600</i>
<b>Base Adjustments</b>							
C43	Internal service fund adjustments	(49,900)	0	0	0	0	(49,900)
C44	Market comparability adjustments	76,000	0	0	0	0	76,000
C45	Retirement rate adjustments	(798,800)	0	(9,300)	0	(20,300)	(828,400)
C46	Insurance benefit adjustments	798,100	0	8,500	0	17,500	824,500
	<i>Subtotal Base Adjustments - Youth Corrections</i>	<i>25,400</i>	<i>0</i>	<i>(800)</i>	<i>0</i>	<i>(2,400)</i>	<i>22,200</i>
<b>Total FY 2002 Youth Corrections Base Budget</b>	<b>69,017,800</b>	<b>0</b>	<b>1,861,700</b>	<b>2,397,400</b>	<b>500,000</b>	<b>14,094,900</b>	<b>87,871,800</b>
<b>Ongoing Adjustments</b>							
C47	Community alternatives	3,004,800	0	0	0	689,500	3,693,900
C48	Central Utah youth facility (Richfield) - 32 beds	910,000	0	0	0	0	910,000
C49	Washington County youth crisis center (St. George) - 26 beds	303,800	0	0	0	0	303,800
C50	Federal match rate change	202,800	0	0	0	(202,800)	0
C51	Day/night reporting and electronic monitoring	415,000	0	0	0	0	415,000
C52	Out-of-state placements	1,100,000	0	0	0	(1,100,000)	0
C53	Rural home detention and electronic monitoring	400,000	0	0	0	(400,000)	0
C54	Privatized facilities COLA	201,000	0	0	0	0	201,000
C55	Case management	196,800	0	0	0	0	196,800

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
C56	690,900	0	0	0	0	0	690,900
C57	868,000	0	0	0	0	0	868,000
C58	1,400	0	0	0	0	0	1,400
C59	1,857,200	0	21,300	0	0	45,400	1,923,900
	<i>Subtotal Ongoing Adjustments - Youth Corrections</i>	<i>10,151,300</i>	<i>21,300</i>	<i>0</i>	<i>0</i>	<i>(1,382,900)</i>	<i>8,789,700</i>
<b>One-time Adjustments</b>							
C60	108,000	0	0	0	0	0	108,000
C61	500,000	0	0	0	0	0	500,000
	<i>Subtotal One-time Adjustments - Youth Corrections</i>	<i>608,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>608,000</i>
	<b>Total FY 2002 Youth Corrections Adjustments</b>	<b>10,759,300</b>	<b>21,300</b>	<b>0</b>	<b>0</b>	<b>(1,382,900)</b>	<b>9,397,700</b>
	<b>Total FY 2002 Youth Corrections Operating Budget</b>	<b>\$79,777,100</b>	<b>\$1,883,900</b>	<b>\$2,397,400</b>	<b>\$500,000</b>	<b>\$12,712,000</b>	<b>\$97,269,500</b>
<b>YOUTH CORRECTIONS FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
C62	(843,700)	\$0	\$0	\$0	\$0	\$0	(\$843,700)
C63	229,000	0	0	0	0	0	229,000
C64	1,083,500	0	0	0	0	0	1,083,500
C65	126,000	0	0	0	0	0	126,000
C66	1,438,300	0	0	0	0	0	1,438,300
C67	700	0	0	0	0	0	700
	<b>Total FY 2001 Youth Corrections Supplementals</b>	<b>\$2,833,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,833,800</b>
<b>CORRECTIONS TOTALS</b>							
	\$241,579,600	\$0	\$4,197,200	\$5,843,200	\$659,100	\$14,844,400	\$267,123,500
	34,344,000	0	21,300	0	0	(1,382,900)	32,982,400
	275,923,600	0	4,218,500	5,843,200	659,100	13,461,500	300,105,900
	8,130,600	0	0	0	0	0	8,130,600



# COURTS

Mission: *Ensure equity and fairness for all Utah citizens through judicial review of laws and cases.*

## PROGRAMS

- **Supreme Court** serves as the court of last resort for the state court system.
- **Law Library** provides public and judicial access to legal documents.
- **Court of Appeals** hears cases assigned by the state supreme court or as defined in statute.
- **Administration** serves as judicial council staff and provides administrative support for judges.
- **Federal Grants** administers federal grants received by state courts.
- **Judicial Education** provides education and training opportunities for judges.
- **Contracts/Leases** administers facility lease arrangements.
- **Justice Courts** provide administrative support to local justice courts.
- **Data Processing** coordinates data processing needs for all state courts.
- **Trial Courts** serve as the state court of general jurisdiction.
- **Court Security** contracts with local governments for courtroom security.
- **Juvenile Court** renders decisions for youth under age 18 in cases of child abuse, neglect, termination of parental rights, and delinquent actions.
- **Guardian ad Litem** provides proper attorney representation for children involved in court proceedings.
- **Grand Jury** provides funding for grand juries as well as prosecution expenses in grand jury cases.

- **Juror/Witness/Interpreter Fees** provides payment to jurors, witnesses, expert witnesses, and interpreters.

## SELECTED COURTS GOALS

- Adopt an organizational culture that promotes meaningful participation and cooperation and places decision making at the most appropriate level.
- Establish and maintain a court structure that incorporates an appropriate number of court levels, minimizes concurrent jurisdiction, and provides for flexibility in the deployment of resources.
- Obtain and effectively manage resources to accomplish the mission of the courts.
- Strengthen and maintain public confidence in the judiciary.

- Preserve the independence of the judicial branch from improper influence of the executive and legislative branches at federal, state, and local levels while recognizing the interdependence of all three branches and fostering open, healthy relationships.
- Provide an ongoing process for planning for the needs of the judiciary.
- Ensure that the judicial system is accessible to all citizens of the state.
- Develop a comprehensive educational process to assist judges and staff to fulfill the mission of the judiciary.

*A comprehensive list of goals can be found in the Utah Tomorrow Strategic Plan, 2000 Report, pages 45 and 47. A more extensive list of performance measures can be found in the Utah Tomorrow Strategic Plan, 2000 Report – Appendix, pages 87, 90, and 91.*

**MAJOR BUDGET RECOMMENDATIONS**

- Provide additional General Fund of \$994,900 for increased lease and utility costs associated with court facilities.
- Increase General Fund by \$499,100 for the Guardian ad

Litem program to allow the courts to provide adequate representation to children in cases of abuse, dependency, and neglect.

- Allocate an additional \$448,300 General Fund to expand drug courts.
- Provide General Fund appropriations of \$174,000 to add three data processing staff to assist the courts in the maintenance and operation of the new juvenile justice information system.
- Add \$88,500 General Fund to assist in the implementation of recommendations from the Utah Judicial Council’s Task Force on Racial and Ethnic Fairness in the Legal System. These additional resources will provide funds for a project coordinator as well as diversity training in the criminal and juvenile justice system.
- Provide \$231,700 in General Fund to hire three additional law clerks to assist district court judges in the preparation and review of cases.

- Add \$993,200 in General Fund to the judiciary’s base budget to allow for market comparability salary adjustments for court personnel.
- Provide a General Fund supplemental appropriation of

\$1,169,400 for costs associated with the juror/witness/interpreter program.

- Fund a \$424,600 General Fund supplemental to correct a shortage for compensation that occurred during the 2000 General Session.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Judicial Council — Court Administrator — Administration**

Funds for the Administration program are nonlapsing.

**Judicial Council — Court Administrator — Contracts and Leases**

Funds for the Contracts and Leases program are nonlapsing.

**Judicial Council — Court Administrator — Juror and Witness Fees**

Funds for the Juror and Witness program are nonlapsing.

**Judicial Council — Court Administrator — Guardian ad Litem**

Funds for the Guardian ad Litem program are nonlapsing.

**COURTS**

## Operating Budget

	Actual FY 2000	Authorized FY 2001 <sup>(1)</sup>	Courts' Request FY 2002	Governor Leavitt's Recommendations		
				Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$85,760,200	\$89,512,500	\$94,040,800	\$90,595,000	\$5,889,900	\$96,484,900
Federal Funds	27,000	122,000	122,000	122,000	0	122,000
Dedicated Credits	754,600	1,273,400	1,257,000	1,205,800	103,500	1,309,300
Restricted and Trust Funds	6,179,100	6,223,400	6,423,300	6,227,400	221,100	6,448,500
Transfers	1,230,200	651,400	793,900	640,700	160,400	801,100
Beginning Balances	422,700	(186,700)	221,000	221,000	0	221,000
Closing Balances	186,700	948,400	(185,000)	(185,000)	0	(185,000)
Lapsing Funds	(378,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$94,181,700</b>	<b>\$98,544,400</b>	<b>\$102,673,000</b>	<b>\$98,826,900</b>	<b>\$6,374,900</b>	<b>\$105,201,800</b>
<b>Programs</b>						
Supreme Court	\$1,850,000	\$1,980,300	\$1,980,300	\$1,923,100	\$87,200	\$2,010,300
Law Library	552,000	534,900	509,900	509,500	9,000	518,500
Court of Appeals	2,533,100	2,691,000	2,691,000	2,617,500	116,700	2,734,200
Administration	2,936,400	3,119,000	3,146,000	4,115,700	160,700	4,276,400
Federal Grants	1,646,300	895,100	837,200	834,800	17,200	852,000
Judicial Education	330,800	355,800	383,800	353,300	41,900	395,200
Contracts/Leases	15,940,800	17,191,300	19,242,500	17,834,800	1,263,800	19,098,600
Justice Courts	148,900	168,300	168,300	167,300	4,900	172,200
Data Processing	4,732,300	4,363,300	4,433,300	4,203,800	309,200	4,513,000
Trial Courts	32,170,000	33,556,000	34,616,700	32,849,100	2,395,100	35,244,200
Court Security	2,336,700	2,216,000	2,216,000	2,216,000	0	2,216,000
Juvenile Courts	24,205,400	26,584,000	26,620,500	26,341,200	1,294,800	27,636,000
Guardian ad Litem	2,939,200	3,043,600	3,981,700	3,015,000	674,400	3,689,400
Grand Jury	1,000	1,000	1,000	1,000	0	1,000
Juror/Witness/Interpreter Fees	1,858,800	1,844,800	1,844,800	1,844,800	0	1,844,800
<b>Total Budget</b>	<b>\$94,181,700</b>	<b>\$98,544,400</b>	<b>\$102,673,000</b>	<b>\$98,826,900</b>	<b>\$6,374,900</b>	<b>\$105,201,800</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>4.8%</b>
FTE Positions	--	1,291.9	1,326.2	1,289.4	28.8	1,318.2
<p>(1) In addition to the amounts listed in the Authorized 2001 column, Governor Leavitt recommends a supplemental appropriation of \$424,600 from the General Fund for compensation; \$1,169,400 from the General Fund for juror, witness, and interpreter fees; \$25,500 from the General Fund for contracts with counties in secondary court locations; \$6,500 from the General Fund for postage increases; \$85,000 in restricted funds to the courts for court reporter technology and on-line court assistance; and \$152,800 from other funds to add one commissioner and support staff to assist with workload in the fourth judicial district. The governor recommends a General Fund supplemental of (\$54,200) for internal service fund adjustments.</p>						

# COURTS

## COURTS FY 2002 OPERATING BUDGET

### Beginning Base Budget

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
D1	\$89,512,500	\$0	\$182,100	\$1,138,800	\$6,223,400	\$2,469,900	\$99,526,700
D2	640,000	0	0	0	0	0	640,000
D3	421,000	0	0	0	0	0	421,000
D4	0	(60,100)	63,800	63,800	0	(1,792,800)	(1,789,100)
	<i>99,573,500</i>	<i>0</i>	<i>122,000</i>	<i>1,202,600</i>	<i>6,223,400</i>	<i>677,100</i>	<i>98,798,600</i>

*Subtotal Beginning Base Budget - Courts*

### Base Adjustments

D5	(11,000)	0	0	0	0	0	(11,000)
D6	993,200	0	0	0	0	0	993,200
D7	(2,136,600)	0	0	(19,000)	(17,200)	(3,100)	(2,175,900)
D8	1,175,900	0	0	22,200	21,200	2,700	1,222,000
	<i>21,500</i>	<i>0</i>	<i>0</i>	<i>3,200</i>	<i>4,000</i>	<i>(400)</i>	<i>28,300</i>

*Subtotal Base Adjustments - Courts*

### Total FY 2002 Courts Base Budget

	<b>90,595,000</b>	<b>0</b>	<b>122,000</b>	<b>1,205,800</b>	<b>6,227,400</b>	<b>676,700</b>	<b>98,826,900</b>
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### Ongoing Adjustments

D9	174,000	0	0	0	0	0	174,000
D10	169,600	0	0	0	0	0	169,600
D11	200,000	0	0	0	0	0	200,000
D12	231,700	0	0	0	0	0	231,700
D13	28,000	0	0	0	0	0	28,000
D14	60,500	0	0	0	0	0	60,500
D15	0	0	0	0	0	152,800	152,800
D16	448,300	0	0	0	0	0	448,300
D17	50,000	0	0	0	0	0	50,000
D18	994,900	0	0	54,400	0	0	1,049,300
D19	499,100	0	0	0	0	0	499,100
D20	0	0	0	0	29,500	0	29,500

Contract increases for substance abuse programs

**COURTS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
D21 Personnel increases in Guardian ad Litem program	0	0	0	0	31,400	0	31,400
D22 Court reporter technology	0	0	0	0	50,000	0	50,000
D23 On-line court assistance	0	0	0	0	35,000	0	35,000
D24 DP imaging equipment	0	0	0	0	27,000	0	27,000
D25 Postal rate increase	13,000	0	0	0	0	0	13,000
D26 Compensation increase for Attorney General billings	600	0	0	0	0	0	600
D27 Compensation package	3,020,200	0	0	49,100	48,200	7,600	3,125,100
<i>Subtotal Ongoing Adjustments - Courts</i>	<i>5,889,900</i>	<i>0</i>	<i>0</i>	<i>103,500</i>	<i>221,100</i>	<i>160,400</i>	<i>6,374,900</i>
<b>Total FY 2002 Courts Adjustments</b>	<b>5,889,900</b>	<b>0</b>	<b>0</b>	<b>103,500</b>	<b>221,100</b>	<b>160,400</b>	<b>6,374,900</b>
<b>Total FY 2002 Courts Operating Budget</b>	<b>\$96,484,900</b>	<b>\$0</b>	<b>\$122,000</b>	<b>\$1,309,300</b>	<b>\$6,448,500</b>	<b>\$837,100</b>	<b>\$105,201,800</b>
<b>COURTS FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
D28 Internal service fund adjustments	(854,200)	\$0	\$0	\$0	\$0	\$0	(\$84,200)
D29 Compensation funding shortfall	424,600	0	0	0	0	0	424,600
D30 County contracts	25,500	0	0	0	0	0	25,500
D31 Postal rate increase	6,500	0	0	0	0	0	6,500
D32 Court reporter technology	0	0	0	0	50,000	0	50,000
D33 On-line court assistance	0	0	0	0	35,000	0	35,000
D34 Court commissioner	0	0	0	0	0	152,800	152,800
D35 Juror/witness/interpreter fees	1,169,400	0	0	0	0	0	1,169,400
<b>Total FY 2001 Courts Supplementals</b>	<b>\$1,571,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$85,000</b>	<b>\$152,800</b>	<b>\$1,809,600</b>

**COURTS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>COURTS TOTALS</b>							
FY 2002 Operating Base Budget	\$90,595,000	\$0	\$122,000	\$1,205,800	\$6,227,400	\$676,700	\$98,826,900
FY 2002 Operating Adjustments	5,889,900	0	0	103,500	221,100	160,400	6,374,900
FY 2002 Operating Recommendation	96,484,900	0	122,000	1,309,300	6,448,500	837,100	105,201,800
FY 2001 Operating Supplementals	1,571,800	0	0	0	85,000	152,800	1,809,600



## ECONOMIC DEVELOPMENT AND HUMAN RESOURCES

*Economic Development and Human Resources includes the Department of Community and Economic Development, Utah State Fair Corporation, Utah Technology Finance Corporation, Department of Human Resource Management, Career Service Review Board, and Utah Retirement Board.*

### PROGRAMS

- **Community and Economic Development**

**Administration** provides support services for all divisions.

**Business Development** promotes business opportunities and serves as a resource for business and local leaders.

**Community Development** provides technical and financial assistance to help communities plan and provide basic services.

**Energy Services** promotes development and efficient use of energy and administers the clean fuels loan program.

**Ethnic Affairs Offices** act as liaisons between state government and Utah's Asian, Pacific Islanders, Black, and Hispanic communities.

Division of Indian Affairs serves as the state's Native American affairs authority.

**Fine Arts** administers a grants program and community outreach programs to broaden appreciation for the arts.

**Historical Society** serves as the fund-raising and membership arm of the Division of State History.

**Incentive Funds** provide incentives for corporate expansion and relocation into the state through the Industrial Assistance Fund and targeted Custom Fit program.

**State History** preserves historic resources and promotes understanding of Utah's heritage.

**State Library** provides support services to public libraries and direct services to

individuals with visual and physical disabilities.

**Travel Development** promotes Utah tourism.

- **Utah State Fair Corporation** manages activities relating to the annual state fair and promotes and manages the year-round use of the state fairpark facility.

- **Utah Technology Finance Corporation** improves the formation of capital available to Utah businesses and provides technical assistance to entrepreneurs.

- **Human Resource Management** manages state employee classification, compensation, recruitment, selection, and development.

- **Career Service Review Board** administers state employee grievances and appeal procedures and mediates disputes between agencies and employees.

- **Utah Retirement Board** administers public employee retirement systems, deferred compensation plans, and group insurance plans.

### SELECTED DEPARTMENT GOALS

- **Community and Economic Development**

Create quality jobs for all of Utah through company expansions and relocations. Optimize the business, economic, and tourism benefits presented by the Olympic opportunity in 2002 and thereafter.

- **Utah State Fair Corporation**

Showcase agriculture and innovation technology.

- **Utah Technology Finance Corporation**

Accomplish the dissolution of state programs and organize a for-profit corporation to continue the economic development programs currently administered.

- **Human Resource Management**

Streamline recruitment, selection, and employee tracking functions by enhancing the state's HR Enterprise software.

- **Retirement Board**

Generate an 8.0 percent annual return on investment portfolios and outperform inflation by 4.0 percent. Keep medical and dental insurance costs at or below state and national trends.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 1-8. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 1-10, 51, and 52.*

### MAJOR BUDGET RECOMMENDATIONS

#### Community and Economic Development

- Provide a supplemental General Fund appropriation of \$1,901,000 to the Industrial Assistance Fund to replenish earned credits from company expansions, relocations, and job creation.
- Direct the proceeds from the sale of Utah Technology Finance Corporation to the Industrial Assistance Fund in the amount of \$4,800,000 in FY 2001 and \$750,000 in FY 2002 to increase the capital available in the fund for company expansion and job creation.

- Provide a supplemental General Fund appropriation of \$2,000,000 for the Olene S. Walker Housing Trust Fund to provide affordable housing statewide.
- Enhance the efforts of the Division of Travel Development to create awareness of the *Utah!* brand for the Olympics through a \$2,000,000 supplemental General Fund appropriation.
- Provide \$1,350,000 in ongoing General Fund for the Utah/Silicon Valley Alliance. These funds will be used to entice high-tech firms to “grow-out” in Utah.
- Provide \$1,000,000 in ongoing and \$2,000,000 in supplemental General Fund for targeted recruitment of private enterprise through the Custom Fit training program.
- Transfer \$1,200,000 in FY 2001 one-time library rent savings and operations savings to the Library Donation Expendable Trust Fund for scheduled replacement of bookmobile boxes.
- Provide a \$400,000 supplemental General Fund appropriation to acquire easements around Hill Air Force Base to protect operations and reduce the likelihood of complaints due to overflights. This will bring total state funding to \$10,650,000 since FY 1997.

**Human Resource Management**

- Provide \$121,000 in one-time General Fund to purchase internet access licensing for human resource services and replace a Unix server.

**Utah State Fair Corporation**

- Provide \$230,000 in ongoing General Fund to enhance the state’s support of the Utah State Fair and Fairpark operations.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Community and Economic Development**

Unexpended funds for State Library Division facilities bond payment and operations and maintenance are to be transferred to the State Library Donation Expendable Trust Fund. These transferred funds and corresponding accrued interest are to be used to replace bookmobile boxes as deemed necessary by the State Library Board. The Division of State Library is to make semi-annual progress reports to the Governor’s Office of Planning and Budget and the Office of the Legislative Fiscal Analyst.

**Utah Technology Finance Corporation**

Funds and proceeds of liquidated assets returned to the

state through the dissolution of Utah Technology Finance Corporation are to be deposited in the Industrial Assistance Fund.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Community and Economic Development**

Funds for the Department of Community and Economic Development are nonlapsing.

**Utah State Fair Corporation**

Funds for Utah State Fair Corporation are nonlapsing.

**Utah Technology Finance Corporation**

Funds for Utah Technology Finance Corporation are nonlapsing.

Funds and proceeds of liquidated assets returned to the state through the dissolution of Utah Technology Finance Corporation are to be deposited in the Industrial Assistance Fund.

**Career Service Review Board**

Funds for Career Service Review Board are nonlapsing.

**Human Resource Management**

Funds for the Department of Human Resource Management are nonlapsing.

The FY 2002 compensation package for state employees has retrospective application to June 23, 2001, to coincide with the state payroll period.

The FY 2002 total compensation package for state employees is to be increased by 6.0 percent, comprised of a 5.8 percent salary increase and a 0.2 percent net benefits increase.

Health Insurance premiums for the Group Insurance Division (PEHP) are increased 16.14 percent for FY 2002.

State employees enrolled in PEHP Preferred health care plan will pay 7.0 percent of bi-weekly premium payments.

Dental insurance premiums for the Group Insurance Division (PEHP) are increased 3.0 percent for FY 2002.

Retirement contribution rates for FY 2002 are a weighted average of 22.63 percent below FY 2001 rates.

## ECONOMIC DEVELOPMENT AND HUMAN RESOURCES

### Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$35,072,900	\$38,475,000	\$46,205,600	\$34,996,800	\$4,780,300	\$39,777,100
Transportation Fund	118,000	118,000	118,000	118,000	0	118,000
Federal Funds	26,640,100	30,781,800	30,778,900	31,796,100	119,200	31,915,300
Dedicated Credits	22,249,100	23,713,000	29,547,900	17,486,300	2,410,800	19,897,100
Restricted and Trust Funds	33,017,500	35,656,900	35,656,800	34,777,400	2,426,700	37,204,100
Transfers	1,234,600	1,623,900	(2,277,100)	2,621,200	(200,000)	2,421,200
Other Funds	0	326,100	301,500	0	42,000	42,000
Beginning Balances	14,528,200	14,295,400	14,295,400	1,346,200 <sup>(1)</sup>	0	1,346,200
Closing Balances	(14,295,400)	(9,385,500)	(15,899,000) <sup>(1)</sup>	(1,249,800)	0	(1,249,800)
Lapsing Funds	(740,900)	0	0	0	0	0
<b>Total Financing</b>	<b>\$117,824,100</b>	<b>\$135,604,600</b>	<b>\$138,728,000</b>	<b>\$121,892,200</b>	<b>\$9,579,000</b>	<b>\$131,471,200</b>
<b>Programs</b>						
<b>Community and Economic Development</b>						
Administration	\$2,444,600	\$2,717,500	\$2,715,800	\$2,702,200	\$108,000	\$2,810,200
Business Development	8,560,500	12,995,300	14,794,600	8,079,800	93,600	8,173,400
Community Development	28,349,500	32,736,200	33,773,300	33,349,000	1,773,700	35,122,700
Energy Services	1,790,200	3,463,200	3,462,800	4,467,000	20,800	4,487,800
Ethnic Affairs Offices	774,700	855,700	855,300	710,700	31,300	742,000
Fine Arts	4,008,800	4,092,200	4,092,900	3,385,300	303,500	3,688,800
Historical Society	409,300	538,800	539,200	423,400	8,500	431,900
Incentive Funds	223,300	1,342,300	6,142,200	264,900	3,107,700	3,372,600
Special Initiatives	132,900	19,800	19,800	0	0	0
State History	2,576,600	2,781,500	2,781,300	2,576,800	834,600	3,411,400
State Library	6,190,300	7,695,000	7,694,400	7,432,000	176,000	7,608,000
Travel Development	5,428,200	5,635,300	7,638,000	4,421,800	269,000	4,690,800
Zoos	1,730,000	1,730,000	1,730,000	1,730,000	0	1,730,000
<i>Subtotal Comm./Econ. Devl.</i>	<i>62,618,900</i>	<i>76,602,800</i>	<i>86,239,600</i>	<i>69,542,900</i>	<i>6,726,700</i>	<i>76,269,600</i>
<b>Human Resources</b>						
Human Resource Management	3,283,000	3,389,700	3,389,800	3,323,100	300,800	3,623,900
Career Service Review Board	145,400	164,600	164,600	164,500	6,800	171,300
<i>Subtotal Human Resources</i>	<i>3,428,400</i>	<i>3,554,300</i>	<i>3,554,400</i>	<i>3,487,600</i>	<i>307,600</i>	<i>3,795,200</i>
<b>Outside Reporting Agencies</b>						
Utah State Fair Corporation	3,941,400	4,033,800	4,033,800	3,961,500	230,000	4,191,500
Utah Technology Finance Corp. <sup>(1)</sup>	6,667,300	6,513,500	0	0	0	0
Retirement Office	41,168,100	44,900,200	44,900,200	44,900,200	2,314,700	47,214,900
	<i>51,776,800</i>	<i>55,447,500</i>	<i>48,934,000</i>	<i>48,861,700</i>	<i>2,544,700</i>	<i>51,406,400</i>
<b>Total Budget</b>	<b>\$117,824,100</b>	<b>\$135,604,600</b>	<b>\$138,728,000</b>	<b>\$121,892,200</b>	<b>\$9,579,000</b>	<b>\$131,471,200</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(5.2%)</b>
FTE Positions	--	669.8	672.8	666.0	3.0	669.0
<i>(1) The recommendations assume the Utah Technology Finance Corporation will be privatized during FY 2001. Therefore, the FY 2002 beginning balance does not match the FY 2001 closing balance.</i>						

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES**

## Capital Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			Total FY 2002
			Recommended FY 2001	Base FY 2002	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$0	\$0	\$400,000	\$0	\$0	\$0
Mineral Lease	1,674,300	1,752,500	1,752,500	1,801,100	0	1,801,100
Transfers	(299,000)	0	0	0	0	0
Beginning Balances	1,031,700	136,900	136,900	0	0	0
Closing Balances	(136,900)	0	0	0	0	0
<b>Total Financing</b>	<b>\$2,270,100</b>	<b>\$1,889,400</b>	<b>\$2,289,400</b>	<b>\$1,801,100</b>	<b>\$0</b>	<b>\$1,801,100</b>
<b>Projects</b>						
Business/Economic Development	\$217,300	\$136,900	\$536,900	\$0	\$0	\$0
Community Assistance Program	1,674,300	1,752,500	1,752,500	1,801,100	0	1,801,100
Ethnic and Indian Affairs	300,000	0	0	0	0	0
Special Initiatives	78,500	0	0	0	0	0
<b>Total Budget</b>	<b>\$2,270,100</b>	<b>\$1,889,400</b>	<b>\$2,289,400</b>	<b>\$1,801,100</b>	<b>\$0</b>	<b>\$1,801,100</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(21.3%)</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E1	FY 2001 appropriated budget	\$34,682,100	\$31,597,800	\$0	\$1,450,800	\$2,067,200	\$72,353,200
E2	Less one-time FY 2001 appropriations	(3,149,200)	0	0	(550,000)	(709,000)	(4,408,200)
E3	Adjustments in non-state funding levels	0	186,400	0	65,000	1,381,000	1,687,600
	<i>Subtotal Beginning Base Budget - DCED</i>	<i>31,532,900</i>	<i>31,784,200</i>	<i>0</i>	<i>965,800</i>	<i>2,739,200</i>	<i>69,632,600</i>
<b>Base Adjustments</b>							
E4	Internal service fund adjustments	(25,200)	(5,100)	0	(200)	0	(37,500)
E5	Market comparability adjustments	145,700	26,600	0	0	0	194,900
E6	Retirement rate adjustments	(292,700)	(52,600)	0	(1,900)	0	(371,400)
E7	Insurance benefit adjustments	215,500	43,000	0	1,200	0	284,300
E8	Reduction for Sports Commission one-time funding	(100,000)	0	0	0	0	(100,000)
E9	Reduction for extra working day	(60,000)	0	0	0	0	(60,000)
	<i>Subtotal Base Adjustments - DCED</i>	<i>(116,700)</i>	<i>11,900</i>	<i>0</i>	<i>(900)</i>	<i>0</i>	<i>(89,700)</i>
	<b>Total FY 2002 DCED Base Budget</b>	<b>31,416,200</b>	<b>31,796,100</b>	<b>0</b>	<b>964,900</b>	<b>2,739,200</b>	<b>69,542,900</b>
<b>Ongoing Adjustments</b>							
E10	Postal rate increase	12,100	200	0	0	0	13,100
E11	Veterans' Affairs transfer to National Guard	(169,200)	0	0	0	0	(174,200)
E12	Sports Commission	100,000	0	0	0	0	100,000
E13	Utah heritage area and corridors	240,000	0	0	0	0	240,000
E14	Utah/Silicon Valley Alliance	1,350,000	0	0	0	0	1,350,000
E15	Custom Fit funding conversion to ongoing	1,000,000	0	0	0	0	1,000,000
E16	Museum Services grant program	150,000	0	0	0	0	150,000
E17	Arts Council grant program	250,000	0	0	0	0	250,000
E18	Senior Games	50,000	0	0	0	0	50,000
E19	Summer Games	50,000	0	0	0	0	50,000
E20	Utah Reads	300,000	0	0	0	0	300,000
E21	Weatherization match	100,000	0	0	0	0	100,000
E22	Home Electric Lifeline program	0	0	0	0	0	0
E23	Utah's Promise match for in-school tutoring	0	0	0	0	0	0
E24	Compensation package	651,800	119,000	0	4,400	0	832,800
	<i>Subtotal Ongoing Adjustments - DCED</i>	<i>4,084,700</i>	<i>119,200</i>	<i>0</i>	<i>4,400</i>	<i>0</i>	<i>5,276,700</i>
<b>One-time Adjustments</b>							
E25	Tourism Marketing Performance Fund transfer	200,000	0	0	200,000	(200,000)	200,000
E26	Rio Grande rental for Olympics	0	0	0	0	0	500,000
E27	UTFC sale proceeds deposited in IAF	0	0	0	0	0	750,000
	<i>Subtotal One-time Adjustments - DCED</i>	<i>200,000</i>	<i>0</i>	<i>0</i>	<i>200,000</i>	<i>(200,000)</i>	<i>1,450,000</i>
	<b>Total FY 2002 DCED Adjustments</b>	<b>4,284,700</b>	<b>119,200</b>	<b>0</b>	<b>204,400</b>	<b>(200,000)</b>	<b>6,726,700</b>
	<b>Total FY 2002 DCED Operating Budget</b>	<b>\$35,700,900</b>	<b>\$31,915,300</b>	<b>\$0</b>	<b>\$1,169,300</b>	<b>\$2,539,200</b>	<b>\$76,269,600</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
E28	Internal service fund adjustments	(\$7,500)	(\$3,100)	(\$500)	\$0	\$0	(\$11,200)
E29	Custom Fit	2,000,000	0	0	0	0	2,000,000
E30	Postal rate increase	6,200	200	400	0	0	6,800
E31	IAF earned credits replenishment	1,901,000	0	0	0	(1,901,000)	0
E32	UTFC sale proceeds deposited in IAF	0	0	4,800,000	0	0	4,800,000
E33	Library bond residual plus operations savings	(880,000)	(55,700)	(264,300)	0	0	(1,200,000)
E34	Transfer to Library Expendable Trust Fund for bookmobiles	880,000	55,700	264,300	0	0	1,200,000
E35	Veterans' Affairs transfer to National Guard	(169,200)	0	(5,000)	0	(24,600)	(198,800)
E36	Travel Development advertising	2,000,000	0	0	0	0	2,000,000
E37	Olene Walker Housing Trust Fund	2,000,000	0	0	0	(2,000,000)	0
E38	Weatherization match	0	0	550,000	0	0	550,000
E39	Home Electric Lifeline program	0	0	65,000	0	0	65,000
E40	Utah's Promise match for in-home tutoring	0	0	425,000	0	0	425,000
<b>Total FY 2001 DCED Supplementals</b>	<b>\$7,730,500</b>	<b>(\$2,900)</b>	<b>\$5,834,900</b>	<b>\$0</b>	<b>(\$100)</b>	<b>(\$3,925,600)</b>	<b>\$9,636,800</b>
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
E41	FY 2001 appropriated budget	\$0	\$0	\$0	\$1,350,000	\$0	\$1,350,000
E42	Adjustments in non-state funding levels	0	0	0	451,100	0	451,100
<b>Total FY 2002 DCED Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,801,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,801,100</b>
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2001 CAPITAL BUDGET SUPPLEMENTALS</b>							
E43	Hill Air Force Base easement acquisition	\$400,000	\$0	\$0	\$0	\$0	\$400,000
<b>Total FY 2001 DCED Capital Supplementals</b>	<b>\$400,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$400,000</b>
<b>UTAH STATE FAIR CORPORATION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E44	FY 2001 appropriated budget	\$590,000	\$0	\$3,255,200	\$0	\$0	\$3,845,200
E45	Less one-time FY 2001 appropriations	(220,000)	0	0	0	0	(220,000)
E46	Adjustments in non-state funding levels	0	0	239,900	0	96,400	336,300
<b>Total FY 2002 State Fair Corporation Base Budget</b>	<b>370,000</b>	<b>0</b>	<b>3,495,100</b>	<b>0</b>	<b>0</b>	<b>96,400</b>	<b>3,961,500</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

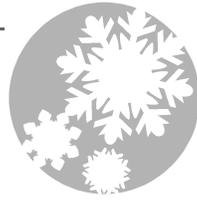
	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>Ongoing Adjustments</b>							
E47 Operation and maintenance of Fairgrounds	230,000	0	0	0	0	0	230,000
<b>Total FY 2002 State Fair Corporation Adjustments</b>	<b>230,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>230,000</b>
<b>Total FY 2002 State Fair Corporation Operating Budget</b>	<b>\$600,000</b>	<b>\$0</b>	<b>\$3,495,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$96,400</b>	<b>\$4,191,500</b>
<b>UTAH TECHNOLOGY FINANCE CORPORATION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
E48 Dissolution of UTFC	\$0	\$0	\$0	\$0	\$0	(\$6,513,500)	(\$6,513,500)
<b>Total FY 2001 Technology Finance Corp. Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$6,513,500)</b>	<b>(\$6,513,500)</b>
<b>HUMAN RESOURCE MANAGEMENT FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E49 FY 2001 appropriated budget	\$3,038,300	\$0	\$266,000	\$0	\$0	\$0	\$3,304,300
E50 Less one-time FY 2001 appropriations	15,200	0	0	0	0	0	15,200
E51 Adjustments in non-state funding levels	0	0	11,000	0	0	0	11,000
<i>Subtotal Beginning Base Budget - DHRM</i>	<i>3,053,500</i>	<i>0</i>	<i>277,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>3,330,500</i>
<b>Base Adjustments</b>							
E52 Market comparability adjustments	14,300	0	0	0	0	0	14,300
E53 Retirement rate adjustments	(60,600)	0	0	0	0	0	(60,600)
E54 Insurance benefit adjustments	38,900	0	0	0	0	0	38,900
<i>Subtotal Base Adjustments - DHRM</i>	<i>(7,400)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(7,400)</i>
<b>Total FY 2002 DHRM Base Budget</b>	<b>3,046,100</b>	<b>0</b>	<b>277,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,323,100</b>
<b>Ongoing Adjustments</b>							
E55 Postal rate increase	200	0	0	0	0	0	200
E56 Compensation package	137,600	0	0	0	0	0	137,600
<i>Subtotal Ongoing Adjustments - DHRM</i>	<i>137,800</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>137,800</i>
<b>One-time Adjustments</b>							
E57 Unix Box replacement	79,000	0	0	0	0	0	79,000
E58 Internet access licensing for HR services	42,000	0	0	0	0	0	42,000
<i>Subtotal One-time Adjustments - DHRM</i>	<i>121,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>121,000</i>
<b>Total FY 2002 DHRM Adjustments</b>	<b>258,800</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>258,800</b>
<b>Total FY 2002 DHRM Operating Budget</b>	<b>\$3,304,900</b>	<b>\$0</b>	<b>\$277,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$42,000</b>	<b>\$3,623,900</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>HUMAN RESOURCE MANAGEMENT FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
E59 Postal rate increase	\$100	\$0	\$0	\$0	\$0	\$0	\$100
<b>Total FY 2001 DHRM Supplementals</b>	<b>\$100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100</b>
<b>CAREER SERVICE REVIEW BOARD FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E60 FY 2001 appropriated budget	\$164,600	\$0	\$0	\$0	\$0	\$0	\$164,600
E61 Less one-time FY 2001 appropriations	800	0	0	0	0	0	800
<i>Subtotal Beginning Base Budget - CSRB</i>	<i>165,400</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>165,400</i>
<b>Base Adjustments</b>							
E62 Retirement rate adjustments	(2,900)	0	0	0	0	0	(2,900)
E63 Insurance benefit adjustments	2,000	0	0	0	0	0	2,000
<i>Subtotal Base Adjustments - CSRB</i>	<i>(900)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(900)</i>
<b>Total FY 2002 CSRB Base Budget</b>	<b>164,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>164,500</b>
<b>Ongoing Adjustments</b>							
E64 Compensation package	6,800	0	0	0	0	0	6,800
<b>Total FY 2002 CSRB Adjustments</b>	<b>6,800</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,800</b>
<b>Total FY 2002 CSRB Operating Budget</b>	<b>\$171,300</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$171,300</b>
<b>UTAH RETIREMENT BOARD FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E65 FY 2001 appropriated budget	\$0	\$0	\$9,790,000	\$0	\$35,709,900	\$0	\$45,499,900
E66 Adjustments in non-state funding levels	0	0	1,297,700	0	(1,897,400)	0	(599,700)
<b>Total FY 2002 Utah Retirement Board Base Budget</b>	<b>0</b>	<b>0</b>	<b>11,087,700</b>	<b>0</b>	<b>33,812,500</b>	<b>0</b>	<b>44,900,200</b>
<b>Ongoing Adjustments</b>							
E67 Retirement Office investment fee increases	0	0	0	0	2,222,300	0	2,222,300
E68 Group Insurance operating cost increase	0	0	92,400	0	0	0	92,400
<b>Total FY 2002 Utah Retirement Board Adjustments</b>	<b>0</b>	<b>0</b>	<b>92,400</b>	<b>0</b>	<b>2,222,300</b>	<b>0</b>	<b>2,314,700</b>
<b>Total FY 2002 Utah Retirement Board Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$11,180,100</b>	<b>\$0</b>	<b>\$36,034,800</b>	<b>\$0</b>	<b>\$47,214,900</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>ECONOMIC DEVELOPMENT AND HUMAN RESOURCES TOTALS</b>							
FY 2002 Operating Base Budget	\$34,996,800	\$31,796,100	\$17,486,300	\$0	\$34,777,400	\$2,835,600	\$121,892,200
FY 2002 Operating Adjustments	4,780,300	119,200	2,410,800	0	2,426,700	(158,000)	9,579,000
FY 2002 Operating Recommendation	39,777,100	31,915,300	19,897,100	0	37,204,100	2,677,600	131,471,200
FY 2001 Operating Supplementals	7,730,600	(2,900)	5,834,900	0	(100)	(10,439,100)	3,123,400
FY 2002 Capital Base Budget	0	0	0	1,801,100	0	0	1,801,100
FY 2002 Capital Adjustments	0	0	0	0	0	0	0
FY 2002 Capital Recommendation	0	0	0	1,801,100	0	0	1,801,100
FY 2001 Capital Supplementals	400,000	0	0	0	0	0	400,000



## ELECTED OFFICIALS

*Elected Officials consists of the offices of the Governor, Lieutenant Governor, Attorney General, State Auditor, and State Treasurer.*

### OFFICES

- The **Attorney General** is the constitutional legal officer of the state, serves as counsel in all cases in which the state or its officers are a party, and provides legal services for state agencies.
- The **State Auditor** performs financial audits of state agencies, helps agencies to maintain proper internal controls and comply with state law, and trains city and county treasurers to ensure local government compliance with state law.
- The **Governor** is chief administrator of the state’s executive branch of government. Within the Governor’s Office are the Lieutenant Governor’s Office, Governor’s Office of Planning and Budget, Commission on Criminal and Juvenile Justice, and Crime Victim Reparations program.

- The **State Treasurer** serves as custodian and depository for all state funds and invests them at competitive market rates in bank certificates of deposit, corporate obligations, notes, and bonds of the United States government.

### SELECTED DEPARTMENT GOALS

- **Attorney General**  
Enforce laws to make Utah safe for children, offer justice to crime victims, empower people against unlawful and unjust conduct, defend Utah’s natural and monetary assets, and provide excellent legal service at minimum cost to taxpayers.
- **State Auditor**  
Complete the statutorily required financial and federally mandated compliance audits of the state on a timely basis while maximizing audit efficiency, effectiveness, and

coverage within budget resources.

- **Governor**

Create quality jobs, develop world-class education, provide for the needy while fostering self-reliance, operate government within the state’s means, protect the quality of life, and develop long-term growth policies.

- **State Treasurer**

Make financial and investment decisions to maintain the state’s triple “A” bond rating, maximize interest revenue, and increase the amount going from the Unclaimed Property Trust Fund to the Uniform School Fund.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 29-32. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 47-51, 88, and 90-91.*

**MAJOR BUDGET  
RECOMMENDATIONS**

**Attorney General**

- Provide ongoing General Fund of \$148,400 plus \$55,000 in dedicated credits for two additional attorneys in the Child Protection Division, and \$75,000 in General Fund to fully fund the obscenity and pornography complaints ombudsman.
- Provide a supplemental appropriation of \$107,400 from funds provided by the National Association of Attorneys General for tobacco litigation costs.

**Auditor**

- Maintain programs and funding at current levels.

**Governor**

- Provide supplemental funding of \$106,500 General Fund and \$130,500 one-time funding from the Olympic Special Revenue Fund for a deputy director to the State Olympic Officer.
- Provide a General Fund supplemental appropriation of \$36,000 and an ongoing General Fund appropriation of \$50,000 for the Extraditions program in the Commission on Criminal and Juvenile Justice.

- Provide a General Fund supplemental appropriation of \$50,000 and a one-time General Fund appropriation of \$100,000 to document and reprogram the Utah Process Economic and Demographic (UPED) Model.

**Treasurer**

- Provide a supplemental appropriation from the General Fund of \$500,000 and an ongoing appropriation of \$1,773,000 for costs arising from the passage of Initiative B in the 2000 General Election. The State Treasurer will be responsible for holding and maintaining seized property pending a court order of release or final determination of forfeiture. Forfeited property will then be disposed of in accordance with current law.

Funds for the Emergency Fund are nonlapsing.

Funds to document and reprogram the UPED Model are nonlapsing.

**Treasurer**

Funds for costs arising from the passage of Initiative B in the 2000 General Election are nonlapsing.

**FY2002 PROPOSED  
LEGISLATIVE INTENT**

**Attorney General**

Combine the Water Rights Adjudication program and the Financial Crimes line item with the State Counsel program under the main line item.

Move the Attorney General Administration line item to the main line item as part of the schedule of programs.

Funds for the Attorney General's main line item are nonlapsing.

Funds for the Attorney General's Administration/Executive line item are nonlapsing.

Funds for the Attorney General for Child Protection are nonlapsing.

Funds for the Attorney General for Antitrust are nonlapsing.

**FY 2001 PROPOSED  
LEGISLATIVE INTENT**

**Attorney General**

Funds for tobacco litigation expenses are nonlapsing.

**Governor**

Funds for the Extraditions program are nonlapsing.

Funds for the Olympic Officer's deputy director are nonlapsing.

Funds for the Attorney General for the Prosecution Council are nonlapsing.

Funds for the Attorney General for Children’s Justice Centers are nonlapsing.

Funds for the Attorney General for the prevention of domestic violence are nonlapsing.

Funds for the Attorney General for Financial Crimes are nonlapsing.

**State Auditor**

Funds for the State Auditor are nonlapsing.

**Governor**

Funds for the Governor’s Office are nonlapsing.

Funds for the State Elections Office are nonlapsing.

Funds for the Governor’s Commission for Women and Families are nonlapsing.

Funds for the Governor’s Emergency Fund are nonlapsing.

Funds for the Governor’s Office of Planning and Budget are nonlapsing.

Funds for the Commission on Criminal and Juvenile Justice are nonlapsing.

**State Treasurer**

Funds for the State Treasurer are nonlapsing.

**ELECTED OFFICIALS**

## Operating Budget

	Actual FY 2000	Authorized FY 2001 <sup>(1)</sup>	Elected Officials' Request FY 2002	Governor Leavitt's Recommendations		
				Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$28,788,500	\$29,886,400	\$33,362,000	\$29,647,100	\$3,688,500	\$33,335,600
Federal Funds	20,585,100	17,656,600	15,865,300	15,862,900	50,100	15,913,000
Dedicated Credits	11,292,500	11,899,700	12,077,400	11,636,200	534,600	12,170,800
Restricted and Trust Funds	2,942,300	4,612,900	5,390,600	5,278,200	342,700	5,620,900
Transfers	741,500	1,111,100	551,900	704,800	12,700	717,500
Beginning Balances	1,809,100	1,776,300	0	0	0	0
Closing Balances	(1,776,200)	0	(151,100)	(151,100)	0	(151,100)
Lapsing Funds	(70,700)	0	0	0	0	0
<b>Total Financing</b>	<b>\$64,312,100</b>	<b>\$66,943,000</b>	<b>\$67,096,100</b>	<b>\$62,978,100</b>	<b>\$4,628,600</b>	<b>\$67,606,700</b>
<b>Programs</b>						
Attorney General	\$28,346,000	\$30,782,600	\$32,390,500	\$30,417,700	\$1,750,400	\$32,168,100
Auditor	3,359,700	3,591,500	3,329,100	3,356,400	160,400	3,516,800
Governor	30,722,000	30,369,700	27,247,300	27,079,000	863,300	27,942,300
Treasurer	1,884,400	2,199,200	4,129,200	2,125,000	1,854,500	3,979,500
<b>Total Budget</b>	<b>\$64,312,100</b>	<b>\$66,943,000</b>	<b>\$67,096,100</b>	<b>\$62,978,100</b>	<b>\$4,628,600</b>	<b>\$67,606,700</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(0.2%)</b>
FTE Positions	--	577.8	580.8	573.3	6.5	579.8
<p><i>(1) In addition to the amounts listed in the Authorized 2001 column, Governor Leavitt recommends supplemental appropriations from the General Fund of \$36,000 for the Extraditions program in Criminal and Juvenile Justice, \$106,500 for the Olympic Officer's Deputy Director, \$43,000 for the Emergency Fund, \$50,000 to document and reprogram the Utah Process Economic and Demographic (UPED) Model, \$2,400 for postage rate increases, (\$20,100) for internal service fund reductions, and \$500,000 to the State Treasurer for costs arising from the passage of Initiative B in the 2000 General Election. The Governor also recommends \$107,400 from restricted funds for the Attorney General for tobacco litigation expenses, a total of \$500 from restricted funds for postage rate increases, and (\$9,100) from other funds for internal service fund reductions.</i></p>						

**ELECTED OFFICIALS**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ATTORNEY GENERAL FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F1	\$17,683,700	\$0	\$986,300	\$10,064,500	\$1,336,100	\$117,400	\$30,188,000
F2	0	0	73,500	398,600	(141,500)	\$1,500	382,100
	<i>Subtotal Beginning Base Budget - Attorney General</i>	<i>0</i>	<i>1,059,800</i>	<i>10,463,100</i>	<i>1,194,600</i>	<i>168,900</i>	<i>30,370,100</i>
<b>Base Adjustments</b>							
F3	(34,400)	0	(1,800)	(7,200)	(1,200)	(200)	(44,900)
F4	72,400	0	4,600	33,500	6,700	1,200	118,400
F5	(340,900)	0	(17,300)	(214,100)	(23,400)	(5,100)	(600,800)
F6	207,200	0	13,400	135,400	13,900	5,000	374,900
	<i>Subtotal Base Adjustments - Attorney General</i>	<i>0</i>	<i>(1,100)</i>	<i>(52,500)</i>	<i>(4,000)</i>	<i>900</i>	<i>(152,400)</i>
	<b>Total FY 2002 Attorney General Base Budget</b>	<b>0</b>	<b>1,058,700</b>	<b>10,410,600</b>	<b>1,190,600</b>	<b>169,800</b>	<b>30,417,700</b>
<b>Ongoing Adjustments</b>							
F7	148,400	0	0	55,000	0	0	203,400
F8	75,000	0	0	0	0	0	75,000
F9	0	0	0	0	35,000	0	35,000
F10	85,000	0	0	0	0	0	85,000
F11	2,000	0	0	0	0	0	2,000
F12	776,800	0	35,900	477,100	48,200	12,000	1,350,000
	<i>Subtotal Ongoing Adjustments - Attorney General</i>	<i>0</i>	<i>33,900</i>	<i>532,100</i>	<i>83,200</i>	<i>12,000</i>	<i>1,750,400</i>
	<b>Total FY 2002 Attorney General Adjustments</b>	<b>0</b>	<b>35,900</b>	<b>532,100</b>	<b>83,200</b>	<b>12,000</b>	<b>1,750,400</b>
	<b>Total FY 2002 Attorney General Operating Budget</b>	<b>\$0</b>	<b>\$1,094,600</b>	<b>\$10,942,700</b>	<b>\$1,273,800</b>	<b>\$181,800</b>	<b>\$32,168,100</b>
<b>ATTORNEY GENERAL FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
F13	(\$20,100)	\$0	(\$1,800)	(\$6,400)	(\$700)	(\$200)	(\$29,200)
F14	0	0	0	0	107,400	0	107,400
F15	1,000	0	0	0	0	0	1,000
	<b>Total FY 2001 Attorney General Supplementals</b>	<b>(\$19,100)</b>	<b>(\$1,800)</b>	<b>(\$6,400)</b>	<b>\$106,700</b>	<b>(\$200)</b>	<b>\$79,200</b>
<b>AUDITOR FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F16	\$2,717,200	\$0	\$0	\$583,600	\$0	\$0	\$3,300,800
F17	0	0	0	28,300	0	0	28,300
	<i>Subtotal Beginning Base Budget - Auditor</i>	<i>0</i>	<i>0</i>	<i>611,900</i>	<i>0</i>	<i>0</i>	<i>3,329,100</i>

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
F18	51,800	0	0	0	0	0	51,800
F19	(73,600)	0	0	0	0	0	(73,600)
F20	49,100	0	0	0	0	0	49,100
	27,300	0	0	0	0	0	27,300
	<i>Subtotal Base Adjustments - Auditor</i>						
<b>Total FY 2002 Auditor Base Budget</b>	<b>2,744,500</b>	<b>0</b>	<b>0</b>	<b>611,900</b>	<b>0</b>	<b>0</b>	<b>3,356,400</b>
<b>Ongoing Adjustments</b>							
F21	160,400	0	0	0	0	0	160,400
	160,400	0	0	0	0	0	160,400
	<i>Subtotal Ongoing Adjustments - Auditor</i>						
<b>Total FY 2002 Auditor Adjustments</b>	<b>160,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>160,400</b>
<b>Total FY 2002 Auditor Operating Budget</b>	<b>\$2,904,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$611,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,516,800</b>
<b>GOVERNOR FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F22	\$8,674,800	\$0	\$16,112,600	\$301,700	\$950,400	\$1,971,700	\$28,011,200
F23	(147,700)	0	0	0	0	0	(147,700)
F24	0	0	(1,307,100)	124,600	2,000,000	(1,587,800)	(770,300)
	8,527,100	0	14,805,500	426,300	2,950,400	383,900	27,093,200
	<i>Subtotal Beginning Base Budget - Governor</i>						
<b>Base Adjustments</b>							
F25	37,900	0	0	0	8,600	0	46,500
F26	(141,100)	0	(6,500)	(1,100)	(17,600)	0	(166,300)
F27	87,100	0	5,200	400	12,900	0	105,600
	(16,100)	0	(1,300)	(700)	3,900	0	(14,200)
	<i>Subtotal Base Adjustments - Governor</i>						
<b>Total FY 2002 Governor Base Budget</b>	<b>8,511,000</b>	<b>0</b>	<b>14,804,200</b>	<b>425,600</b>	<b>2,954,300</b>	<b>383,900</b>	<b>27,079,000</b>
<b>Ongoing Adjustments</b>							
F28	50,000	0	0	0	0	0	50,000
F29	0	0	0	0	45,600	0	45,600
F30	0	0	0	0	4,100	0	4,100
F31	65,000	0	0	0	0	0	65,000
F32	56,000	0	0	0	0	0	56,000
F33	2,300	0	0	0	300	0	2,600
F34	296,600	0	14,200	2,500	38,500	700	352,500
	469,900	0	14,200	2,500	88,500	700	575,800
	<i>Subtotal Ongoing Adjustments - Governor</i>						

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
F35	0	0	0	0	130,500	0	130,500
F36	57,000	0	0	0	0	0	57,000
F37	100,000	0	0	0	0	0	100,000
	<i>157,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>130,500</i>	<i>0</i>	<i>287,500</i>
	<i>Subtotal One-time Adjustments - Governor</i>						
	<b>626,900</b>	<b>0</b>	<b>14,200</b>	<b>2,500</b>	<b>219,000</b>	<b>700</b>	<b>863,300</b>
	<b>Total FY 2002 Governor Adjustments</b>						
	<b>\$9,137,900</b>	<b>\$0</b>	<b>\$14,818,400</b>	<b>\$428,100</b>	<b>\$3,173,300</b>	<b>\$384,600</b>	<b>\$27,942,300</b>
	<b>Total FY 2002 Governor Operating Budget</b>						
<b>GOVERNOR FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
F38	\$36,000	\$0	\$0	\$0	\$0	\$0	\$36,000
F39	106,500	0	0	0	0	0	106,500
F40	43,000	0	0	0	0	0	43,000
F41	50,000	0	0	0	0	0	50,000
F42	1,200	0	0	0	200	0	1,400
	<b>\$236,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$200</b>	<b>\$0</b>	<b>\$236,900</b>
	<b>Total FY 2001 Governor Supplementals</b>						
<b>TREASURER FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F43	\$810,700	\$0	\$0	\$188,100	\$1,130,400	\$93,100	\$2,222,300
F44	0	0	0	0	0	(93,100)	(93,100)
	<i>810,700</i>	<i>0</i>	<i>0</i>	<i>188,100</i>	<i>1,130,400</i>	<i>0</i>	<i>2,129,200</i>
	<i>Subtotal Beginning Base Budget - Treasurer</i>						
	<b>Base Adjustments</b>						
F45	2,400	0	0	0	6,100	0	8,500
F46	(17,300)	0	0	0	(17,300)	0	(34,600)
F47	7,800	0	0	0	14,100	0	21,900
	<i>(7,100)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>2,900</i>	<i>0</i>	<i>(4,200)</i>
	<i>Subtotal Base Adjustments - Treasurer</i>						
	<b>803,600</b>	<b>0</b>	<b>0</b>	<b>188,100</b>	<b>1,133,300</b>	<b>0</b>	<b>2,125,000</b>
	<b>Total FY 2002 Treasurer Base Budget</b>						
<b>Ongoing Adjustments</b>							
F48	1,773,000	0	0	0	0	0	1,773,000
F49	300	0	0	0	600	0	900
F50	40,700	0	0	0	39,900	0	80,600
	<i>1,814,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>40,500</i>	<i>0</i>	<i>1,854,500</i>
	<i>Subtotal Ongoing Adjustments - Treasurer</i>						
	<b>1,814,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>40,500</b>	<b>0</b>	<b>1,854,500</b>
	<b>Total FY 2002 Treasurer Adjustments</b>						
	<b>\$2,617,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$188,100</b>	<b>\$1,173,800</b>	<b>\$0</b>	<b>\$3,979,500</b>
	<b>Total FY 2002 Treasurer Operating Budget</b>						

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TREASURER FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
F51 Seizure and sale initiative (Initiative B)	\$500,000	\$0	\$0	\$0	\$0	\$0	\$500,000
F52 Postal rate increase	200	0	0	0	300	0	500
<b>Total FY 2001 Treasurer Supplementals</b>	<b>\$500,200</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$300</b>	<b>\$0</b>	<b>\$500,500</b>
<b>ELECTED OFFICIALS TOTALS</b>							
FY 2002 Operating Base Budget	\$29,647,100	\$0	\$15,862,900	\$11,636,200	\$5,278,200	\$553,700	\$62,978,100
FY 2002 Operating Adjustments	3,688,500	0	50,100	534,600	342,700	12,700	4,628,600
FY 2002 Operating Recommendation	33,335,600	0	15,913,000	12,170,800	5,620,900	\$66,400	67,606,700
FY 2001 Operating Supplementals	717,800	0	(1,800)	(6,400)	107,200	(200)	816,600



## ENVIRONMENTAL QUALITY

Mission: *Safeguard human health and quality of life by protecting and enhancing the environment.*

### PROGRAMS

- **Executive Director** coordinates all administrative and planning functions for the department.
- **Air Quality** protects human health, property, and vegetation from the effects of air pollution.
- **Drinking Water** monitors public water systems to ensure safe drinking water.
- **Environmental Response and Remediation** oversees the cleanup of chemically contaminated sites and the proper use of underground storage tanks.
- **Radiation** monitors radiation levels to ensure the lowest possible exposure to residents.
- **Solid and Hazardous Waste** monitors management of solid and hazardous wastes.

- **Water Quality** protects quality of surface and underground waters and prevents improper disposal of wastes.

### SELECTED DEPARTMENT GOALS

- Establish clear and achievable criteria that promote environmental excellence in air, water, and soil standards and in activities for pollution prevention, cleanup, emissions reduction, public education, and other environmentally sensitive areas.
- Administer environmental programs and priorities to reflect Utah's unique conditions; oversee programs at the state or local level; and, whenever possible, actively influence non-delegated federal programs to reflect Utah's needs.
- Improve effectiveness and delivery of environmental services through strengthen-

ing relationships with local health departments and local governments.

- Operate as a customer-oriented agency focusing on customer service, trust, and problem solving through teamwork and partnership.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 21-22. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 17, 18, and 22-28.*

### MAJOR BUDGET RECOMMENDATIONS

- Fund \$439,000 from the Environmental Quality Restricted Account for the continuing investigation, evaluation, and communication of risks associated with storage of high-level nuclear waste in Utah.

- Provide \$600,000 in supplemental General Fund and \$1,000,000 in ongoing General Fund for a nuclear waste opposition office. This office will develop, implement, and pursue strategies to keep high-level nuclear waste from coming into the state.
- Provide funding from the Petroleum Storage Tank Trust Fund to pay legal costs incurred by the Attorney General's Office in cost recovery efforts from cleanup of uninsured leaking underground storage tanks. Recovered costs will go into the Petroleum Storage Tank Trust Fund.
- Fund \$70,000 in one-time General Fund to establish urban stormwater runoff rules for permits required by the

Environmental Protection Agency for municipalities with populations over 10,000.

- Recommend \$150,000 in ongoing General Fund to establish and administer rules and standards for methamphetamine lab cleanup.
- Provide \$21,000 in General Fund for a 3.0 percent cost-of-living adjustment for local providers and facilities under contract with Environmental Quality.

**FY 2001 PROPOSED  
LEGISLATIVE INTENT**

**Executive Director**

Funds appropriated for the nuclear waste opposition office are nonlapsing.

**FY 2002 PROPOSED  
LEGISLATIVE INTENT**

**Executive Director**

Funds appropriated to address high-level nuclear waste are nonlapsing.

Funds appropriated for the nuclear waste opposition office are nonlapsing.

**Air Quality**

Any unexpended funds in the Air Operating Permit program are nonlapsing and authorized for use in the Air Operating Permit program to reduce emission fees.

**Water Quality**

Any unexpended groundwater permit administration fees are nonlapsing and authorized for use in the groundwater permit administration program to reduce fees charged the following fiscal year.

**ENVIRONMENTAL QUALITY**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$10,728,100	\$9,759,000	\$10,355,700	\$10,262,100	\$1,709,500	\$11,971,600
Federal Funds	11,471,700	14,473,100	14,468,300	13,206,500	426,800	13,633,300
Dedicated Credits	5,830,300	6,285,900	6,281,900	6,212,300	207,200	6,419,500
Restricted and Trust Funds	6,717,200	6,711,700	6,739,700	6,586,800	1,258,200	7,845,000
Transfers	186,200	198,900	198,800	179,300	8,000	187,300
Beginning Balances	1,154,200	1,846,300	1,846,300	779,000	0	779,000
Closing Balances	(1,846,300)	(779,000)	(779,000)	(1,400)	0	(1,400)
Lapsing Funds	(269,400)	0	0	0	0	0
<b>Total Financing</b>	<b>\$33,972,000</b>	<b>\$38,495,900</b>	<b>\$39,111,700</b>	<b>\$37,224,600</b>	<b>\$3,609,700</b>	<b>\$40,834,300</b>
<b>Programs</b>						
Executive Director	\$4,660,300	\$4,671,600	\$5,272,200	\$4,112,700	\$1,623,600	\$5,736,300
Air Quality	7,757,000	8,180,600	8,174,700	7,597,000	342,800	7,939,800
Drinking Water	3,123,100	3,901,500	3,899,900	3,296,200	110,900	3,407,100
Environ. Response/Remediation	4,592,000	5,369,300	5,399,500	5,671,200	945,300	6,616,500
Radiation	1,737,400	2,033,300	2,033,100	1,688,700	71,800	1,760,500
Solid and Hazardous Waste	5,910,900	6,399,700	6,395,400	6,614,500	223,800	6,838,300
Water Quality	6,191,300	7,939,900	7,936,900	8,244,300	291,500	8,535,800
<b>Total Budget</b>	<b>\$33,972,000</b>	<b>\$38,495,900</b>	<b>\$39,111,700</b>	<b>\$37,224,600</b>	<b>\$3,609,700</b>	<b>\$40,834,300</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>4.4%</b>
FTE Positions	--	423.5	423.5	423.5	1.5	425.0

**ENVIRONMENTAL QUALITY**

Capital Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
Federal Funds	\$2,066,800	\$5,512,300	\$5,512,300	\$5,404,000	\$0	\$5,404,000
<b>Total Financing</b>	<b>\$2,066,800</b>	<b>\$5,512,300</b>	<b>\$5,512,300</b>	<b>\$5,404,000</b>	<b>\$0</b>	<b>\$5,404,000</b>
<b>Projects</b>						
Environmental Site Remediation	\$2,066,800	\$5,512,300	\$5,512,300	\$5,404,000	\$0	\$5,404,000
<b>Total Budget</b>	<b>\$2,066,800</b>	<b>\$5,512,300</b>	<b>\$5,512,300</b>	<b>\$5,404,000</b>	<b>\$0</b>	<b>\$5,404,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(2.0%)</b>

**ENVIRONMENTAL QUALITY**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ENVIRONMENTAL QUALITY FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
G1	\$9,739,000	\$0	\$12,800,700	\$6,284,600	\$6,711,700	\$896,600	\$36,432,600
G2	(50,000)	0	0	0	(454,500)	0	(504,500)
G3	0	0	126,000	(389,100)	(1,100)	51,100	(213,100)
	<i>9,709,000</i>	<i>0</i>	<i>12,926,700</i>	<i>5,895,500</i>	<i>6,256,100</i>	<i>947,700</i>	<i>35,735,000</i>
	<i>Subtotal Beginning Base Budget - Environmental Quality</i>						
<b>Base Adjustments</b>							
G4	11,500	0	(6,300)	(4,900)	(5,200)	(100)	(5,000)
G5	611,300	0	342,800	351,700	368,600	10,500	1,684,900
G6	(210,400)	0	(184,100)	(93,900)	(111,200)	(3,600)	(603,200)
G7	140,700	0	127,400	63,900	78,500	2,400	412,900
	<i>553,100</i>	<i>0</i>	<i>279,800</i>	<i>316,800</i>	<i>330,700</i>	<i>9,200</i>	<i>1,489,600</i>
	<i>Subtotal Base Adjustments - Environmental Quality</i>						
	<b>10,262,100</b>	<b>0</b>	<b>13,206,500</b>	<b>6,212,300</b>	<b>6,586,800</b>	<b>956,900</b>	<b>37,224,600</b>
	<b>Total FY 2002 Environmental Quality Base Budget</b>						
<b>Ongoing Adjustments</b>							
G8	1,000,000	0	0	0	0	0	1,000,000
G9	0	0	0	0	87,200	0	87,200
G10	0	0	0	0	86,500	0	86,500
G11	3,000	0	0	0	0	0	3,000
G12	21,000	0	0	0	0	0	21,000
G13	150,000	0	0	0	0	0	150,000
G14	0	0	20,400	0	0	0	20,400
G15	465,500	0	406,400	207,200	245,500	8,000	1,332,600
	<i>1,639,500</i>	<i>0</i>	<i>426,800</i>	<i>207,200</i>	<i>419,200</i>	<i>8,000</i>	<i>2,700,700</i>
	<i>Subtotal Ongoing Adjustments - Environmental Quality</i>						
<b>One-time Adjustments</b>							
G16	0	0	0	0	439,000	0	439,000
G17	0	0	0	0	400,000	0	400,000
G18	70,000	0	0	0	0	0	70,000
	<i>70,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>70,000</i>
	<i>Subtotal One-time Adjustments - Environmental Quality</i>						
	<b>1,709,500</b>	<b>0</b>	<b>426,800</b>	<b>207,200</b>	<b>1,258,200</b>	<b>8,000</b>	<b>3,609,700</b>
	<b>Total FY 2002 Environmental Quality Adjustments</b>						
	<b>\$11,971,600</b>	<b>\$0</b>	<b>\$13,633,300</b>	<b>\$6,419,500</b>	<b>\$7,845,000</b>	<b>\$964,900</b>	<b>\$40,834,300</b>
	<b>Total FY 2002 Environmental Quality Operating Budget</b>						

**ENVIRONMENTAL QUALITY - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ENVIRONMENTAL QUALITY FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
G19 Internal service fund adjustments	(\$4,800)	\$0	(\$4,800)	(\$4,000)	(\$4,500)	(\$100)	(\$18,200)
G20 Executive Director - nuclear waste opposition office	600,000	0	0	0	0	0	600,000
G21 Remediation - voluntary cleanup program expense adjustment	0	0	0	0	32,500	0	32,500
G22 Postal rate increase	1,500	0	0	0	0	0	1,500
<b>Total FY 2001 Environmental Quality Supplementals</b>	<b>\$596,700</b>	<b>\$0</b>	<b>(\$4,800)</b>	<b>(\$4,000)</b>	<b>\$28,000</b>	<b>(\$100)</b>	<b>\$615,800</b>
<b>ENVIRONMENTAL QUALITY FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
G23 FY 2001 appropriated budget	\$0	\$0	\$9,616,700	\$0	\$0	\$0	\$9,616,700
G24 Adjustments in non-state funding levels	0	0	(4,212,700)	0	0	0	(4,212,700)
<b>Total FY 2002 Environmental Quality Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>5,404,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,404,000</b>
<b>Total FY 2002 Environmental Quality Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,404,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,404,000</b>
<b>ENVIRONMENTAL QUALITY TOTALS</b>							
FY 2002 Operating Base Budget	\$10,262,100	\$0	\$13,206,500	\$6,212,300	\$6,586,800	\$956,900	\$37,224,600
FY 2002 Operating Adjustments	1,709,500	0	426,800	207,200	1,258,200	8,000	3,609,700
FY 2002 Operating Recommendation	11,971,600	0	13,633,300	6,419,500	7,845,000	964,900	40,834,300
FY 2001 Operating Supplementals	596,700	0	(4,800)	(4,000)	28,000	(100)	615,800
FY 2002 Capital Base Budget	0	0	5,404,000	0	0	0	5,404,000
FY 2002 Capital Adjustments	0	0	0	0	0	0	0
FY 2002 Capital Recommendation	0	0	5,404,000	0	0	0	5,404,000
FY 2001 Capital Supplementals	0	0	0	0	0	0	0



# HEALTH

Mission: *To protect the public's health by 1) preventing avoidable disease, injury, disability, and premature death; 2) assuring access to affordable, quality health care; 3) promoting healthy lifestyles and documenting health events; and 4) monitoring and analyzing health trends.*

## PROGRAMS

- **Office of the Executive Director** provides overall direction, policy development, and management of the Utah Department of Health. The office also conducts administrative and support functions, manages birth and death certificates, and prepares and issues health statistics.
- **Medical Examiner** investigates and certifies all sudden and unexpected deaths that occur within the state, and identifies causes that could endanger public health.
- **Health Systems Improvement** trains and certifies emergency medical personnel, licenses health care facilities, and promotes primary care to underserved populations.

- **Epidemiology and Laboratory Services** performs clinical and environmental laboratory examinations to support public health programs, ensures quality of medical and environmental laboratories, and performs surveillance and investigation of disease and environmental exposure.
- **Community and Family Health Services** manages programs that promote public health and help women, infants, and children gain access to comprehensive and affordable health care.
- **Children's Health Insurance Program (CHIP)** provides health insurance to approximately 30,000 of the state's 60,000 uninsured children.
- **Health Care Financing** administers Medicaid and the Utah Medical Assistance

Program (UMAP). The service portions of these two programs comprise the Medical Assistance category.

- **Medical Education Council** provides the state with an appropriate number and mix of educated and trained professionals who can, in aggregate, provide the spectrum of health care services required by the state's population.

## SELECTED DEPARTMENT GOALS

- Implement CHIP to provide health insurance for all children whose family income is below 201 percent of the federal poverty level.
- Increase access to affordable, quality health care.
- Improve immunization rates in Utah and prevent major outbreaks of preventable disease.

- Assure timely access to public health information.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 33-36. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 53-76.*

### MAJOR BUDGET RECOMMENDATIONS

- Restore \$100,000 in General Fund for local health department program reductions.
- Fund \$200,000 in General Fund for budget shortfalls in the Medical Examiner’s office.
- Continue the state Primary Care Grant Program with \$500,000 in General Fund, and expand the program with an additional \$500,000 in General Fund to pay for direct health care and dental services for 7,200 medically underserved individuals.
- Fund \$110,400 in General Fund to hire one employee to improve turnaround time for toxicology testing needed by law enforcement.
- Expand the Early Intervention program by 20 percent with \$600,000 General Fund to meet caseload growth and enhance the development of 200 infants and toddlers with

disabilities and developmental delay.

- Fund a youth suicide FACT pilot project with a \$60,000 General Fund supplemental for prevention and intervention strategies aimed at reversing Utah’s high suicide rate.
- Continue \$110,000 in one-time General Fund for the Medical Education Council to solidify funding for graduate clinical training and establish an appropriate workforce for the state.
- Restore reductions in the UMAP program with \$1,400,000 from the Medicaid Restricted Account. Fund a \$300,000 independent study from the Medicaid Restricted Account to help reorganize the program.
- Provide \$48,100 in General Fund for a 3.0 percent cost-of-living adjustment for local providers and facilities under contract with Health.

#### Medicaid

- Fund inflation, utilization, and the federal match rate with \$22,468,700 in General Fund. The governor recommends \$52,372,400 in total funding that includes federal funds and dedicated credits.
- Replace one-time funding for the Medicaid program with \$5,700,200 from the General Fund.

- Assure medical transportation and access to dental and physician services by increasing reimbursement rates with \$1,218,300 in General Fund. Total funds recommended for increasing rates are \$3,939,500.
- Expand the Medicaid program with \$704,900 in General Fund to 18 year olds with family incomes below 100 percent of the federal poverty level. The expansion will include over 4,200 individuals.
- Expand the Medicaid program with \$547,600 General Fund to include individuals who are disabled and working with incomes below 250 percent of the federal poverty level. The expansion will include over 300 individuals.
- Hire 12 new eligibility workers with \$441,700 General Fund for 2,700 cases transferred from Workforce Services when eligible individuals left public assistance and maintained their Medicaid benefits last year.

### FY 2001 PROPOSED LEGISLATIVE INTENT

#### Executive Director’s Office

Funds for the Medical Examiner’s office are non-lapsing.

**Epidemiology and Laboratory Services**

Funds for purchasing laboratory equipment are nonlapsing.

**Community and Family Health Services**

Funds appropriated to the department from the Tobacco Settlement Restricted Account are nonlapsing.

Funds for the Youth Suicide FACT pilot project are nonlapsing.

**Medical Assistance**

Pharmacy rebates for the Medical Assistance Program fund Medicaid and Utah's Medical Assistance Program, and the rebates are not

subject to the 125 percent collection rule.

**Children's Health Insurance Program**

Funds for the Children's Health Insurance Program are nonlapsing.

**FY 2002 PROPOSED  
LEGISLATIVE INTENT**

**Health Systems Improvement**

Fees collected for the purpose of plan reviews by the Bureau of Health Facility Licensing are nonlapsing.

Funds for the State Primary Care Grant Program for underserved or uninsured individuals and special populations are nonlapsing.

**Community and Family Health Services**

Funds for the Utah Statewide Immunization Information System are nonlapsing.

Funds appropriated to the department from the Tobacco Settlement Restricted Account are nonlapsing.

**Medical Assistance**

Pharmacy rebates for the Medical Assistance Program fund Medicaid and Utah's Medical Assistance Program, and the rebates are not subject to the 125 percent collection rule.

**Children's Health Insurance Program**

Funds for the Children's Health Insurance Program are nonlapsing.

**HEALTH**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$183,647,800	\$194,316,600	\$194,801,900	\$194,018,200	\$34,756,000	\$228,774,200
Federal Funds	660,577,500	715,462,300	715,447,100	697,979,100	39,694,900	737,674,000
Dedicated Credits	58,677,900	62,474,800	62,471,500	63,239,600	1,504,400	64,744,000
Mineral Lease	600,000	0	0	0	0	0
Restricted and Trust Funds	15,645,000	22,163,000	22,163,600	14,429,300	1,832,700	16,262,000
Transfers	68,314,000	72,063,200	72,061,700	71,984,600	264,000	72,248,600
Beginning Balances	3,900,700	3,669,900	3,669,900	1,827,200	0	1,827,200
Closing Balances	(3,669,900)	(1,827,200)	(1,827,200)	(1,145,500)	0	(1,145,500)
Lapsing Funds	(1,199,200)	0	0	0	0	0
<b>Total Financing</b>	<b>\$986,493,800</b>	<b>\$1,068,322,600</b>	<b>\$1,068,788,500</b>	<b>\$1,042,332,500</b>	<b>\$78,052,000</b>	<b>\$1,120,384,500</b>
<b>Programs</b>						
Executive Director	\$12,216,300	\$11,989,100	\$12,085,900	\$11,771,700	\$767,300	\$12,539,000
Health Systems Improvement	11,022,900	13,079,600	13,072,500	12,580,600	1,365,900	13,946,500
Epidemiology and Lab Services	11,679,100	14,338,100	14,676,900	13,125,600	492,000	13,617,600
Community and Family Health	74,123,700	86,848,500	86,898,600	85,213,900	1,600,700	86,814,600
Health Care Financing	54,291,800	58,437,300	58,425,300	57,504,000	2,090,300	59,594,300
Medical Assistance	805,145,000	859,463,300	859,462,900	833,670,400	71,587,300	905,257,700
Children's Health Insurance	15,651,900	20,251,200	20,251,100	24,659,800	11,300	24,671,100
Veteran's Nursing Home	1,427,900	3,275,300	3,275,100	3,365,600	5,200	3,370,800
Health Policy Commission	437,600	127,800	127,800	0	0	0
Medical Education Council	497,600	512,400	512,400	440,900	132,000	572,900
<b>Total Budget</b>	<b>\$986,493,800</b>	<b>\$1,068,322,600</b>	<b>\$1,068,788,500</b>	<b>\$1,042,332,500</b>	<b>\$78,052,000</b>	<b>\$1,120,384,500</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>4.8%</b>
FTE Positions	--	1,225.2	1,225.2	1,215.7	18.5	1,234.2

**HEALTH**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>HEALTH FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
H1	\$194,316,600	\$0	\$675,770,000	\$88,911,000	\$22,163,000	\$41,206,600	\$1,022,367,200
H2	(455,000)	0	(16,475,500)	(434,300)	(7,741,200)	0	(25,106,000)
H3	6,700	0	0	0	0	0	6,700
H4	0	0	38,615,900	(25,265,600)	0	31,445,700	44,796,000
	<i>193,868,300</i>	<i>0</i>	<i>697,910,400</i>	<i>63,211,100</i>	<i>14,421,800</i>	<i>72,652,300</i>	<i>1,042,063,900</i>
<b>Base Adjustments</b>							
H5	(2,500)	0	(12,500)	(1,700)	(1,800)	(3,600)	(22,100)
H6	286,200	0	229,600	50,100	17,700	34,600	618,200
H7	(566,600)	0	(575,300)	(81,500)	(44,700)	(74,600)	(1,342,700)
H8	432,800	0	426,900	61,600	36,300	57,600	1,015,200
	<i>149,900</i>	<i>0</i>	<i>68,700</i>	<i>28,500</i>	<i>7,500</i>	<i>14,000</i>	<i>268,600</i>
	<b>194,018,200</b>	<b>0</b>	<b>697,979,100</b>	<b>63,239,600</b>	<b>14,429,300</b>	<b>72,666,300</b>	<b>1,042,332,500</b>
<b>Ongoing Adjustments</b>							
H9	100,000	0	0	0	0	0	100,000
H10	200,000	0	0	0	0	0	200,000
H11	500,000	0	0	0	0	0	500,000
H12	500,000	0	0	0	0	0	500,000
H13	110,400	0	0	0	50,600	0	161,000
H14	600,000	0	196,000	0	0	60,000	856,000
H15	441,700	0	441,700	0	0	0	883,400
H16	6,644,500	0	(6,816,000)	171,500	0	0	0
H17	5,143,900	0	12,526,600	321,000	0	0	17,991,500
H18	10,680,300	0	26,033,600	667,000	0	0	37,380,900
H19	5,700,200	0	0	0	0	0	5,700,200
H20	704,900	0	1,781,800	45,700	0	0	2,532,400
H21	69,600	0	175,900	4,500	0	0	250,000
H22	662,600	0	1,315,000	33,700	0	0	2,011,300
H23	486,100	0	1,162,300	29,800	0	0	1,678,200
H24	120,000	0	120,000	0	0	0	240,000
H25	547,600	0	1,352,200	34,200	0	0	1,934,000
H26	9,400	0	17,100	1,600	1,300	1,100	30,500
H27	48,100	0	0	0	0	0	48,100
H28	1,376,700	0	1,388,700	195,400	80,800	202,900	3,244,500
	<i>34,646,000</i>	<i>0</i>	<i>30,694,900</i>	<i>1,504,400</i>	<i>132,700</i>	<i>264,000</i>	<i>76,242,000</i>

**HEALTH - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
H29 Restore budget reductions in UMAP program and fund study	0	0	0	0	1,700,000	0	1,700,000
H30 Continue Medical Education Council	110,000	0	0	0	0	0	110,000
<i>Subtotal One-time Adjustments - Health</i>	<i>110,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>1,700,000</i>	<i>0</i>	<i>1,810,000</i>
<b>Total FY 2002 Health Adjustments</b>	<b>34,756,000</b>	<b>0</b>	<b>39,694,900</b>	<b>1,504,400</b>	<b>1,832,700</b>	<b>264,000</b>	<b>78,052,000</b>
<b>Total FY 2002 Health Operating Budget</b>	<b>\$228,774,200</b>	<b>\$0</b>	<b>\$737,674,000</b>	<b>\$64,744,000</b>	<b>\$16,262,000</b>	<b>\$72,930,300</b>	<b>\$1,120,384,500</b>
<b>HEALTH FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
H31 Internal service fund adjustments (\$19,400)		\$0	(\$23,700)	(\$4,100)	\$0	(\$2,100)	(\$49,300)
H32 Youth suicide FACT pilot project	60,000	0	0	0	0	0	60,000
H33 Medical examiner after hours staffing	100,000	0	0	0	0	0	100,000
H34 Replace obsolete capital equipment	340,000	0	0	0	0	0	340,000
H35 Postal rate increase	4,700	0	8,500	800	600	600	15,200
<b>Total FY 2001 Health Supplementals</b>	<b>\$485,300</b>	<b>\$0</b>	<b>(\$15,200)</b>	<b>(\$3,300)</b>	<b>\$600</b>	<b>(\$1,500)</b>	<b>\$465,900</b>
<b>HEALTH TOTALS</b>							
<b>FY 2002 Operating Base Budget</b>	<b>\$194,018,200</b>	<b>\$0</b>	<b>\$697,979,100</b>	<b>\$63,239,600</b>	<b>\$14,429,300</b>	<b>\$72,666,300</b>	<b>\$1,042,332,500</b>
<b>FY 2002 Operating Adjustments</b>	<b>34,756,000</b>	<b>0</b>	<b>39,694,900</b>	<b>1,504,400</b>	<b>1,832,700</b>	<b>264,000</b>	<b>78,052,000</b>
<b>FY 2002 Operating Recommendation</b>	<b>228,774,200</b>	<b>0</b>	<b>737,674,000</b>	<b>64,744,000</b>	<b>16,262,000</b>	<b>72,930,300</b>	<b>1,120,384,500</b>
<b>FY 2001 Operating Supplementals</b>	<b>485,300</b>	<b>0</b>	<b>(15,200)</b>	<b>(3,300)</b>	<b>600</b>	<b>(1,500)</b>	<b>465,900</b>



## HIGHER EDUCATION

Mission: *Provide high quality academic, professional, and applied technology learning opportunities designed to advance the intellectual, cultural, social, and economic well-being of the state and its people.*

### INSTITUTIONS

- The **University of Utah** (U of U) is a research/teaching university with 22,799 full-time equivalent (FTE) students. U of U receives an estimated \$142,650,000 in non-state funds for separately budgeted research.
- **Utah State University** (USU) is a research/teaching university that enrolls 16,342 FTE students. USU receives an estimated \$85,071,400 in non-state funds for separately budgeted research.
- **Weber State University** is a regional/metropolitan university with an FTE student enrollment of 12,065.
- **Southern Utah University** is a regional university with 5,373 FTE students.
- **Snow College** is a rural comprehensive community college with 3,121 FTE students.

- **Dixie State College of Utah** is a rural comprehensive community college with 3,847 FTE students and authority to offer several baccalaureate degree programs.
- The **College of Eastern Utah** is a rural comprehensive community college with 1,991 FTE students.
- **Utah Valley State College** (UVSC) is an urban comprehensive community college with authority to offer several baccalaureate degree programs. UVSC's FTE student enrollment is 13,852.
- **Salt Lake Community College** (SLCC) is an urban comprehensive community college with an FTE student enrollment of 13,934.
- The **Utah State Board of Regents** (SBR) is the governing board of the Utah System of Higher Education, which includes Utah's nine state colleges and universities. The State Board of Regents is staffed by the Office of the

Commissioner for Higher Education.

- **Utah Education Network** (UEN) is a collaboration of higher education, public education, business, two public television stations (KUED and KULC), and the statewide education technology networks of EDNET and UtahLINK. UEN delivers electronic academic instruction and services to public and higher education institutions throughout the state.

### HIGHER EDUCATION GOALS

- All Utahns will have access to appropriate postsecondary education.
- Utah's postsecondary education institutions will provide high quality, technology-enhanced education delivered by qualified faculty members with adequate library, facility, equipment, academic, and administrative support.

- All postsecondary students will acquire skills and knowledge for marketable employment or advanced study and for the support of life-long learning.
- Utah's postsecondary education institutions will foster effective partnerships with public education, government, and the private sector.
- Utah's postsecondary education institutions will continue to provide public service programs and activities as a fundamental ingredient of their institutional mission.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 17-20. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 15 and 16.*

## GOVERNOR LEAVITT'S GOALS

- Double the number of engineering, computer science, and technology-related graduates in five years and triple them in eight.
- Increase access to underserved areas in the state.
- Increase the velocity of learning, resulting in four-year degrees achieved in four academic years.

## PERFORMANCE MEASURES

- *Instructional quality and student learning* - measured by the number and proportion of students who pass licensure examinations - is intended to track and improve instructional quality.
- *Graduation efficiency* - measured by a ratio of the average credits to graduation divided by the total credit hours required - is intended to identify and eliminate bottlenecks to timely graduation.
- *Transfer efficiency* - measured by a ratio of the average credits to graduation for transfer students divided by the average credits to graduation for native students - is intended to evaluate and improve the transfer function.
- *Faculty workload* - measured by the average weekly teaching contact hours per full-time faculty compared to the State Board of Regents standard for teaching contact hour load - is intended to evaluate the adequacy of instructional workloads.
- *Two institution-specific measures* - such as a research scholarship index at the U of U and freshman retention at USU - are intended to reflect the effectiveness of each institution at achieving its unique mission and role.

## MAJOR BUDGET RECOMMENDATIONS

### Higher Education

- Fund Higher Education's increases in four categories: the governor's engineering initiative, the new formula funding, enrollment growth, and operations and maintenance for new facilities. In addition, the governor recommends continued support and expansion of the Utah Education Network.
- To meet the governor's goal of doubling the number of engineering, computer science, and technology related graduates in five years, and tripling them in eight years, he is recommending an engineering initiative package. The package consists of increasing capacity, improving quality, and providing incentives.

*Capacity* - construct UVSC classroom building for \$18,950,000, U of U engineering building for \$50,000,000, USU Engineering Building renovation and addition for \$33,857,000, College of Eastern Utah Main Building Complex replacement for \$10,281,000, and Weber State University Davis Campus Building and Infrastructure for \$24,000,000. Savings of \$5,500,000 in state funds can be achieved through packaging these projects as they are bid.

The amounts stated above for the U of U and USU engineering buildings include donations of \$30,000,000 and \$10,000,000 respectively.

*Capacity* - add new degrees in engineering and computer science by appropriating \$550,000 in ongoing school funds.

*Quality* - appropriate \$4,200,000 in supplemental school funds for equipment.

*Incentives* - appropriate \$800,000 in supplemental school funds to capitalize a new loan program for engineering, computer science, and technology students. These loans are forgiven if the student remains in the field and works in Utah for at least four years after graduation.

*Incentives* - appropriate \$5,000,000 as one-to-one state matching funds to the institutions to hire and retain engineering, computer science, and technology faculty. The institutions must annually report their matching dollars.

- Appropriate \$40,677,600, which includes school funds of \$33,534,000 and dedicated credits (tuition) of \$7,143,600, in ongoing funds to SBR. This appropri-

ation accommodates the funding formula developed by SBR at a 6.0 percent increase and includes a 4.0 percent general tuition increase. This funding is also to be used for other high priority items as determined by SBR. Governor Leavitt recommends flexibility for the board to determine distribution of funds.

- Appropriate \$32,587,600, which includes school funds of \$24,440,700 and dedicated credits (tuition) of \$8,146,900, in ongoing funds to SBR. This funding is to address projected enrollment increases of 4,193 FTE students.
- Appropriate \$3,618,800 in ongoing school funds for operations and maintenance of new facilities.
- Appropriate \$19,146,000 in supplemental school funds to construct a new teacher education and general classroom building at SUU.
- Appropriate \$29,713,000 state funds to complete the USU central heat plant.

#### **Utah Education Network (UEN)**

- Appropriate \$1,463,900 in supplemental school funds

and \$1,672,700 in ongoing school funds to support the effort to finalize the convergence of EDNET and UtahLINK and to develop increased reliability by eliminating possible failure throughout the network.

- Fund the Eccles Broadcast Center expansion with \$265,000 in ongoing school funds.
- Provide for the addition of several databases to the Pioneer Library service that is cooperatively provided by Utah Education Network, Public Education, Higher Education, and state libraries. This requires an ongoing school funds appropriation of \$310,000.
- Upgrade the Utah Education Network Satellite System to add additional space segments by appropriating \$366,800 supplemental school funds and \$232,000 ongoing school funds.
- Fund the final phase of KUED and KULC's three phase digital TV conversion with a supplemental appropriation of \$2,200,000 in school funds.

**HIGHER EDUCATION**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$364,246,600	\$383,689,200	\$383,671,300	\$383,315,800	\$0	\$383,315,800
School Funds	163,886,700	175,906,700	184,937,400	171,016,900	69,902,200	240,919,100
Federal Funds	4,546,200	4,592,900	4,592,900	4,572,000	0	4,572,000
Dedicated Credits	183,496,100	189,082,800	189,082,800	188,242,100	15,290,500	203,532,600
Mineral Lease	2,177,500	788,600	788,600	810,500	0	810,500
Restricted and Trust Funds	457,000	4,752,700	4,752,700	4,748,400	0	4,748,400
Transfers	250,000	0	0	0	0	0
Beginning Balances	20,220,600	8,942,700	8,942,700	8,942,700	0	8,942,700
Closing Balances	(8,942,700)	(8,942,700)	(8,942,700)	(8,942,700)	0	(8,942,700)
<b>Total Financing</b>	<b>\$730,338,000</b>	<b>\$758,812,900</b>	<b>\$767,825,700</b>	<b>\$752,705,700</b>	<b>\$85,192,700</b>	<b>\$837,898,400</b>
<b>Programs</b>						
University of Utah	\$240,427,900	\$265,087,000	\$265,087,800	\$263,831,600	\$0	\$263,831,600
Utah State University	166,137,600	161,074,000	161,073,600	160,237,200	0	160,237,200
Weber State University	70,070,900	75,747,500	75,746,400	75,414,500	0	75,414,500
Southern Utah University	35,337,400	33,882,000	33,870,000	33,664,800	0	33,664,800
Snow College	20,181,600	20,105,900	20,103,200	19,940,400	0	19,940,400
Dixie State College of Utah	21,514,100	20,624,700	20,624,200	20,459,200	0	20,459,200
College of Eastern Utah	11,023,300	13,504,500	13,504,400	13,380,100	0	13,380,100
Utah Valley State College	56,190,400	59,249,000	59,247,200	58,688,400	0	58,688,400
Salt Lake Community College	76,082,000	70,923,500	70,923,600	70,507,000	0	70,507,000
Regents/Statewide Programs	18,011,800	21,680,700	26,680,700	21,429,100	82,434,000	103,863,100
Utah Education Network	15,361,000	16,934,100	20,964,600	15,153,400	2,758,700	17,912,100
<b>Total Budget</b>	<b>\$730,338,000</b>	<b>\$758,812,900</b>	<b>\$767,825,700</b>	<b>\$752,705,700</b>	<b>\$85,192,700</b>	<b>\$837,898,400</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>9.1%</b>

**HIGHER EDUCATION****Capital Budget**

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$13,252,000	\$1,836,500	\$43,693,500	\$0	\$17,896,900	\$17,896,900
School Funds	4,200,000	9,044,300	77,921,300	0	11,816,100	11,816,100
Federal Funds	4,989,000	0	0	0	0	0
Dedicated Credits	950,000	428,000	428,000	0	0	0
<b>Total Financing</b>	<b>\$23,391,000</b>	<b>\$11,308,800</b>	<b>\$122,042,800</b>	<b>\$0</b>	<b>\$29,713,000</b>	<b>\$29,713,000</b>
<b>Projects</b>						
U of U - Pedestrian Bridge	\$4,989,000	\$0	\$0	\$0	\$0	\$0
SUU - Physical Education Building	17,452,000	0	0	0	0	0
SLCC - Applied Education Center	950,000	0	0	0	0	0
USU - Central Heat Plant	0	9,198,800	9,198,800	0	29,713,000	29,713,000
Snow College South - Property Purchase	0	425,000	425,000	0	0	0
Dixie State College - Fine Arts Bldg. Demolition	0	220,000	220,000	0	0	0
UVSC - Classroom Additions	0	1,465,000	1,465,000	0	0	0
USU - Engineering Building Renovation/Addition <sup>(1)</sup>	0	0	23,857,000	0	0	0
U of U - Engineering Building <sup>(2)</sup>	0	0	20,000,000	0	0	0
Engineering Building Package Savings	0	0	(2,000,000)	0	0	0
CEU - Main Building Complex Replacement	0	0	10,281,000	0	0	0
WSU - Davis Campus Building/Infrastructure	0	0	24,000,000	0	0	0
SUU - Teacher Education/General Classroom	0	0	19,146,000	0	0	0
Classroom Building Package Savings	0	0	(3,500,000)	0	0	0
UVSC - Classroom Building	0	0	18,950,000	0	0	0
<b>Total Budget</b>	<b>\$23,391,000</b>	<b>\$11,308,800</b>	<b>\$122,042,800</b>	<b>\$0</b>	<b>\$29,713,000</b>	<b>\$29,713,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(75.7%)</b>

*For FY 2002, the governor transferred \$6.0 million one-time funds from Administrative Services to Higher Education. The governor also provided an additional \$23.7 million of one-time funds and \$110.7 million of supplemental funds for Higher Education capital projects. A portion of these one-time funds come from a reduction of nearly \$25.1 million in debt service requirements.*

*(1) Utah State University will also receive donations of \$10,000,000 in addition to the state funding for its engineering building.*

*(2) The University of Utah will also receive donations of \$30,000,000 in addition to the state funding for its engineering building.*

# HIGHER EDUCATION

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
11	\$381,273,400	\$162,926,400	\$4,592,900	\$186,236,800	\$4,732,700	\$607,500	\$740,389,700
12	(2,009,900)	(994,700)	0	0	0	0	(3,004,600)
13	1,500,000	994,700	0	0	0	0	2,494,700
14	0	0	0	1,308,000	0	203,000	1,511,000
	<i>Subtotal Beginning Base Budget - USHE</i>	<i>162,926,400</i>	<i>4,592,900</i>	<i>187,544,800</i>	<i>4,732,700</i>	<i>810,500</i>	<i>741,390,800</i>
<b>Base Adjustments</b>							
15	136,700	50,000	0	0	0	0	186,700
16	0	(2,939,800)	(20,900)	(1,060,200)	(4,300)	0	(4,025,200)
	<i>Subtotal Base Adjustments - USHE</i>	<i>(2,803,100)</i>	<i>(20,900)</i>	<i>(1,060,200)</i>	<i>(4,300)</i>	<i>0</i>	<i>(3,838,500)</i>
	<b>380,900,200</b>	<b>160,036,600</b>	<b>4,572,000</b>	<b>186,484,600</b>	<b>4,748,400</b>	<b>810,500</b>	<b>737,552,300</b>
<b>Ongoing Adjustments</b>							
17	0	550,000	0	0	0	0	550,000
18	0	5,000,000	0	0	0	0	5,000,000
19	0	33,534,000	0	7,143,600	0	0	40,677,600
110	0	24,440,700	0	8,146,900	0	0	32,587,600
111	0	3,618,800	0	0	0	0	3,618,800
	<i>Subtotal Ongoing Adjustments - USHE</i>	<i>67,143,500</i>	<i>0</i>	<i>15,290,500</i>	<i>0</i>	<i>0</i>	<i>82,434,000</i>
	<b>0</b>	<b>67,143,500</b>	<b>0</b>	<b>15,290,500</b>	<b>0</b>	<b>0</b>	<b>82,434,000</b>
	<b>\$380,900,200</b>	<b>\$227,180,100</b>	<b>\$4,572,000</b>	<b>\$201,775,100</b>	<b>\$4,748,400</b>	<b>\$810,500</b>	<b>\$819,986,300</b>
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
112	(\$17,700)	\$0	\$0	\$0	\$0	\$0	(\$17,700)
113	0	800,000	0	0	0	0	800,000
114	0	4,200,000	0	0	0	0	4,200,000
	<b>(\$17,700)</b>	<b>\$5,000,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,982,300</b>

HIGHER EDUCATION - CONTINUED

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
115	FY 2001 appropriated budget	\$1,836,500	\$9,044,300	\$0	\$428,000	\$0	\$11,308,800
116	Shift Capital Budget in other departments back to Cap. Facilities	(1,836,500)	(9,044,300)	0	(428,000)	0	(11,308,800)
	<b>Total FY 2002 USHE Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>One-time Adjustments</b>							
117	USU central heat plant	17,896,900	11,816,100	0	0	0	29,713,000
	<i>Subtotal One-time Capital Adjustments - USHE</i>	<i>17,896,900</i>	<i>11,816,100</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>29,713,000</i>
	<b>Total FY 2002 USHE Capital Adjustments</b>	<b>17,896,900</b>	<b>11,816,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>29,713,000</b>
	<b>Total FY 2002 USHE Capital Budget</b>	<b>\$17,896,900</b>	<b>\$11,816,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$29,713,000</b>
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 CAPITAL BUDGET SUPPLEMENTALS</b>							
118	UVSC Classroom Building	\$0	\$18,950,000	\$0	\$0	\$0	\$18,950,000
119	U of U Engineering Building	20,000,000	0	0	0	0	20,000,000
120	USU Engineering Building renovation/addition	23,857,000	0	0	0	0	23,857,000
121	Engineering Building package savings	(2,000,000)	0	0	0	0	(2,000,000)
122	CEU Main Building Complex replacement	0	10,281,000	0	0	0	10,281,000
123	WSU Davis Campus building infrastructure	0	24,000,000	0	0	0	24,000,000
124	SUU Teacher education/general classroom	0	19,146,000	0	0	0	19,146,000
125	Classroom package savings	0	(3,500,000)	0	0	0	(3,500,000)
	<b>Total FY 2001 Utah System of Higher Ed. Capital Supplementals</b>	<b>\$41,857,000</b>	<b>\$68,877,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$110,734,000</b>
<b>UTAH EDUCATION NETWORK FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
126	FY 2001 appropriated budget	\$2,415,800	\$12,980,300	\$0	\$1,538,000	\$0	\$16,934,100
127	Less one-time FY 2001 appropriations	0	(2,005,300)	0	0	0	(2,005,300)
128	Change compensation funding from one-time to ongoing	0	5,300	0	0	0	5,300
	<i>Subtotal Beginning Base Budget - Utah Education Network</i>	<i>2,415,800</i>	<i>10,980,300</i>	<i>0</i>	<i>1,537,500</i>	<i>0</i>	<i>15,153,600</i>

HIGHER EDUCATION - CONTINUED

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
129 Internal service fund adjustments	(200)	0	0	0	0	0	(200)
Subtotal Base Adjustments - Utah Education Network	(200)	0	0	0	0	0	(200)
<b>Total FY 2002 Utah Education Network Base Budget</b>	<b>2,415,600</b>	<b>10,980,300</b>	<b>0</b>	<b>1,757,500</b>	<b>0</b>	<b>0</b>	<b>15,153,400</b>
<b>Ongoing Adjustments</b>							
130 EDNET/UtahLINK convergence and network reliability	0	1,672,700	0	0	0	0	1,672,700
131 Eccles expansion delay	0	265,000	0	0	0	0	265,000
132 Pioneer Library services	0	310,000	0	0	0	0	310,000
133 UEN satellite services	0	232,000	0	0	0	0	232,000
134 Compensation package	0	279,000	0	0	0	0	279,000
Subtotal Ongoing Adjustments - Utah Education Network	0	2,758,700	0	0	0	0	2,758,700
<b>Total FY 2002 Utah Education Network Adjustments</b>	<b>0</b>	<b>2,758,700</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,758,700</b>
<b>Total FY 2002 Utah Education Network Operating Budget</b>	<b>\$2,415,600</b>	<b>\$13,739,000</b>	<b>\$0</b>	<b>\$1,757,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$17,912,100</b>
<b>UTAH EDUCATION NETWORK FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
135 Internal service fund adjustments	(\$200)	\$0	\$0	\$0	\$0	\$0	(\$200)
136 EDNET/UtahLINK convergence and network reliability	0	1,463,900	0	0	0	0	1,463,900
137 Digital TV conversion	0	2,200,000	0	0	0	0	2,200,000
138 UEN satellite services	0	366,800	0	0	0	0	366,800
<b>Total FY 2001 Utah Education Network Supplementals</b>	<b>(\$200)</b>	<b>\$4,030,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,030,500</b>
<b>HIGHER EDUCATION TOTALS</b>							
FY 2002 Operating Base Budget	\$383,315,800	\$171,016,900	\$4,572,000	\$188,242,100	\$4,748,400	\$810,500	\$752,705,700
FY 2002 Operating Adjustments	0	69,902,200	0	15,290,500	0	0	85,192,700
FY 2002 Operating Recommendation	383,315,800	240,919,100	4,572,000	203,532,600	4,748,400	810,500	837,898,400
FY 2001 Operating Supplementals	(17,900)	9,030,700	0	0	0	0	9,012,800
FY 2002 Capital Base Budget	0	0	0	0	0	0	0
FY 2002 Capital Adjustments	17,896,900	11,816,100	0	0	0	0	29,713,000
FY 2002 Capital Recommendation	17,896,900	11,816,100	0	0	0	0	29,713,000
FY 2001 Capital Supplementals	41,857,000	68,877,000	0	0	0	0	110,734,000



# HUMAN SERVICES

Mission: *Help individuals and families resolve personal problems.*

## PROGRAMS

- **Executive Director** conducts administrative and support functions for the department.
- **Drug Courts/Drug Board** provide substance abuse treatment to criminal drug offenders who are required to plead guilty, but instead of going to jail, must regularly report progress to a judge. If at any time the judge is unsatisfied with the offender's progress, the offender may be sent either temporarily or permanently to jail. While similar to drug courts, the drug board is a pilot program targeting services to those coming out of prison.
- **Mental Health** oversees local mental health centers and operates the Utah State Hospital for the severely mentally ill.
- **Substance Abuse** oversees substance abuse treatment and prevention services.

- **Services for People with Disabilities** provides community and institutional programs for citizens with mental retardation and developmental disabilities.
- **Recovery Services** directs a number of collection and cost avoidance programs including the collection of child support for families on public assistance.
- **Child and Family Services** oversees child welfare and domestic violence programs.
- **Aging and Adult Services** acts as an advocate for elderly citizens, provides services through local agencies and other providers, and oversees adult protective services.
- Strengthen families in nurturing and providing for their children and other family members.
- Enhance quality of life by supporting individuals with special needs to lead lives that are independent, productive, and fulfilling.
- Foster self-reliance by encouraging natural support systems within families and communities.
- Assure public trust by utilizing resources effectively and efficiently.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 37-40. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 77-82.*

## SELECTED DEPARTMENT GOALS

- Protect from harm those at risk of being abused, neglected, or exploited.

## MAJOR BUDGET RECOMMENDATIONS

- Expand Drug Courts by \$3,000,000 in ongoing

- General Fund, and provide \$500,000 ongoing General Fund for treatment targeted at methamphetamine addiction.
- Add a total of \$4,166,400 in General Fund and federal funds in FY 2002 and \$2,591,900 in FY 2001 to increase adoption assistance to support families who have adopted children previously in the state's custody. Also provide \$200,000 in ongoing General Fund for post-adoptive support.
  - Increase services with \$6,177,500 in ongoing General Fund and federal Medicaid funding for individuals with disabilities who are on the waiting list or who require emergency and court-ordered placements.
  - Replace \$1,514,100 one-time federal Temporary Assistance for Needy Families (TANF) funding with the same amount of ongoing General Fund. This TANF funding is currently being used to provide services for individuals with disabilities who would lose those services if this funding is not replaced.
  - Appropriate \$3,402,900 in ongoing General Fund to continue a variety of services for the mentally ill, individuals with disabilities, the elderly, and children in foster care. This funding is necessary to make up for a decrease in the federal participation in these programs.
  - Increase services with \$1,000,000 in ongoing General Fund for the elderly in community-based programs as an alternative to nursing home placements. It is anticipated that local area agencies on aging will be able to draw down an additional \$807,100 in federal matching funds for this purpose.
  - Include \$824,800 in ongoing General Fund for community supervision, assessments, and treatment for the mentally ill. It is anticipated that local mental health centers will be able to draw down an additional \$1,958,900 in federal matching funds for this purpose.
  - Provide \$429,000 in ongoing General Fund and federal funds for a foster care rate increase.
  - Appropriate \$300,000 in ongoing General Fund for a unique partnership involving the Christmas Box Foundation, the state, and Salt Lake County in operating a shelter to more thoroughly address the needs of abused children.
  - Increase shelter services and critical response staff in the area of domestic violence by \$200,000 in ongoing General Fund.
  - Provide \$201,800 in ongoing General Fund to strengthen the Long-term Care Ombudsman program.
  - Provide \$4,199,200 in total funds for a 3.0 percent cost-of-living increase for local authorities of mental health, substance abuse, and aging, as well as community providers for the divisions of Services for People with Disabilities and Child and Family Services.

**HUMAN SERVICES**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$193,430,900	\$201,383,700	\$203,315,000	\$201,978,700	\$25,510,600	\$227,489,300
Federal Funds	103,940,400	107,727,000	108,489,300	107,324,000	3,965,800	111,289,800
Dedicated Credits	8,088,600	8,138,700	8,156,500	8,112,600	262,500	8,375,100
Restricted and Trust Funds	1,850,000	3,697,200	3,897,200	3,497,200	400,000	3,897,200
Transfers	117,466,000	121,331,700	121,380,000	120,940,100	8,881,500	129,821,600
Beginning Balances	523,300	5,100	5,100	0	0	0
Closing Balances	(5,100)	0	0	0	0	0
Lapsing Funds	(88,200)	0	0	0	0	0
<b>Total Financing</b>	<b>\$425,205,900</b>	<b>\$442,283,400</b>	<b>\$445,243,100</b>	<b>\$441,852,600</b>	<b>\$39,020,400</b>	<b>\$480,873,000</b>
<b>Programs</b>						
Executive Director	\$19,147,100	\$19,667,700	\$19,723,800	\$19,678,400	\$685,800	\$20,364,200
Drug Courts/Drug Board	0	1,647,200	1,647,200	1,647,200	3,000,000	4,647,200
Mental Health	67,632,900	73,508,800	73,769,100	73,240,300	5,588,700	78,829,000
Substance Abuse	26,704,500	30,274,800	30,374,400	30,904,400	896,200	31,800,600
Services for People w/ Disabilities	125,508,000	134,334,000	134,320,600	133,809,300	14,294,200	148,103,500
Recovery Services	42,225,400	42,958,900	42,880,000	43,196,300	1,648,800	44,845,100
Child and Family Services	125,919,000	120,906,300	123,545,100	120,537,800	10,408,400	130,946,200
Aging and Adult Services	18,069,000	18,985,700	18,982,900	18,838,900	2,498,300	21,337,200
<b>Total Budget</b>	<b>\$425,205,900</b>	<b>\$442,283,400</b>	<b>\$445,243,100</b>	<b>\$441,852,600</b>	<b>\$39,020,400</b>	<b>\$480,873,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>8.0%</b>
FTE Positions	--	3,689.1	3,689.1	3,688.0	13.0	3,701.0

**HUMAN SERVICES**

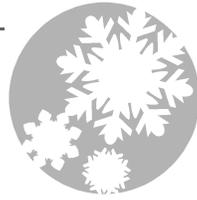
	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>HUMAN SERVICES FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
J1	\$201,383,700	\$0	\$101,507,200	\$9,042,400	\$3,697,200	\$122,886,000	\$438,516,500
J2	(100,000)	0	0	0	(200,000)	0	(300,000)
J3	4,000	0	0	0	0	0	4,000
J4	208,300	0	0	0	0	0	208,300
J5	0	0	6,038,500	(944,400)	0	(2,081,700)	3,012,400
	<i>Subtotal Beginning Base Budget - Human Services</i>	<i>0</i>	<i>107,545,700</i>	<i>8,098,000</i>	<i>3,497,200</i>	<i>120,804,300</i>	<i>441,441,200</i>
<b>Base Adjustments</b>							
J6	80,300	0	(107,000)	(1,700)	0	(24,300)	(52,700)
J7	394,800	0	187,000	20,300	0	147,800	749,900
J8	(1,813,700)	0	(906,700)	(107,600)	0	(864,900)	(3,692,900)
J9	1,821,300	0	605,000	103,600	0	877,200	3,407,100
	<i>Subtotal Base Adjustments - Human Services</i>	<i>0</i>	<i>(221,700)</i>	<i>14,600</i>	<i>0</i>	<i>155,800</i>	<i>411,400</i>
	<b>Total FY 2002 Human Services Base Budget</b>	<b>0</b>	<b>107,324,000</b>	<b>8,112,600</b>	<b>3,497,200</b>	<b>120,940,100</b>	<b>441,852,600</b>
<b>Ongoing Adjustments</b>							
J10	16,400	0	25,300	0	0	1,700	43,400
J11	3,000,000	0	0	0	0	0	3,000,000
J12	124,200	0	0	0	0	(124,200)	0
J13	302,600	0	0	29,000	0	82,900	414,500
J14	71,400	0	0	0	0	(71,400)	0
J15	824,800	0	1,958,900	0	0	0	2,783,700
J16	200,000	0	0	0	0	0	200,000
J17	500,000	0	0	0	0	0	500,000
J18	0	0	0	0	100,000	0	100,000
J19	1,514,100	0	0	0	0	1,220,400	2,734,500
J20	1,326,500	0	0	0	0	(1,326,500)	0
J21	350,000	0	0	0	0	607,100	957,100
J22	388,000	0	0	0	0	917,000	1,305,000
J23	2,000,000	0	0	0	0	3,220,400	5,220,400
J24	0	0	0	0	200,000	0	200,000

**HUMAN SERVICES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
125	2,746,500	0	1,424,000	0	0	(4,100)	4,166,400
126	387,900	0	(387,900)	0	0	0	0
127	721,600	0	(721,600)	0	0	0	0
128	738,400	0	(738,400)	0	0	0	0
129	300,000	0	0	0	0	0	300,000
130	335,400	0	98,000	0	0	0	433,400
131	200,000	0	0	0	0	0	200,000
132	200,000	0	0	0	0	0	200,000
133	301,300	0	127,700	0	0	0	429,000
134	0	0	0	0	100,000	0	100,000
135	32,900	0	0	0	0	(32,900)	0
136	52,500	0	0	0	0	0	52,500
137	201,800	0	0	0	0	0	201,800
138	100,000	0	0	0	0	0	100,000
139	1,000,000	0	0	0	0	0	1,000,000
140	467,400	0	0	0	0	0	467,400
141	224,700	0	0	0	0	0	224,700
142	598,200	0	0	0	0	0	598,200
143	733,500	0	326,000	54,300	0	1,395,800	1,994,000
144	154,800	0	0	0	0	244,500	1,358,300
145	136,400	0	158,400	4,000	0	12,000	154,800
146	4,687,200	0	1,565,500	175,200	0	1,931,700	310,800
	24,938,500	0	3,835,900	262,500	400,000	8,881,500	8,359,600
							38,318,400
<b>One-time Adjustments</b>							
147	572,100	0	129,900	0	0	0	702,000
	572,100	0	129,900	0	0	0	702,000
<b>Total FY 2002 Human Services Adjustments</b>	<b>25,510,600</b>	<b>0</b>	<b>3,965,800</b>	<b>262,500</b>	<b>400,000</b>	<b>8,881,500</b>	<b>39,020,400</b>
<b>Total FY 2002 Human Services Operating Budget</b>	<b>\$227,489,300</b>	<b>\$0</b>	<b>\$111,289,800</b>	<b>\$8,375,100</b>	<b>\$3,897,200</b>	<b>\$129,821,600</b>	<b>\$480,873,000</b>

**HUMAN SERVICES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>HUMAN SERVICES FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
448 Internal service fund adjustments	(\$21,000)	\$0	(\$82,300)	(\$1,400)	\$0	(\$23,800)	(\$128,500)
449 Postal rate increase	8,200	0	12,700	0	0	800	21,700
450 State Hospital - federally mandated seclusion and restraint	200,500	0	0	19,200	0	54,900	274,600
451 Substance Abuse - increase use of the DUI fines restricted fund	0	0	0	0	100,000	0	100,000
452 Child and Family Services - adoption assistance	1,743,600	0	831,900	0	0	16,400	2,591,900
453 Child and Family Services - domestic violence - increase restricted fund	0	0	0	0	100,000	0	100,000
<b>Total FY 2001 Human Services Supplementals</b>	<b>\$1,931,300</b>	<b>\$0</b>	<b>\$762,300</b>	<b>\$17,800</b>	<b>\$200,000</b>	<b>\$48,300</b>	<b>\$2,959,700</b>
<b>HUMAN SERVICES TOTALS</b>							
<b>FY 2002 Operating Base Budget</b>	\$201,978,700	\$0	\$107,324,000	\$8,112,600	\$3,497,200	\$120,940,100	\$441,852,600
<b>FY 2002 Operating Adjustments</b>	25,510,600	0	3,965,800	262,500	400,000	8,881,500	39,020,400
<b>FY 2002 Operating Recommendation</b>	227,489,300	0	111,289,800	8,375,100	3,897,200	129,821,600	480,873,000
<b>FY 2001 Operating Supplementals</b>	1,931,300	0	762,300	17,800	200,000	48,300	2,959,700



## LEGISLATURE

*Legislature consists of the House of Representatives, the Senate, and the offices of Legislative Research and General Counsel, Legislative Fiscal Analyst, Legislative Auditor General, Legislative Printing, Tax Review Commission, and Constitutional Revision Commission.*

### PROGRAMS

The state legislature consists of two houses—the House of Representatives with 75 members elected to two-year terms and the Senate with 29 members elected to four-year terms. Each representative represents about 28,280 constituents. Each senator represents about 73,140 constituents.

- The **Office of Legislative Research and General Counsel** is the research and legal staff arm of the legislature and assists the legislature in the development of sound public policy, ensures the integrity of the legislative process, and preserves the legislative branch in its proper constitutional role in state government.
- The **Legislative Fiscal Analyst** is charged by the legislature with analyzing state fiscal matters, which includes projecting revenues, estimat-

ing costs, and recommending funding and management initiatives. The office's goal is to provide quality, timely, and relevant information to the citizens of Utah and their elected representatives.

- The **Legislative Auditor General** conducts performance audits of state agencies and institutions, including school districts and state colleges and universities. The office reviews and evaluates programs, observing how they are being implemented, testing whether they are being operated at the lowest possible cost, and evaluating if they are successfully addressing the problems that led to their creation.
- **Legislative Printing** provides printing, typesetting, and graphic service to the legislature, its staff offices, and other state agencies.
- The **Tax Review Commission** provides an ongoing and comprehensive review of

state tax law and makes recommendations to the governor and the legislature on taxation issues and policies.

- The **Constitutional Revision Commission** is responsible for making comprehensive reviews of the state constitution and recommending amendments to the legislature.

### MAJOR BUDGET RECOMMENDATIONS

- Provide one-time General Fund of \$45,000 for remodeling of the Senate offices.
- Provide \$55,000 ongoing General Fund to support various task forces.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 29- 32. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 47-49.*

**LEGISLATURE**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Legislature's Request FY 2002	Governor Leavitt's Recommendations		
				Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$12,620,000	\$13,569,800	\$13,255,000	\$12,944,800	\$602,000	\$13,546,800
Dedicated Credits	308,100	331,000	319,600	331,000	0	331,000
Beginning Balances	2,601,800	2,624,300	148,700	2,624,300	148,700	2,773,000
Closing Balances	(2,624,300)	(2,624,300)	0	(2,624,300)	0	(2,624,300)
<b>Total Financing</b>	<b>\$12,905,600</b>	<b>\$13,900,800</b>	<b>\$13,723,300</b>	<b>\$13,275,800</b>	<b>\$750,700</b>	<b>\$14,026,500</b>
<b>Programs</b>						
Senate	\$1,564,900	\$1,500,800	\$1,633,800	\$1,489,200	\$57,400	\$1,546,600
House of Representatives	2,746,200	2,784,200	2,642,200	2,687,000	65,500	2,752,500
Legislative Printing	745,600	854,500	843,100	850,800	21,600	872,400
Legislative Research	4,192,300	4,717,500	4,404,000	4,228,400	313,800	4,542,200
Tax Review Commission	46,600	50,000	50,000	50,000	0	50,000
Legislative Fiscal Analyst	1,664,400	1,939,100	1,963,600	1,928,500	86,300	2,014,800
Legislative Auditor General	1,732,000	1,832,600	1,956,800	1,819,800	198,400	2,018,200
Dues - NCSL	89,900	92,400	96,800	92,400	4,400	96,800
Dues - Council of State Gov'ts	72,500	74,700	78,000	74,700	3,300	78,000
Constitutional Revision Comm.	51,200	55,000	55,000	55,000	0	55,000
<b>Total Budget</b>	<b>\$12,905,600</b>	<b>\$13,900,800</b>	<b>\$13,723,300</b>	<b>\$13,275,800</b>	<b>\$750,700</b>	<b>\$14,026,500</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>0.9%</b>
FTE Positions	--	114.0	114.0	114.0	0.0	114.0

*As per statute, the Legislature's Request is included without changes. Governor Leavitt's FY 2002 recommendation is not changed from the Legislature's Request except for the addition of the compensation and internal service fund recommendations.*

**LEGISLATURE**

**LEGISLATURE FY 2002 OPERATING BUDGET**

**Beginning Base Budget**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Mineral Lease	Other Funds	Total Funds
K1 FY 2001 appropriated budget	\$13,569,800	\$0	\$0	\$331,000	\$0	\$104,300	\$14,005,100
K2 Less one-time FY 2001 appropriations	(564,300)	0	0	0	0	0	(564,300)
K3 Adjustments in non-state funding levels	0	0	0	0	0	(104,300)	(104,300)
<i>Subtotal Beginning Base Budget - Legislature</i>	<i>13,005,500</i>	<i>0</i>	<i>0</i>	<i>331,000</i>	<i>0</i>	<i>0</i>	<i>13,336,500</i>

**Base Adjustments**

K4 Retirement rate adjustments	(166,300)	0	0	0	0	0	(166,300)
K5 Insurance benefit adjustments	105,600	0	0	0	0	0	105,600
<i>Subtotal Base Adjustments - Legislature</i>	<i>(60,700)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(60,700)</i>

**Total FY 2002 Legislature Base Budget**

	<b>12,944,800</b>	<b>0</b>	<b>0</b>	<b>331,000</b>	<b>0</b>	<b>0</b>	<b>13,275,800</b>
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**Ongoing Adjustments**

K6 Increase in current expense in the House of Representatives	50,000	0	0	0	0	0	50,000
K7 Intern pay increases in Legislative Research and General Counsel	50,000	0	0	0	0	0	50,000
K8 Ongoing task forces	55,000	0	0	0	0	0	55,000
K9 Increase in current expense in the Legislative Auditor's office	0	0	0	0	0	124,200	124,200
K10 Increases in annual dues	7,700	0	0	0	0	0	7,700
K11 Commission on Uniform Code	41,800	0	0	0	0	0	41,800
K12 Compensation package	352,500	0	0	0	0	0	352,500
<i>Subtotal Ongoing Adjustments - Legislature</i>	<i>557,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>124,200</i>	<i>681,200</i>

**One-time Adjustments**

K13 Remodeling of office space in the Senate	45,000	0	0	0	0	0	45,000
K14 Remodeling of the Legislative Fiscal Analyst's office	0	0	0	0	0	24,500	24,500
<i>Subtotal One-time Adjustments - Legislature</i>	<i>45,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>24,500</i>	<i>69,500</i>

**Total FY 2002 Legislature Adjustments**

	<b>602,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>148,700</b>	<b>750,700</b>
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**Total FY 2002 Legislature Operating Budget**

	<b>\$13,546,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$331,000</b>	<b>\$0</b>	<b>\$148,700</b>	<b>\$14,026,500</b>
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**LEGISLATURE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Mineral Lease	Other Funds	Total Funds
<b>LEGISLATURE TOTALS</b>							
FY 2002 Operating Base Budget	\$12,944,800	\$0	\$0	\$331,000	\$0	\$0	\$13,275,800
FY 2002 Operating Adjustments	602,000	0	0	0	0	148,700	750,700
FY 2002 Operating Recommendation	13,546,800	0	0	331,000	0	148,700	14,026,500
FY 2001 Operating Supplementals	0	0	0	0	0	0	0



# NATIONAL GUARD

Mission: *Respond to state emergencies and augment regular armed forces of the United States.*

## PROGRAMS

- **Administration** directs state programs and coordinates joint state and federal programs.
- **Armory Maintenance** maintains facilities in 26 Utah communities for training and mobilization activities.
- **Camp Williams** provides major military training facilities for both active duty and reserve forces.
- **Air National Guard** provides worldwide refueling support to the U.S. Air Force from the air base at the Salt Lake International Airport.

## SELECTED DEPARTMENT GOALS

- Fully engage in joint operational support, nation building, military-to-military contact with emerging

democracies, and preventative deterrence to hedge against aggression.

- Train, equip, and evaluate personnel and units to Army and Air Force standards in order to provide well-trained, combat ready units to support the president in case of national or international emergencies.
- Provide support systems for authorized soldiers and staff and properly maintain all guard facilities and training areas.
- Respond to homeland defense, domestic support operations, and community assistance.

*A comprehensive list of goals can be found in the Utah Tomorrow Strategic Plan, 2000 Report, pages 29- 32. A more extensive list of performance measures can be found in the Utah Tomorrow Strategic Plan, 2000 Report – Appendix, pages 47-52.*

## MAJOR BUDGET RECOMMENDATIONS

- Provide \$40,000 in ongoing General Fund for operating and maintenance expenditures at the new Orem Readiness Center.
- Transfer administration of the Office of Veterans’ Affairs from the Department of Community and Economic Development to the National Guard per House Bill 140, *Reorganization of Veteran - Related Programs*, passed in the 2000 General Session.

## FY 2002 PROPOSED LEGISLATIVE INTENT

### National Guard — Administration

Funds for tuition assistance are nonlapsing.

**NATIONAL GUARD**

Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$3,475,300	\$3,672,300	\$3,841,500	\$3,631,400	\$305,500	\$3,936,900
Federal Funds	12,164,200	11,524,700	11,524,700	11,546,700	282,200	11,828,900
Dedicated Credits	306,300	40,000	45,000	40,000	5,000	45,000
Transfers	251,100	137,000	137,000	40,500	0	40,500
Other Funds	0	0	24,600	0	0	0
Beginning Balances	98,900	16,800	16,800	0	0	0
Closing Balances	(16,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$16,279,000</b>	<b>\$15,390,800</b>	<b>\$15,589,600</b>	<b>\$15,258,600</b>	<b>\$592,700</b>	<b>\$15,851,300</b>
<b>Programs</b>						
Administration	\$497,000	\$516,000	\$516,000	\$517,500	\$27,000	\$544,500
Armory Maintenance	11,031,300	10,114,400	10,114,400	9,960,100	253,600	10,213,700
Camp Williams	1,833,500	1,797,500	1,797,500	1,801,200	37,400	1,838,600
Air National Guard	2,917,200	2,962,900	2,962,900	2,979,800	100,500	3,080,300
Veterans' Affairs	0	0	198,800	0	174,200	174,200
<b>Total Budget</b>	<b>\$16,279,000</b>	<b>\$15,390,800</b>	<b>\$15,589,600</b>	<b>\$15,258,600</b>	<b>\$592,700</b>	<b>\$15,851,300</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>1.7%</b>
FTE Positions	--	131.0	132.0	131.0	1.0	132.0

**NATIONAL GUARD**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATIONAL GUARD FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
N1	\$3,672,300	\$0	\$10,963,500	\$40,000	\$0	\$108,500	\$14,784,300
N2	(50,000)	0	0	0	0	0	(50,000)
N3	0	0	561,200	0	0	(68,000)	493,200
	<i>3,622,300</i>	<i>0</i>	<i>11,524,700</i>	<i>40,000</i>	<i>0</i>	<i>40,500</i>	<i>15,227,500</i>
	<i>Subtotal Beginning Base Budget - National Guard</i>						
<b>Base Adjustments</b>							
N4	13,700	0	37,900	0	0	0	51,600
N5	(39,500)	0	(114,200)	0	0	0	(153,700)
N6	34,900	0	98,300	0	0	0	133,200
	<i>9,100</i>	<i>0</i>	<i>22,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>31,100</i>
	<i>Subtotal Base Adjustments - National Guard</i>						
<b>Total FY 2002 National Guard Base Budget</b>	<b>3,631,400</b>	<b>0</b>	<b>11,546,700</b>	<b>40,000</b>	<b>0</b>	<b>40,500</b>	<b>15,258,600</b>
<b>Ongoing Adjustments</b>							
N7	40,000	0	0	0	0	0	40,000
N8	169,200	0	0	5,000	0	0	174,200
N9	96,300	0	282,200	0	0	0	378,500
	<i>305,500</i>	<i>0</i>	<i>282,200</i>	<i>5,000</i>	<i>0</i>	<i>0</i>	<i>592,700</i>
	<i>Subtotal Ongoing Adjustments - National Guard</i>						
<b>Total FY 2002 National Guard Adjustments</b>	<b>305,500</b>	<b>0</b>	<b>282,200</b>	<b>5,000</b>	<b>0</b>	<b>0</b>	<b>592,700</b>
<b>Total FY 2002 National Guard Operating Budget</b>	<b>\$3,936,900</b>	<b>\$0</b>	<b>\$11,828,900</b>	<b>\$45,000</b>	<b>\$0</b>	<b>\$40,500</b>	<b>\$15,851,300</b>
<b>NATIONAL GUARD FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
N10	\$169,200	\$0	\$0	\$5,000	\$0	\$24,600	\$198,800
	<i>Veterans' Affairs Office transfer from DCEd</i>						
<b>Total FY 2001 National Guard Supplementals</b>	<b>\$169,200</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,000</b>	<b>\$0</b>	<b>\$24,600</b>	<b>\$198,800</b>
<b>NATIONAL GUARD TOTALS</b>							
<b>FY 2002 Operating Base Budget</b>	<b>\$3,631,400</b>	<b>\$0</b>	<b>\$11,546,700</b>	<b>\$40,000</b>	<b>\$0</b>	<b>\$40,500</b>	<b>\$15,258,600</b>
<b>FY 2002 Operating Adjustments</b>	<b>305,500</b>	<b>0</b>	<b>282,200</b>	<b>5,000</b>	<b>0</b>	<b>0</b>	<b>592,700</b>
<b>FY 2002 Operating Recommendation</b>	<b>3,936,900</b>	<b>0</b>	<b>11,828,900</b>	<b>45,000</b>	<b>0</b>	<b>40,500</b>	<b>15,851,300</b>
<b>FY 2001 Operating Supplementals</b>	<b>169,200</b>	<b>0</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>24,600</b>	<b>198,800</b>



## NATURAL RESOURCES

*Natural Resources consists of the Department of Natural Resources, the Department of Agriculture and Food, and School and Institutional Trust Lands Administration. Their primary focus is to conserve, protect, and develop Utah's natural resources and agriculture and to effectively manage School and Institutional Trust Lands.*

### PROGRAMS

- **Natural Resources**

**Administration** provides administrative support for the department.

**Forestry, Fire, and State Lands** coordinates forestry and fire control measures for nonfederal landowners and manages sovereign lands throughout the state.

**Oil, Gas, and Mining** regulates exploration and development of energy and mineral resources.

**Wildlife Resources** manages wildlife habitat and regulates hunting, fishing, and trapping.

**Parks and Recreation** provides safe outdoor recreational opportunities; administers boating and recreational vehicle programs; and preserves natural, historic, and scenic resources.

**Geological Survey** inventories geological resources, investigates geological hazards, and provides geological information for economic development.

**Water Resources** provides comprehensive water planning, administers development of water projects, and represents Utah in interstate stream negotiations.

**Water Rights** appropriates, adjudicates, and administers distribution of Utah waters and oversees dam safety.

- **Agriculture and Food** promotes agricultural resources and protects consumer health and safety by monitoring the marketing of agricultural products.
- **Trust Lands Administration** manages trust assets for trust beneficiaries, which primarily include public schools, universities, hospitals, and correctional institutions.

### SELECTED DEPARTMENT GOALS

- **Natural Resources**

Develop, conserve, and protect the state's natural resources to enhance the quality of life. Continue nurturing mutually beneficial partnerships with the private sector in the development of natural resources throughout the state. Work with the Salt Lake Organizing Committee (SLOC) in preparation of the 2002 Olympic Winter Games. Provide mitigation of endangered species. Provide for continued defense of Utah RS2477 roads.

- **Agriculture and Food**

Promote agricultural land preservation. Develop and encourage marketing of Utah agricultural products to foreign markets. Enforce appropriate regulations. Assure a safe, wholesome, and properly labeled food and fiber supply.

- **Trust Lands Administration**

Generate \$21,850,000 for trust beneficiaries.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 23-24. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 19-23, 25, and 28-36.*

## MAJOR BUDGET RECOMMENDATIONS

### Natural Resources

- Provide \$40,000 ongoing General Fund to the Division of Water Rights for mandated water rights advertising.
- Appropriate \$15,000 in supplemental funding and \$60,000 in ongoing funding from boating restricted funds to the Division of Parks and Recreation for a boat program specialist.
- Appropriate \$20,000 in ongoing restricted funds to the Division of Forestry, Fire, and State Lands to pursue a resolution to the Bear River Migratory Bird Refugee dispute.
- Appropriate \$55,100 in one-time General Fund to reimburse the Wildlife Restricted Account for licenses sold at

discount according to Section 23-19 UCA.

- Appropriate \$3,900 in one-time General Fund for contribution to the Agricultural Wildlife Damage Prevention Account for predator control according to Section 4-23-9 UCA.
  - Provide \$400,000 in supplemental restricted funds to the Administration Division for endangered species projects.
  - Appropriate \$5,500,000 in supplemental General Fund to the Division of Forestry, Fire, and State Lands to cover FY 2001 costs that exceed the fire suppression budget.
  - Provide \$10,000,000 in one-time General Fund to the Division of Parks and Recreation for deferred maintenance and repair costs in state parks.
- ### Agriculture and Food
- Appropriate \$50,000 in ongoing General Fund, \$5,000 in federal funds, and \$2,000 in ongoing restricted funds for an additional information technology specialist.
  - Appropriate \$19,100 in ongoing General Fund and \$19,100 federal funds for an additional meat inspector.
  - Appropriate \$18,600 in ongoing General Fund with

\$18,600 in federal funds for increased costs related to the Field Automation and Information Management program.

- Provide \$68,000 in ongoing General Fund for increased operation and maintenance costs at the Diagnostic Veterinary Laboratory at Utah State University.
- Provide \$12,000 in ongoing General Fund for increased grain building maintenance costs.

### Trust Lands Administration

- Provide \$54,400 in ongoing Land Grant Management Trust Fund for rent increases.
- Appropriate \$40,000 in ongoing Land Grant Management Trust Fund for the creation of a legislative liaison position to work with the legislature and local leaders.
- Provide \$57,900 in one-time Land Grant Management Trust Fund for increased work load due to the West Desert land exchange with the federal government.
- Provide \$2,500 in ongoing Land Grant Management Trust Fund for travel costs that increased as a result of the expanding timber harvesting program.

- Appropriate \$100,000 in supplemental Land Grant Management Trust Fund to reseed lands damaged by fire this season.
- Support the lease purchase of a new Natural Resources regional office building in Price. The lease will be paid within existing budgets.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Natural Resources**

Funds to the Federal Emergency Management Agency for updating and preserving Utah's dam safety records are nonlapsing.

**Agriculture and Food**

Any lapsing unrestricted balances from FY 2001 appropriations will be transferred to the FY 2001 Grain Inspection Program for building maintenance.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Natural Resources**

The General Fund appropriation for the Species Protection program is nonlapsing.

Funding for the Bear Lake Regional Commission is to be expended only as a one-to-one match with funds from the State of Idaho.

Any excess dedicated credits collected by the Division of Oil, Gas, and Mining are nonlapsing.

Funds for the Division of Parks and Recreation's capital budget are nonlapsing.

Mineral lease funds for the Utah Geological Survey are nonlapsing.

Utah Geological Survey shall request reimbursement from the State Office of Education for inspections of proposed school sites required by the State Office of Education under rule R277-455-4.

Funds from the Water Education Donations Program are nonlapsing.

Up to \$500,000 of the Wildlife Resources budget and all nonlapsing amounts may be used for big game depredation expenses. Half of the cost should come from the General Fund and half from the Wildlife Restricted Account. This funding is nonlapsing.

Restricted General Fund to the Wildlife Habitat Account is nonlapsing.

Restricted General Fund to the Aquatic Hatchery Maintenance Account is nonlapsing.

Funds for Wildlife Resources capital budgets are nonlapsing.

Restricted General Fund for Cooperative Environmental Studies is nonlapsing. Restricted General Fund for Contributed Research is nonlapsing.

Up to \$65,300 may be used in cooperation with the Department of Agriculture - Predator Control program to control predators on upland game habitat.

The Division of Wildlife Resources in coordination with the Habitat Council will use \$100,000 from the General Fund Restricted - Wildlife Habitat Account to contribute to projects that are consistent with Section 23-19-43 UCA.

**Agriculture and Food**

Grants to charitable organizations specified in Section 57-18-3 UCA or held by the Department of Agriculture and Food should be used for the purchase of conservation easements for agricultural protection and are considered nonlapsing.

Funds for Soil Conservation District elections are nonlapsing and are to be spent only during even numbered calendar years when the elections take place.

Funds appropriated for Agribusiness are nonlapsing.

Funds for pesticide disposal are nonlapsing.

Funds for the Pesticide Control Program are nonlapsing.

Proceeds from fertilizer assessments authorized in Section 4-13-3 UCA shall be held as nonlapsing dedicated credits.

License fees collected from pesticide applicators for educational and testing materials are nonlapsing.

Funds appropriated for Predatory Animal Control are nonlapsing.

Funds for auction market veterinarian collection are nonlapsing.

Soil conservation districts are to submit annual reports documenting supervisory expenses to the Office of the Legislative Fiscal Analyst, the Governor's Office of Planning and Budget, and the Soil Conservation Commission. These reports will be reviewed and reported to the governor and the 2002 legislature.

Collections for Ag Tag license plates are nonlapsing.

General Fund appropriations for Ag In The Classroom are nonlapsing.

Any unexpended funds from the appropriation for pesticide disposal amnesty are nonlapsing.

Funds collected in the Organic Certification program are nonlapsing.

Funds for private grazing lands improvements are nonlapsing.

Dedicated credits received by the Grain Inspection Program are nonlapsing.

Funds for Trichomoniasis control are nonlapsing.

Funds for the Research Program are nonlapsing.

Funds for the biological control of weeds are nonlapsing.

**NATURAL RESOURCES**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$42,323,900	\$42,808,900	\$48,294,900	\$42,782,100	\$2,313,300	\$45,095,400
Federal Funds	18,930,900	18,902,800	18,897,800	19,098,400	579,700	19,678,100
Dedicated Credits	12,278,700	12,214,300	12,208,900	12,924,000	101,300	13,025,300
Mineral Lease	712,200	790,200	790,200	812,200	0	812,200
Restricted and Trust Funds	44,484,900	44,628,500	46,519,800	45,515,800	1,612,200	47,128,000
Transfers	1,455,800	577,000	577,000	475,100	16,600	491,700
Other Funds	0	1,969,700	596,100	601,200	0	601,200
Pass-through Funds	104,600	200,000	200,000	0	0	0
Beginning Balances	4,995,500	6,588,400	6,588,400	902,400	0	902,400
Closing Balances	(6,588,400)	(902,400)	(902,400)	(142,500)	0	(142,500)
Lapsing Funds	(1,987,900)	0	0	0	0	0
<b>Total Financing</b>	<b>\$116,710,200</b>	<b>\$127,777,400</b>	<b>\$133,770,700</b>	<b>\$122,968,700</b>	<b>\$4,623,100</b>	<b>\$127,591,800</b>
<b>Programs</b>						
<b>Natural Resources</b>						
Administration	\$3,641,400	\$4,124,300	\$4,122,600	\$3,964,000	\$159,300	\$4,123,300
Endangered Species	839,200	950,000	1,350,000	3,250,000	0	3,250,000
Building Operations	1,630,500	1,608,500	1,608,500	1,608,900	0	1,608,900
Forestry, Fire, and State Lands	8,367,800	10,040,800	15,547,700	8,596,200	163,500	8,759,700
Oil, Gas, and Mining	6,120,300	6,715,600	6,713,200	7,014,300	275,200	7,289,500
Wildlife Resources	30,295,200	35,399,300	35,393,900	34,923,800	1,751,500	36,675,300
Contributed Research	311,000	334,200	334,200	334,200	0	334,200
Cooperative Studies	3,395,300	3,524,700	3,524,700	3,524,700	0	3,524,700
Parks and Recreation	22,295,900	22,927,400	22,930,400	22,952,000	556,100	23,508,100
Geological Survey	4,085,600	4,581,500	4,580,600	4,449,700	173,400	4,623,100
Water Resources	7,477,200	4,715,900	4,715,500	4,710,300	171,800	4,882,100
Water Rights	6,388,000	6,693,200	6,690,600	6,650,300	309,400	6,959,700
CUP Mitigation Fund	743,700	3,000,000	3,000,000	0	0	0
<i>Subtotal Natural Resources</i>	<i>95,591,100</i>	<i>104,615,400</i>	<i>110,511,900</i>	<i>101,978,400</i>	<i>3,560,200</i>	<i>105,538,600</i>
<b>Agriculture and Food</b>						
Administration	14,092,600	16,724,300	16,721,100	14,579,500	699,500	15,279,000
<i>Subtotal Agriculture and Food</i>	<i>14,092,600</i>	<i>16,724,300</i>	<i>16,721,100</i>	<i>14,579,500</i>	<i>699,500</i>	<i>15,279,000</i>
<b>Trust Lands Administration</b>						
Administration	7,026,500	6,437,700	6,537,700	6,410,800	363,400	6,774,200
Subtotal Trust Lands Admin.	<i>7,026,500</i>	<i>6,437,700</i>	<i>6,537,700</i>	<i>6,410,800</i>	<i>363,400</i>	<i>6,774,200</i>
<b>Total Budget</b>	<b>\$116,710,200</b>	<b>\$127,777,400</b>	<b>\$133,770,700</b>	<b>\$122,968,700</b>	<b>\$4,623,100</b>	<b>\$127,591,800</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(4.6%)</b>
FTE Positions	--	1,429.1	1,429.1	1,428.3	3.0	1,431.3

**NATURAL RESOURCES**

## Capital Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$3,942,500	\$3,507,300	\$3,507,300	\$3,507,300	\$10,000,000	\$13,507,300
Federal Funds	1,894,700	1,861,000	1,861,000	1,876,200	0	1,876,200
Dedicated Credits	611,900	175,000	175,000	175,000	0	175,000
Restricted and Trust Funds	5,605,000	4,430,000	4,430,000	3,730,000	0	3,730,000
Transfers	(559,000)	(1,652,500)	(1,652,500)	(1,652,500)	0	(1,652,500)
Beginning Balances	9,015,100	11,364,700	11,364,700	500,000	0	500,000
Closing Balances	(11,364,700)	(500,000)	(500,000)	0	0	0
Lapsing Funds	(261,400)	0	0	0	0	0
<b>Total Financing</b>	<b>\$8,884,100</b>	<b>\$19,185,500</b>	<b>\$19,185,500</b>	<b>\$8,136,000</b>	<b>\$10,000,000</b>	<b>\$18,136,000</b>
<b>Projects</b>						
<b>Natural Resources</b>						
Wildlife Resources						
Information and Education	\$300	\$9,200	\$9,200	\$9,200	\$0	\$9,200
Fisheries	2,674,200	4,505,800	4,505,800	3,816,000	0	3,816,000
Game Management	300	6,000	6,000	6,000	0	6,000
Parks and Recreation						
Park Renovation	62,200	216,000	216,000	100,000	10,000,000	10,100,000
Acquisition and Development	2,059,500	4,137,700	4,137,700	179,800	0	179,800
Boating Access Grants	759,800	3,203,600	3,203,600	700,000	0	700,000
Off-Highway Vehicle Grants	201,900	1,384,600	1,384,600	175,000	0	175,000
Riverway Enhancement/Trails	1,181,600	3,722,600	3,722,600	1,150,000	0	1,150,000
Water Resources						
Revolving Construction Fund	563,000	563,000	563,000	563,000	0	563,000
Conservation/Develop. Fund	1,089,500	1,089,500	1,089,500	1,089,500	0	1,089,500
Transfer Appropriations to Loan Funds	(1,652,500)	(1,652,500)	(1,652,500)	(1,652,500)	0	(1,652,500)
<i>Subtotal Natural Resources</i>	<i>6,939,800</i>	<i>17,185,500</i>	<i>17,185,500</i>	<i>6,136,000</i>	<i>10,000,000</i>	<i>16,136,000</i>
<b>Trust Lands Administration</b>						
Development and Improvement	1,944,300	2,000,000	2,000,000	2,000,000	0	2,000,000
<b>Total Budget</b>	<b>\$8,884,100</b>	<b>\$19,185,500</b>	<b>\$19,185,500</b>	<b>\$8,136,000</b>	<b>\$10,000,000</b>	<b>\$18,136,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(5.5%)</b>

# NATURAL RESOURCES

	General Fund	Mineral Lease	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATURAL RESOURCES FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M1	\$32,917,400	\$602,400	\$18,086,700	\$10,844,400	\$36,651,900	\$2,036,600	\$101,139,400
M2	(30,000)	0	0	0	(3,000,000)	0	(3,030,000)
M3	34,500	0	0	0	0	0	34,500
M4	0	0	0	0	2,300,000	0	2,300,000
M5	0	0	0	0	1,373,600	(1,373,600)	0
M6	0	209,800	(1,104,400)	1,053,100	128,200	569,300	856,000
	<i>32,921,900</i>	<i>812,200</i>	<i>16,982,300</i>	<i>11,897,500</i>	<i>37,453,700</i>	<i>1,232,300</i>	<i>101,299,900</i>
	<i>Subtotal Beginning Base Budget - Natural Resources</i>						
<b>Base Adjustments</b>							
M7	5,000	0	(7,100)	(7,800)	(8,700)	0	(18,600)
M8	404,400	0	135,000	162,900	276,000	1,100	979,400
M9	(499,600)	0	(181,800)	(144,500)	(470,600)	(3,600)	(1,300,100)
M10	364,700	0	141,300	116,900	392,300	2,600	1,017,800
	<i>274,500</i>	<i>0</i>	<i>87,400</i>	<i>127,500</i>	<i>189,000</i>	<i>100</i>	<i>678,500</i>
	<i>Subtotal Base Adjustments - Natural Resources</i>						
	<b>33,196,400</b>	<b>812,200</b>	<b>17,069,700</b>	<b>12,025,000</b>	<b>37,642,700</b>	<b>1,232,400</b>	<b>101,978,400</b>
	<b>Total FY 2002 Natural Resources Base Budget</b>						
<b>Ongoing Adjustments</b>							
M11	40,000	0	0	0	0	0	40,000
M12	0	0	0	0	60,000	0	60,000
M13	0	0	0	0	20,000	0	20,000
M14	3,500	0	2,500	900	6,100	0	13,000
M15	22,400	0	21,400	4,500	22,500	0	70,800
M16	1,157,500	0	465,400	66,500	1,100,100	7,900	2,797,400
	<i>1,223,400</i>	<i>0</i>	<i>489,300</i>	<i>71,900</i>	<i>1,208,700</i>	<i>7,900</i>	<i>3,001,200</i>
	<i>Subtotal Ongoing Adjustments - Natural Resources</i>						
<b>One-time Adjustments</b>							
M17	3,900	0	0	0	0	0	3,900
M18	55,100	0	0	0	0	0	55,100
M19	500,000	0	0	0	0	0	500,000
	<i>559,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>559,000</i>
	<i>Subtotal One-time Adjustments - Natural Resources</i>						
	<b>1,782,400</b>	<b>0</b>	<b>489,300</b>	<b>71,900</b>	<b>1,208,700</b>	<b>7,900</b>	<b>3,560,200</b>
	<b>Total FY 2002 Natural Resources Adjustments</b>						
	<b>\$34,978,800</b>	<b>\$812,200</b>	<b>\$17,559,000</b>	<b>\$12,096,900</b>	<b>\$38,851,400</b>	<b>\$1,240,300</b>	<b>\$105,538,600</b>
	<b>Total FY 2002 Natural Resources Operating Budget</b>						

**NATURAL RESOURCES - CONTINUED**

	General Fund	Mineral Lease	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATURAL RESOURCES FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
M20	Internal service fund adjustments	\$0	(\$5,700)	(\$5,700)	(\$9,600)	\$0	(\$34,400)
M21	Boat program specialist	0	0	0	15,000	0	15,000
M22	Endangered Species Account funding	0	0	0	400,000	0	400,000
M23	Lake boundary line lawsuits	0	0	0	9,600	0	9,600
M24	Reclassfy Oil, Gas, and Mining funding	0	0	0	1,373,600	(1,373,600)	0
M25	Fire suppression	5,500,000	0	0	0	0	5,500,000
M26	Postal rate increase	1,600	1,200	500	3,000	0	6,300
	<b>Total FY 2001 Natural Resources Supplementals</b>	<b>\$5,488,200</b>	<b>(\$4,500)</b>	<b>(\$5,200)</b>	<b>\$1,791,600</b>	<b>(\$1,373,600)</b>	<b>\$5,896,500</b>
<b>NATURAL RESOURCES FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
M27	FY 2001 appropriated budget (excluding appropriations for loans)	\$3,507,300	\$0	\$1,861,000	\$2,430,000	(\$1,152,500)	\$6,820,800
M28	Less one-time FY 2001 appropriations	0	0	0	(700,000)	0	(700,000)
M29	Adjustments in non-state funding levels	0	0	15,200	0	0	15,200
	<b>Total FY 2002 Natural Resources Capital Base Budget</b>	<b>3,507,300</b>	<b>0</b>	<b>1,876,200</b>	<b>1,730,000</b>	<b>(1,152,500)</b>	<b>6,136,000</b>
<b>One-time Adjustments</b>							
M30	Parts repairs	10,000,000	0	0	0	0	10,000,000
	<i>Subtotal One-time Capital Adjustments - Natural Resources</i>	<i>10,000,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>10,000,000</i>
	<b>Total FY 2002 Natural Resources Capital Adjustments</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,000,000</b>
	<b>Total FY 2002 Natural Resources Capital Budget</b>	<b>\$13,507,300</b>	<b>\$0</b>	<b>\$1,876,200</b>	<b>\$1,730,000</b>	<b>(\$1,152,500)</b>	<b>\$16,136,000</b>
<b>AGRICULTURE AND FOOD FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M31	FY 2001 appropriated budget	\$9,891,500	\$0	\$2,026,400	\$1,538,800	\$906,500	\$15,260,400
M32	Less one-time FY 2001 appropriations	(315,000)	0	0	(80,000)	0	(395,000)
M33	Adjustments in non-state funding levels	0	0	0	(3,200)	(302,900)	(306,100)
	<i>Subtotal Beginning Base Budget - Agriculture and Food</i>	<i>9,576,500</i>	<i>0</i>	<i>2,026,400</i>	<i>1,455,600</i>	<i>603,600</i>	<i>14,559,300</i>

NATURAL RESOURCES - CONTINUED

	General Fund	Mineral Lease	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
M34	(4,200)	0	(900)	(400)	(600)	0	(6,100)
M35	81,700	0	11,000	6,600	8,800	2,200	110,300
M36	(150,100)	0	(20,500)	(12,000)	(14,700)	(3,800)	(201,100)
M37	81,800	0	12,700	7,600	13,200	1,800	117,100
	9,200	0	2,300	1,800	6,700	200	20,200
	<i>Subtotal Base Adjustments - Agriculture and Food</i>						
	9,585,700	0	2,028,700	899,000	1,462,300	603,800	14,579,500
<b>Total FY 2002 Agriculture and Food Base Budget</b>							
<b>Ongoing Adjustments</b>							
M38	50,000	0	5,000	0	2,000	0	57,000
M39	18,600	0	18,600	0	0	0	37,200
M40	68,000	0	0	0	0	0	68,000
M41	12,000	0	0	0	0	0	12,000
M42	19,100	0	19,100	0	0	0	38,200
M43	9,800	0	0	0	0	0	9,800
M44	353,400	0	47,700	29,400	38,100	8,700	477,300
	530,900	0	90,400	29,400	40,100	8,700	699,500
	<i>Subtotal Ongoing Adjustments - Agriculture and Food</i>						
	530,900	0	90,400	29,400	40,100	8,700	699,500
	<b>Total FY 2002 Agriculture and Food Adjustments</b>						
	\$10,116,600	\$0	\$2,119,100	\$928,400	\$1,502,400	\$612,500	\$15,279,000
	<b>Total FY 2002 Agriculture and Food Operating Budget</b>						
<b>AGRICULTURE AND FOOD FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
M45	(\$2,200)	\$0	(\$500)	(\$200)	(\$300)	\$0	(\$3,200)
	<i>Internal service fund adjustments</i>						
	(\$2,200)	\$0	(\$500)	(\$200)	(\$300)	\$0	(\$3,200)
	<b>Total FY 2001 Agriculture and Food Supplementals</b>						
	(\$2,200)	\$0	(\$500)	(\$200)	(\$300)	\$0	(\$3,200)
<b>TRUST LANDS ADMINISTRATION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M46	\$0	\$0	\$0	\$0	\$6,437,700	\$0	\$6,437,700
	<i>FY 2001 appropriated budget</i>						
	0	0	0	0	6,437,700	0	6,437,700
	<i>Subtotal Beginning Base Budget - Trust Lands Administration</i>						

**NATURAL RESOURCES - CONTINUED**

	General Fund	Mineral Lease	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
M47	0	0	0	0	23,400	0	23,400
					(79,700)		(79,700)
M48	0	0	0	0	29,400	0	29,400
M49	0	0	0	0	(26,900)	0	(26,900)
	<i>Subtotal Base Adjustments - Trust Lands Administration</i>						
<b>Total FY 2002 Trust Lands Administration Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,410,800</b>	<b>0</b>	<b>6,410,800</b>
<b>Ongoing Adjustments</b>							
M50	0	0	0	0	54,400	0	54,400
M51	0	0	0	0	40,000	0	40,000
M52	0	0	0	0	2,500	0	2,500
M53	0	0	0	0	208,600	0	208,600
	<i>Subtotal Ongoing Adjustments - Trust Lands Administration</i>						
<b>Total FY 2002 Trust Lands Administration Ongoing Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>363,400</b>	<b>0</b>	<b>363,400</b>
<b>One-time Adjustments</b>							
M54	0	0	0	0	57,900	0	57,900
	<i>Subtotal One-time Capital Adjustments - Natural Resources</i>						
<b>Total FY 2002 Trust Lands Administration One-time Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>57,900</b>	<b>0</b>	<b>57,900</b>
<b>Total FY 2002 Trust Lands Administration Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$6,774,200</b>	<b>\$0</b>	<b>\$6,774,200</b>
<b>TRUST LANDS ADMINISTRATION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
M55	\$0	\$0	\$0	\$0	\$100,000	\$0	\$100,000
<b>Total FY 2001 Trust Lands Administration Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>	<b>\$100,000</b>
<b>TRUST LANDS ADMINISTRATION FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
M56	\$0	\$0	\$0	\$0	\$2,000,000	\$0	\$2,000,000
<b>Total FY 2002 Trust Lands Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>
<b>Total FY 2002 Trust Lands Administration Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,000,000</b>	<b>\$0</b>	<b>\$2,000,000</b>

**NATURAL RESOURCES - CONTINUED**

	General Fund	Mineral Lease	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATURAL RESOURCES TOTALS</b>							
FY 2002 Operating Base Budget	\$42,782,100	\$812,200	\$19,098,400	\$12,924,000	\$45,515,800	\$1,836,200	\$122,968,700
FY 2002 Operating Adjustments	2,313,300	0	579,700	101,300	1,612,200	16,600	4,623,100
FY 2002 Operating Recommendation	45,095,400	812,200	19,678,100	13,025,300	47,128,000	1,852,800	127,591,800
FY 2001 Operating Supplementals	5,486,000	0	(5,000)	(5,400)	1,891,300	(1,373,600)	5,993,300
FY 2002 Capital Base Budget	3,507,300	0	1,876,200	175,000	3,730,000	(1,152,500)	8,136,000
FY 2002 Capital Adjustments	10,000,000	0	0	0	0	0	10,000,000
FY 2002 Capital Recommendation	13,507,300	0	1,876,200	175,000	3,730,000	(1,152,500)	18,136,000
FY 2001 Capital Supplementals	0	0	0	0	0	0	0



## PUBLIC EDUCATION

**Mission:** *To provide each student with the opportunity to be literate and to possess the basic knowledge, understanding, and life skills necessary to become a contributing citizen in today's society, with the understanding that basic knowledge includes the arts, humanities, and sciences as defined in the State Core Curriculum; life skills are defined as lifelong learning, complex thinking, effective communication, responsible citizenship, employability, character development, and ethics; and literate means the ability to use words and numbers to communicate and apply knowledge using language and numbers.*

### PROGRAMS

- **Utah State Office of Education** provides administrative support for the State Board of Education and the State Board for Applied Technology Education and distributes state and federal funding to local school districts.
- **School Food Services** manages child nutrition and food services programs in the public schools and provides free and reduced-price meals to eligible children.
- **Utah State Office of Rehabilitation** provides appropriate training and helps eligible adults secure and maintain employment.
- **Educational Contracts** provides contractual services for the Utah State Hospital, American Fork Developmental Center, and the Department of Corrections.
- **Science and Arts** supports science and art programs presented to school children by various arts and sciences entities funded through requests for proposals and continuing grants to the Hansen Planetarium, Utah Symphony, Ballet West, Ririe-Woodbury Dance Company, Repertory Dance Company, Children's Dance Theater, Springville Arts Museum, and Utah Opera Company.
- **Utah System of Applied Technology** operates applied technology centers (ATCs) and applied technology center service regions (ATCSRs) and provides open-entrance/open-exit technological training for secondary and non-degree adult students.
- **Utah Schools for the Deaf and the Blind** serve the educational needs of sensory-impaired children with specialized instruction, resource materials, and support services.
- **Minimum School Program** distributes state funds to provide equitable funding for the 40 local school districts in the state. The legislature amends the *Minimum School Program Act* annually to make changes in programs and funding formulas and set the value of the Weighted Pupil Unit (WPU).

### SELECTED DEPARTMENT GOALS

- Emphasize significant improvement of academic achievement at all levels for all students.

- Assist Utah schools in taking full advantage of extended learning schedules to enhance student opportunities.
- Adequately address the needs of all students by focusing resources to identify and support best practices and techniques.
- Assist and support the development of ongoing professional development efforts for teachers and principals at all levels.
- Support a comprehensive focus on the concept of literacy as fundamental to all learning and as part of the professional responsibility of teachers and principals.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 9-16. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 11-14.*

### GOVERNOR LEAVITT'S GOALS

- Simplify funding for Public Education by replacing the Weighted Pupil Unit with a new formula.
- Provide fair and equitable compensation in order to hire and retain competent teachers.

- Every child should read at grade level by the end of third grade.
- Improve the graduation rates of ethnic minority children.

### MAJOR BUDGET RECOMMENDATIONS

- Reexamine the way public education is funded by the state. Develop a new funding formula that provides simplicity, flexibility, and accountability.
- Increase the ongoing and supplemental public education school funds budget by 14.8 percent including 6.0 percent to fund salaries of teachers and other school personnel, as well as ongoing school maintenance and operations, with an increase of \$99,930,000 from the Uniform School Fund. The ongoing portion of the budget increase is 9.5 percent.
- Strengthen local programs and services for students by appropriating \$49,965,000 Uniform School Fund for districts to use for lower class size and other programmatic initiatives.
- Enhance accountability for continuous, statewide improvement of student achievement by providing \$3,970,000 in additional, ongoing Uniform School Fund for the Utah Perform-

ance Assessment System for Students, including \$1,000,000 for teacher development and public awareness to help teachers and parents use the system to improve the academic progress of students.

- Provide \$30,600,000 Uniform School Fund as a supplemental to provide appropriate textbooks for all students and, if additional funds remain, to acquire other instructional materials and supplies and improve technology for instruction. Segregate textbook funds in a separate district account.
- Provide a \$10,000,000 Uniform School Fund supplemental to help districts acquire library books, materials, and equipment. Segregate these funds in a separate district account.
- Bridge the higher education engineering initiative with Public Education by attracting and retaining highly qualified secondary teachers in mathematics, physics, chemistry, physical science, and information technology. Such teachers would be given an incentive funded with \$19,000,000 from the Uniform School Fund, including \$16,600,000 supplemental and \$2,400,000 ongoing. The incentives would require that teachers teach for four years in state public secondary schools.

- Appropriate \$15,000,000 supplemental from the Uniform School Fund for a statewide educational technology initiative at public schools to provide switch technology that brings video to the desktop and to install internet-capable multimedia workstations.
- Strengthen technology education with Uniform School Fund appropriations to school districts of \$1,500,000 supplemental for applied technology equipment and a \$4,400,000 supplemental for technology equipment.
- Continue to assist teachers with a \$5,000,000 supplemental from Uniform School Fund that will reimburse them for the purchase of classroom materials and supplies. This is up to \$225 per elementary teacher and \$175 per teacher in grades seven through 12.
- Continue progress in reading and literacy by appropriating \$4,000,000 Uniform School Fund to hire 100 reading specialists at elementary schools with the greatest need for remedial assistance.
- Provide an additional \$3,258,800 Uniform School Fund for focused, statewide efforts to support professional development of educators.
- Improve graduation rates of low-income and ethnic minority children with \$1,125,000 in Uniform School Fund. These funds will be used to provide new Office of Education staff, including an Indian education specialist; to help students who speak English as a second language; to help schools that are highly impacted with students from lower income and minority backgrounds or who speak English as a second language; and to help ethnic minority and female students prepare for post high school opportunities in math and science.
- Strengthen applied technology education with Uniform School Fund appropriations to ATCs and ATCSRs of \$2,000,000 supplemental for equipment; \$364,700 for growth; and \$500,000 ongoing for medical, dental, and information technology programs.
- Encourage highly-motivated high school students by strengthening concurrent enrollment in college courses with a supplemental Uniform School Fund increase of \$1,400,000 and an ongoing increase of \$1,667,000.
- Provide increased services to children with disabilities through Uniform School Fund appropriations of \$940,300 to the Schools for the Deaf and Blind for growth, federal funds losses, transportation, and an orientation and mobility specialist.
- Provide increased services to adults with disabilities through Uniform School Fund appropriations of \$1,242,200 to the Office of Rehabilitation for growth, caseload reduction, higher pay for teachers of the blind, a program coordinator for persons with hearing impairments, and expansion of new centers for independent living that serve Utah, Davis, and Weber counties.
- Add \$9,653,800 Uniform School Fund to the state guarantee for equalization of local Board and Voted Leeway property tax levies, with \$2,503,800 for increases under the existing guarantee and \$7,150,000 for a proposed increase in the value of the state guarantee.
- Increase by \$10,000,000 ongoing Uniform School Fund the capital outlay foundation program that supplements local school district funding for school building construction and renovation.

### **FY 2001 PROPOSED LEGISLATIVE INTENT**

FY 2001 supplemental state funds for textbooks and instructional materials, equipment, and supplies shall be spent first to rectify all deficiencies in the availability of appropriate, up-to-date textbooks that are needed for all students in particular classes.

A school district expending FY 2001 supplemental state funds for textbooks and instructional materials, equipment, and supplies shall spend additional funds for those purposes in both FY 2001 and in FY 2002 that are at least equal to the mean annual average of its expenditures for those purposes in the period from FY 1996 through FY 2000. These funds, along with the required 5.5 percent minimum requirement of WPU spending, shall be placed into a separate account by the school districts.

A school district expending FY 2001 supplemental state funds for library books, materials, and equipment shall spend additional funds for those purposes in both FY 2001 and in FY 2002 that are at least equal to the mean annual average of its expenditures for those purposes in the period from FY 1996 through FY 2000. These funds shall be placed into a separate account by the school districts.

FY 2001 supplemental state

funds to reimburse teachers for purchase of classroom materials and supplies shall be used to provide reimbursement for the 2001-2002 school year.

**FY 2002 PROPOSED  
LEGISLATIVE INTENT**

All funds for MESA (Mathematics, Engineering, and Science Achievement) shall be expended to improve opportunities for ethnic minority and female students in the fields of mathematics

**PUBLIC EDUCATION**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>
<b>Plan of Financing</b>						
General Fund	\$330,000	\$254,800	\$254,800	\$254,800	\$0	\$254,800
School Funds	1,534,981,200	1,633,420,146	1,721,197,446	1,622,876,646	146,996,100	1,769,872,746
Federal Funds	225,805,500	235,889,000	235,880,000	234,943,700	1,426,000	236,369,700
Dedicated Credits	22,950,700	23,839,300	23,838,300	24,592,300	64,700	24,657,000
Mineral Lease	738,900	817,400	817,400	840,100	0	840,100
Restricted and Trust Funds	635,700	425,800	425,800	479,600	4,400	484,000
Transfers	2,691,800	10,580,100	10,580,100	10,698,700	0	10,698,700
Other Funds	402,700	78,000	75,600	10,500	45,800	56,300
Beginning Balances	24,387,900	30,446,600	30,446,600	6,067,400	0	6,067,400
Closing Balances	(30,446,600)	(6,067,400)	(6,067,400)	(5,914,600)	0	(5,914,600)
Lapsing Funds	(118,600)	0	0	0	0	0
Local Property Tax	311,574,900	331,712,666	331,712,666	331,712,666	24,398,400	356,111,066
<b>Total Financing</b>	<b>\$2,093,934,100</b>	<b>\$2,261,396,412</b>	<b>\$2,349,161,312</b>	<b>\$2,226,561,812</b>	<b>\$172,935,400</b>	<b>\$2,399,497,212</b>
<b>Programs</b>						
State Office of Education	\$145,945,400	\$150,643,100	\$150,622,600	\$149,644,900	\$6,090,700	\$155,735,600
State Office of Rehabilitation	43,402,100	46,261,400	46,243,100	46,306,500	2,938,300	49,244,800
Schools for the Deaf and Blind	19,020,700	20,853,500	20,973,000	20,733,000	1,772,900	22,505,900
System of Applied Technology	30,373,400	32,621,700	34,621,700	32,759,500	2,221,300	34,980,800
Custom Fit Training	2,735,300	3,366,500	3,366,500	3,366,500	0	3,366,500
Science and the Arts	2,293,700	2,687,100	2,687,100	2,687,100	0	2,687,100
Education Contracts	4,650,500	4,283,000	4,283,000	4,278,700	0	4,278,700
Nutrition Programs	86,366,500	94,673,700	94,673,000	94,969,900	70,400	95,040,300
Minimum School Program	1,758,941,000	1,901,021,012	1,986,581,012	1,866,831,912	159,408,900	2,026,240,812
Utah Educational Network	205,500	210,400	210,300	208,800	7,900	216,700
Trust Fund Interest to Schools	0	4,775,000	4,900,000	4,775,000	425,000	5,200,000
<b>Total Budget</b>	<b>\$2,093,934,100</b>	<b>\$2,261,396,412</b>	<b>\$2,349,161,312</b>	<b>\$2,226,561,812</b>	<b>\$172,935,400</b>	<b>\$2,399,497,212</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>2.1%</b>
FTE Positions	--	1,076.7	1,076.7	1,076.7	11.1	1,087.8

**PUBLIC EDUCATION**

Capital Budget

	<u>Governor Leavitt's Recommendations</u>					
	Actual FY 2000	Authorized FY 2001	Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
School Funds	\$33,292,000	\$31,129,800	\$31,493,800	\$28,358,000	\$10,000,000	\$38,358,000
<b>Total Financing</b>	<b>\$33,292,000</b>	<b>\$31,129,800</b>	<b>\$31,493,800</b>	<b>\$28,358,000</b>	<b>\$10,000,000</b>	<b>\$38,358,000</b>
<b>Projects</b>						
Capital Outlay Program	\$28,358,000	\$28,358,000	\$28,358,000	\$28,358,000	\$10,000,000	\$38,358,000
One-time Capital Equalization	1,000,000	0	0	0	0	0
Bridgerland ATC - Remodeling	3,934,000	0	0	0	0	0
Ogden/Weber ATC - Maint. Bldg.	0	1,669,800	1,669,800	0	0	0
Center for the Deaf Expansion	0	1,102,000	1,102,000	0	0	0
Ogden/Weber ATC - Land Purchase	0	0	364,000	0	0	0
<b>Total Budget</b>	<b>\$33,292,000</b>	<b>\$31,129,800</b>	<b>\$31,493,800</b>	<b>\$28,358,000</b>	<b>\$10,000,000</b>	<b>\$38,358,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>21.8%</b>

**MINIMUM SCHOOL PROGRAM FY 2002**

	APPROPRIATION S.B. 3		GOVERNOR RECOMMENDS		CHANGE	
	FY 2001		FY 2002			
	FY 2001 WPU's	\$ Amount @ 2,006	FY 2002 WPU's	\$ Amount @ 2,126	\$ Amount	Percent
<b>Plan of Financing</b>						
<b>Local Revenue</b>						
Local Property Tax - Basic Levy - 0.001881		\$189,329,826		\$196,908,726	\$7,578,900	4.0%
Local Property Tax - Voted Leeway		110,412,316		124,010,609	13,598,293	12.3
Local Property Tax - Board Leeway		31,970,524		35,191,690	3,221,166	10.1
<b>Total Local Contribution</b>		<b>331,712,666</b>		<b>356,111,025</b>	<b>24,398,359</b>	<b>7.4</b>
<b>State Revenue</b>						
A. Uniform School Fund		1,535,119,246		1,670,129,787	135,010,541	8.8
<b>Total State Revenue</b>		<b>1,535,119,246</b>		<b>1,670,129,787</b>	<b>135,010,541</b>	<b>8.8</b>
<b>Total Revenue</b>		<b>\$1,866,831,912</b>		<b>\$2,026,240,812</b>	<b>\$159,408,900</b>	<b>8.5%</b>
<b>Programs</b>						
<b>A. Regular Basic School Programs</b>						
1. Kindergarten	20,222	\$40,565,332	20,616	\$43,829,616	\$3,264,284	8.0%
2. Grades 1-12	426,422	855,402,532	427,244	908,320,744	52,918,212	6.2
3. Necessarily Existent Small Rural Schools	7,080	14,202,480	7,336	15,596,336	1,393,856	9.8
4. Professional Staff	41,394	83,036,364	41,394	88,003,644	4,967,280	6.0
5. Administrative Costs	1,655	3,319,930	1,655	3,518,530	198,600	6.0
<b>Total Regular Basic School Programs</b>	<b>496,773</b>	<b>996,526,638</b>	<b>498,245</b>	<b>1,059,268,870</b>	<b>62,742,232</b>	<b>6.3</b>
<b>B. Restricted Basic School Programs</b>						
1. Special Education - Regular Program						
(a) Special Education Add-On WPU's	52,697	105,710,182	53,153	113,003,278	7,293,096	6.9
(b) Self-Contained Regular WPU's	12,299	24,671,794	12,466	26,502,716	1,830,922	7.4
2. Special Education Pre-School	5,038	10,106,228	5,114	10,872,364	766,136	7.6
3. Extended Year Program for Severely Disabled	237	475,422	237	503,862	28,440	6.0
4. Special Education - State Programs	1,350	2,708,100	1,350	2,870,100	162,000	6.0
5. Applied Technology Education - District	19,464	39,044,784	19,464	41,380,464	2,335,680	6.0
6. Applied Technology - District Set Aside	989	1,983,934	989	2,102,614	118,680	6.0
7. Accelerated Learning Programs						
(a) Gifted and Talented	767	1,538,602	767	1,630,642	92,040	6.0
(b) Advanced Placement	601	1,205,606	601	1,277,726	72,120	6.0
(c) Concurrent Enrollment	2,473	4,960,838	3,304	7,024,304	2,063,466	41.6
8. Adult Education Programs	3,407	6,834,442	3,407	7,243,282	408,840	6.0
9. Youth At Risk Programs						
(a) Youth in Custody	6,081	12,198,486	6,812	14,482,312	2,283,826	18.7
(b) At Risk Flow Through	2,171	4,355,026	2,171	4,615,546	260,520	6.0
(c) Other At Risk Programs	1,787	3,584,722	1,905	4,050,030	465,308	13.0
10. Career Ladders	24,253	48,651,518	24,253	51,561,878	2,910,360	6.0
11. Class Size Reduction	29,577	59,331,462	29,577	62,880,702	3,549,240	6.0
<b>Total Restricted Basic School Programs</b>	<b>163,191</b>	<b>327,361,146</b>	<b>165,570</b>	<b>352,001,820</b>	<b>24,640,674</b>	<b>7.5</b>

<b>C. Unrestricted Basic School Programs</b>						
1. Local Program	11,549	23,167,294	11,549	24,553,174	1,385,880	6.0
<b>Total Local Program</b>	<b>11,549</b>	<b>23,167,294</b>	<b>11,549</b>	<b>24,553,174</b>	<b>1,385,880</b>	<b>6.0</b>
<b>Total Basic School Program W/PUs</b>	<b>671,513</b>	<b>1,347,055,078</b>	<b>675,364</b>	<b>1,435,823,864</b>	<b>88,768,786</b>	<b>6.6</b>
<b>D. Related to Basic Program</b>						
1. Social Security and Retirement	253,325,728		231,484,701		(21,841,027)	(8.6)
2. Pupil Transportation to and from School	53,236,772		56,430,978		3,194,206	6.0
3. Contingency Fund	419,246		419,246		0	0.0
4. Incentives for Excellence	614,911		614,911		0	0.0
5. Regional Service Centers	1,172,733		1,243,097		70,364	6.0
6. Staff Development	1,965,577		5,224,377		3,258,800	165.8
7. Comprehensive Guidance	7,420,659		8,243,599		822,940	11.1
8. Technology 2000	8,970,322		8,970,322		0	0.0
9. Highly Impacted Schools	4,873,207		5,665,599		792,392	16.3
10. FACT At-Risk Program	1,250,670		1,325,710		75,040	6.0
11. Alternative Language Services	3,328,564		3,578,564		250,000	7.5
12. Character Education	397,680		397,680		0	0.0
13. School Nurse Program	496,949		996,949		500,000	100.6
14. State Literacy Program	5,000,000		5,000,000		0	0.0
15. Alternative Middle Schools	2,000,000		2,120,000		120,000	6.0
16. Transportation Levy Guarantee	225,000		225,000		0	0.0
17. Work-based Learning	2,235,000		2,369,100		134,100	6.0
18. Truancy Intervention and Prevention	150,000		150,000		0	0.0
19. State contributions to school districts	1,113,100		51,078,100		49,965,000	4,488.8
20. Reading specialists at low-testing schools	0		4,000,000		4,000,000	100.0
21. Reading Improvement Scholarships	0		18,000 <sup>a</sup>		0	0.0
22. Math/Science Recruitment and Retention	0		2,400,000		2,400,000	100.0
23. Assessment and Accountability Program	3,500,000 <sup>b</sup>		3,500,000 <sup>b</sup>		0	0.0
<b>Total Related to Basic Program</b>	<b>351,714,118</b>		<b>395,455,933</b>		<b>43,741,815</b>	<b>12.4</b>
<b>E. Special Purpose Programs</b>						
1. Experimental - Developmental Programs	5,933,056		5,933,056		0	0.0
2. School Land Trust Program	4,775,000		5,200,000		425,000	8.9
<b>Total Special Purpose Programs</b>	<b>10,708,056</b>		<b>11,133,056</b>		<b>425,000</b>	<b>4.0</b>
<b>F. Board and Voted Leeway Programs</b>						
1. Voted Leeway Program	121,242,797		142,607,456		21,364,659	17.6
2. Board Leeway Program	36,111,863		41,220,503		5,108,640	14.1
<b>Total Board and Voted Leeway Programs</b>	<b>157,354,660</b>		<b>183,827,959</b>		<b>26,473,299</b>	<b>16.8</b>
<b>Total Minimum School Program</b>	<b>\$1,866,831,912<sup>c</sup></b>		<b>\$2,026,240,812<sup>c</sup></b>		<b>\$159,408,900</b>	<b>8.5%</b>

<sup>a</sup> H.B. 397 appropriated an additional \$9,000 for FY 2001, and the addition is included in this table.

<sup>b</sup> In addition, the Office of Education is recommended to receive an appropriation of \$3,970,000 for this program in FY 2002.

<sup>c</sup> No one-time funds for FY 2001 are included, and there are no one-time funds recommended for FY 2002.

**PUBLIC EDUCATION**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC EDUCATION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
N1	\$254,800	\$1,633,420,146	\$243,994,000	\$23,929,000	\$548,500	\$335,989,366	\$2,238,135,812
N2	0	(10,569,500)	0	0	0	0	(10,569,500)
N3	0	0	(9,102,600)	653,800	(68,500)	7,408,100	(1,109,200)
	254,800	1,622,850,646	234,891,400	24,582,800	480,000	343,397,466	2,226,457,112
<b>Base Adjustments</b>							
N4	0	(27,600)	(9,000)	(700)	0	13,000	(24,300)
N5	0	175,300	97,500	12,300	600	9,500	295,200
N6	0	(771,000)	(243,000)	(20,000)	(1,700)	(27,300)	(1,063,000)
N7	0	649,300	206,800	17,900	700	22,100	896,800
	0	26,000	52,300	9,500	(400)	17,300	104,700
	<b>254,800</b>	<b>1,622,876,646</b>	<b>234,943,700</b>	<b>24,592,300</b>	<b>479,600</b>	<b>343,414,766</b>	<b>2,226,561,812</b>
<b>Ongoing Adjustments</b>							
N8	0	3,970,000	0	0	0	0	3,970,000
N9	0	500,000	0	0	0	0	500,000
N10	0	125,000	0	0	0	0	125,000
N11	0	300,000	0	0	0	0	300,000
N12	0	250,000	0	0	0	0	250,000
N13	0	812,500	710,100	0	0	0	1,522,600
N14	0	328,600	0	0	0	0	328,600
N15	0	54,000	0	0	0	0	54,000
N16	0	47,100	0	0	0	0	47,100
N17	0	847,100	0	0	0	0	847,100
N18	0	63,200	0	0	0	0	63,200
N19	0	30,000	0	0	0	0	30,000
N20	0	364,700	0	0	0	0	364,700
N21	0	500,000	0	0	0	0	500,000
N22	0	99,930,000	0	0	0	0	99,930,000
N23	0	5,508,000	0	0	0	0	5,508,000
N24	0	544,300	0	0	0	0	544,300

**PUBLIC EDUCATION - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
N25	MSP - Youth at risk - restore Youth in Custody (YIC) base funding	1,257,300	0	0	0	0	1,257,300
N26	MSP - Youth at risk - inflation and operation of new YIC facilities	493,200	0	0	0	0	493,200
N27	MSP - Youth at risk - MESA for females and ethnic minorities	250,000	0	0	0	0	250,000
N28	MSP - state contribution to school districts (class size and other)	49,965,000	0	0	0	0	49,965,000
N29	MSP - highly impacted schools growth and expansion	500,000	0	0	0	0	500,000
N30	MSP - staff development, induction, and NBPTS certification	3,258,800	0	0	0	0	3,258,800
N31	MSP - reading specialists for remediation in low-performing schools	4,000,000	0	0	0	0	4,000,000
N32	MSP - comprehensive guidance growth and inflation	377,700	0	0	0	0	377,700
N33	MSP - English as a second language in alternative language services	250,000	0	0	0	0	250,000
N34	MSP - school nurses incentive matching funds	500,000	0	0	0	0	500,000
N35	MSP - school district savings from retirement adjustments	(36,298,700)	0	0	0	0	(36,298,700)
N36	MSP - property tax minimum basic levy growth	(7,578,900)	0	0	0	7,578,900	0
N37	MSP - Board and Voted Leeway programs	2,503,800	0	0	0	16,819,500	19,323,300
N38	MSP - increase state guarantee for Board and Voted Leeway	7,150,000	0	0	0	0	7,150,000
N39	MSP - incentives for highly qualified math and science teachers	2,400,000	0	0	0	0	2,400,000
N40	Trust lands interest distributed to local schools	425,000	0	0	0	0	425,000
N41	Compensation increase for ISF billings	94,200	76,200	0	0	0	170,400
N42	Applied technology - compensation	1,356,600	0	0	0	0	1,356,600
N43	Compensation package	1,917,600	639,700	64,700	4,400	45,800	2,672,200
	<i>Subtotal Ongoing Adjustments - Public Education</i>	<i>146,996,100</i>	<i>1,426,000</i>	<i>64,700</i>	<i>4,400</i>	<i>24,444,200</i>	<i>172,935,400</i>
<b>Total FY 2002 Public Education Adjustments</b>	<b>0</b>	<b>146,996,100</b>	<b>1,426,000</b>	<b>64,700</b>	<b>4,400</b>	<b>24,444,200</b>	<b>172,935,400</b>
<b>Total FY 2002 Public Education Operating Budget</b>	<b>\$254,800</b>	<b>\$1,769,872,746</b>	<b>\$236,369,700</b>	<b>\$24,657,000</b>	<b>\$484,000</b>	<b>\$367,858,966</b>	<b>\$2,399,497,212</b>

**PUBLIC EDUCATION FY 2001 OPERATING BUDGET SUPPLEMENTALS**

N44	Internal service fund adjustments	\$0	(\$26,500)	(\$1,000)	\$0	(\$2,400)	(\$38,900)
N45	Rehabilitation - reduced rent for library for persons with blindness	0	(389,300)	0	0	0	(389,300)
N46	Rehabilitation - replace 1/3 of information technology equipment	0	385,000	0	0	0	385,000
N47	Deaf and blind - eight new vehicles for outreach and consulting	0	123,100	0	0	0	123,100

**PUBLIC EDUCATION - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
V48	MSP - switch technology and multimedia work stations at schools	15,000,000	0	0	0	0	15,000,000
V49	MSP - technology equipment at schools	4,400,000	0	0	0	0	4,400,000
V50	MSP - final awards for schools for the 21 <sup>st</sup> century	1,060,000	0	0	0	0	1,060,000
V51	MSP - textbooks and instructional materials	30,600,000	0	0	0	0	30,600,000
V52	MSP - library books, materials, and equipment	10,000,000	0	0	0	0	10,000,000
V53	MSP - pay teachers to buy classroom materials for 2001-2002	5,000,000	0	0	0	0	5,000,000
V54	MSP - fully fund concurrent enrollment	1,400,000	0	0	0	0	1,400,000
V55	MSP - ATE equipment at school districts	1,500,000	0	0	0	0	1,500,000
V56	MSP - incentives for highly qualified math and science teachers	16,600,000	0	0	0	0	16,600,000
V57	Applied technology - ATE equipment at ATCs and ATCSRs	2,000,000	0	0	0	0	2,000,000
V58	Trust lands interest	125,000	0	0	0	0	125,000
<b>Total FY 2001 Public Education Supplementals</b>		<b>\$0</b>	<b>\$87,777,300</b>	<b>(\$9,000)</b>	<b>\$0</b>	<b>(\$2,400)</b>	<b>\$87,764,900</b>
<b>PUBLIC EDUCATION FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
V59	FY 2001 appropriated budget	\$31,129,800	\$0	\$0	\$0	\$0	\$31,129,800
V60	Shift Capital Budget in other departments back to Cap. Facilities	(2,771,800)	0	0	0	0	(2,771,800)
<b>Total FY 2002 Public Education Capital Base Budget</b>		<b>0</b>	<b>28,358,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>28,358,000</b>
<b>Ongoing Adjustments</b>							
V61	Capital outlay program	10,000,000	0	0	0	0	10,000,000
	<i>Subtotal Ongoing Capital Adjustments - Public Education</i>	<i>0</i>	<i>10,000,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>10,000,000</i>
<b>Total FY 2002 Public Education Capital Adjustments</b>		<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,000,000</b>
<b>Total FY 2002 Public Education Capital Budget</b>		<b>\$0</b>	<b>\$38,358,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$38,358,000</b>
<b>PUBLIC EDUCATION FY 2001 CAPITAL BUDGET SUPPLEMENTALS</b>							
V62	Cgaden/Weber ATC land purchase	\$0	\$364,000	\$0	\$0	\$0	\$364,000
<b>Total FY 2001 Public Education Capital Supplementals</b>		<b>\$0</b>	<b>\$364,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$364,000</b>

**PUBLIC EDUCATION - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC EDUCATION TOTALS</b>							
FY 2002 Operating Base Budget	\$254,800	\$1,622,876,646	\$234,943,700	\$24,492,300	\$479,600	\$343,414,766	\$2,226,561,812
FY 2002 Operating Adjustments	0	146,996,100	1,426,000	64,700	4,400	24,444,200	172,935,400
FY 2002 Operating Recommendation	254,800	1,769,872,746	236,369,700	24,657,000	484,000	367,858,966	2,399,497,212
FY 2001 Operating Supplementals	0	87,777,300	(9,000)	(1,000)	0	(2,400)	87,764,900
FY 2002 Capital Base Budget	0	28,358,000	0	0	0	0	28,358,000
FY 2002 Capital Adjustments	0	10,000,000	0	0	0	0	10,000,000
FY 2002 Capital Recommendation	0	38,358,000	0	0	0	0	38,358,000
FY 2001 Capital Supplementals	0	364,000	0	0	0	0	364,000



## PUBLIC SAFETY

Mission: *Provide quality services, ensure a safe environment, and protect the rights of Utah's citizens.*

### PROGRAMS

- **Commissioner's Office** provides oversight and policy direction for all departmental functions.
- **Comprehensive Emergency Management** prepares, implements, and maintains programs that plan for, respond to, recover from, and mitigate emergencies and disasters of all types that threaten the lives and property of the citizens of Utah or the environment of the state.
- **Utah Safety Council** provides resources, services, and education to influence safety, health, and environmental policies and practices.
- **Police Officer Standards and Training (POST)** provides training for law enforcement personnel and investigates and adjudicates allegations of peace officer misconduct.
- **Criminal Investigations and Technical Services (CITS)** provides communication support for public safety agencies; comprehensive forensic analysis of evidence from crime scenes; computerized criminal background information; and investigative support for white collar crime, narcotics, liquor enforcement, and intelligence.
- **Driver License Division** examines and licenses Utah motorists and takes corrective action against substandard drivers.
- **Utah Highway Patrol (UHP)** facilitates traffic flow on state highways and protects life and property by helping prevent traffic accidents. In addition, UHP administers federal contracts for state safety projects.
- **Management Information** oversees department information processing systems and provides criminal justice information to law enforcement agencies throughout the state.
- **Fire Marshal** enforces public building codes, conducts fire service training, coordinates prevention efforts, and investigates suspicious fires.
- **Liquor Law Enforcement** focuses almost exclusively on the enforcement of the state's liquor laws.

### SELECTED DEPARTMENT GOALS

- Offer technical services to the criminal justice community in an efficient and cost effective manner.
- Provide law enforcement and traffic services that promote the quality of life through increased safety and provide assistance to local law enforcement officers.
- Support the criminal justice community with mobile communication, access to

databases, and improved forensic testing and criminal intelligence systems.

- Fully implement the National Crime Information Center’s (NCIC) project in a way that eliminates transaction costs to local law enforcement for NCIC and National Law Enforcement Telecommunication System (NLETS) transactions.
- Develop procedures with Utah Highway Patrol to reduce crashes by providing increased coverage and law enforcement presence on secondary state roads.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, page 46. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 86 and 88-89.*

**MAJOR BUDGET RECOMMENDATIONS**

- Provide \$828,000 ongoing and \$252,000 one-time General Fund for 12 additional highway patrol troopers.
- Provide \$400,000 from the Public Safety Restricted Fund within the Transportation Fund for 11 additional driver license examiners.

- Provide a supplemental and a one-time General Fund appropriation of \$150,000 each to fund a contract position for the Olympic security command.
- Provide a \$900,000 supplemental from the General Fund as the state’s portion of acquiring a Bell 407 helicopter in partnership with Textron and Bell Helicopter.
- Provide \$44,200 ongoing General Fund to retain the serologist at the central crime lab.
- Provide \$85,000 ongoing General Fund to hire a fire prevention specialist who will be responsible for inspecting and completing plan reviews for all new construction or remodels of state-owned buildings, public and private schools, colleges and universities, and correctional institutions throughout the state.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Commissioner’s Office**

All monies seized or forfeited to the state as a result of drug or narcotic-related activities through the state or federal court process are to be deposited in the General Fund - Drug Forfeiture Account. The Department of Public Safety is authorized to

expend amounts not to exceed \$500,000 from seizures awarded by the state court and seizures awarded by the federal court to aid in efforts to combat drug trafficking. Funds disbursed to other government entities through existing contractual agreements shall be exempt from this intent statement.

Receipts above \$15,000 of reimbursable aircraft flight time are nonlapsing and are to be used only to replace or repair aircraft engines and related parts.

Utah Communications Agency Network (UCAN) is to be treated as a independent agency and is to reimburse the state for all goods and services provided by the state.

The Department of Public Safety is authorized to advance officers to the Senior Officer III grade position using increases from savings in existing appropriations.

Peace officers who may have current assignments within the programs of POST, Investigations and Technical Services, or programs of the Highway Patrol Division shall be equally considered as “troopers” within the department and shall be eligible for appropriate promotions, awards, and incentives appertaining to the peace officers

assigned as highway patrol troopers.

Funds for the Commissioner's Office are nonlapsing.

### **Comprehensive Emergency Management**

Funds for Comprehensive Emergency Management are nonlapsing.

### **Safety Council**

Funds for the Safety Council are nonlapsing.

### **Peace Officer Standards and Training**

Funds for POST are nonlapsing.

### **Criminal Investigations and Technical Services**

Funds for Criminal Investigations and Technical Services are nonlapsing.

### **Driver License**

Funds for the Driver License Division are nonlapsing.

### **Highway Patrol**

Funds for the Utah Highway Patrol are nonlapsing.

The Office of Highway Safety may transfer federal funds from this item of appropriation to any other item of appropriation.

Two of the 12 additional troopers shall be assigned to the highway patrol coverage on State Route 6 between Spanish Fork and Price.

### **Management Information Services**

Funds for Management Information Services are nonlapsing.

### **Fire Marshal**

Funds for the Utah Fire Marshal are nonlapsing.

### **Liquor Law Enforcement**

Funds for Liquor Law Enforcement are nonlapsing.

**PUBLIC SAFETY**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			Total FY 2002
			Recommended FY 2001	Base FY 2002	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$42,137,200	\$43,812,100	\$44,890,700	\$44,051,200	\$3,645,000	\$47,696,200
Transportation Fund	5,495,500	5,495,500	5,495,500	5,495,500	0	5,495,500
Federal Funds	16,684,900	22,389,900	22,382,300	24,907,200	119,000	25,026,200
Dedicated Credits	6,089,300	4,356,000	4,349,100	3,852,400	67,800	3,920,200
Restricted and Trust Funds	22,798,100	23,972,300	24,660,700	24,058,000	1,638,800	25,696,800
Transfers	2,719,500	2,132,000	2,132,000	2,046,900	0	2,046,900
Other Funds	0	0	(2,700)	(1,800)	54,300	52,500
Pass-through Funds	24,400	465,200	465,200	465,200	0	465,200
Beginning Balances	1,701,500	3,344,100	3,344,100	803,600	0	803,600
Closing Balances	(3,344,100)	(803,600)	(803,600)	(971,900)	0	(971,900)
Lapsing Funds	(2,151,000)	0	0	0	0	0
<b>Total Financing</b>	<b>\$92,155,300</b>	<b>\$105,163,500</b>	<b>\$106,913,300</b>	<b>\$104,706,300</b>	<b>\$5,524,900</b>	<b>\$110,231,200</b>
<b>Programs</b>						
Commissioner's Office	\$6,538,800	\$12,559,600	\$13,601,700	\$14,640,800	\$289,800	\$14,930,600
Emergency Management	8,267,400	10,358,400	10,356,200	9,966,600	123,200	10,089,800
Safety Council	141,900	145,400	145,400	146,800	6,400	153,200
Police Academy	4,093,900	5,921,700	5,904,400	5,894,200	274,700	6,168,900
Criminal Investigation Services	15,347,600	14,792,000	14,863,200	14,299,000	536,700	14,835,700
Driver License	14,551,600	16,945,700	16,942,500	16,395,000	892,200	17,287,200
Highway Patrol	37,209,300	38,217,600	38,198,000	37,343,200	2,657,600	40,000,800
Management Information	1,823,200	1,944,200	1,941,500	1,839,500	79,600	1,919,100
Fire Marshal	3,206,100	3,260,200	3,952,700	3,207,300	623,100	3,830,400
Liquor Law Enforcement	975,500	1,018,700	1,007,700	973,900	41,600	1,015,500
<b>Total Budget</b>	<b>\$92,155,300</b>	<b>\$105,163,500</b>	<b>\$106,913,300</b>	<b>\$104,706,300</b>	<b>\$5,524,900</b>	<b>\$110,231,200</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>3.1%</b>
FTE Positions	--	1,062.1	1,062.1	1,062.1	25.0	1,087.1

**PUBLIC SAFETY**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC SAFETY FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
01	\$43,812,100	\$5,495,500	\$27,120,400	\$5,433,300	\$23,972,300	\$3,092,800	\$108,926,400
02	(16,500)	0	0	0	0	0	(16,500)
03	116,600	0	0	0	0	0	116,600
04	0	0	(2,227,000)	(1,604,200)	0	(748,100)	(4,579,300)
	<i>43,912,200</i>	<i>5,495,500</i>	<i>24,893,400</i>	<i>3,829,100</i>	<i>23,972,300</i>	<i>2,344,700</i>	<i>104,447,200</i>
	<i>Subtotal Beginning Base Budget - Public Safety</i>						
<b>Base Adjustments</b>							
05	(56,300)	0	(4,200)	(5,200)	(1,200)	(1,800)	(68,700)
06	579,800	0	44,500	30,200	115,600	9,600	779,700
07	(942,300)	0	(68,600)	(30,400)	(252,600)	(25,400)	(1,319,300)
08	557,800	0	42,100	28,700	223,900	14,900	867,400
	<i>139,000</i>	<i>0</i>	<i>13,800</i>	<i>23,300</i>	<i>85,700</i>	<i>(2,700)</i>	<i>259,100</i>
	<i>Subtotal Base Adjustments - Public Safety</i>						
	<b>44,051,200</b>	<b>5,495,500</b>	<b>24,907,200</b>	<b>3,852,400</b>	<b>24,058,000</b>	<b>2,342,000</b>	<b>104,706,300</b>
	<b>Total FY 2002 Public Safety Base Budget</b>						
<b>Ongoing Adjustments</b>							
09	0	0	0	0	88,900	0	88,900
010	44,200	0	(44,200)	0	0	0	0
011	(42,000)	0	0	0	0	0	(42,000)
012	0	0	0	0	400,000	0	400,000
013	828,000	0	0	0	0	0	828,000
014	85,000	0	0	0	0	0	85,000
015	0	0	0	0	489,000	0	489,000
016	280,000	0	0	0	0	0	280,000
017	3,400	0	0	0	8,400	0	11,800
018	0	0	10,200	0	0	0	10,200
019	1,960,400	0	153,000	67,800	562,500	54,300	2,798,000
	<i>3,243,000</i>	<i>0</i>	<i>119,000</i>	<i>67,800</i>	<i>1,548,800</i>	<i>54,300</i>	<i>5,032,900</i>
	<i>Subtotal Ongoing Adjustments - Public Safety</i>						
<b>One-time Adjustments</b>							
020	150,000	0	0	0	0	0	150,000
021	0	0	0	0	90,000	0	90,000
022	252,000	0	0	0	0	0	252,000
	<i>402,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>90,000</i>	<i>0</i>	<i>492,000</i>
	<i>Subtotal One-time Adjustments - Public Safety</i>						
	<b>3,645,000</b>	<b>0</b>	<b>119,000</b>	<b>67,800</b>	<b>1,638,800</b>	<b>54,300</b>	<b>5,524,900</b>
	<b>Total FY 2002 Public Safety Adjustments</b>						
	<b>\$47,696,200</b>	<b>\$5,495,500</b>	<b>\$25,026,200</b>	<b>\$3,920,200</b>	<b>\$25,696,800</b>	<b>\$2,396,300</b>	<b>\$110,231,200</b>
	<b>Total FY 2002 Public Safety Operating Budget</b>						

**PUBLIC SAFETY - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC SAFETY FY 2001 OPERATING BUDGET SUPPLEMENTS</b>							
023 Internal service fund adjustments	(\$99,100)	\$0	(\$7,600)	(\$6,900)	(\$21,400)	(\$2,700)	(\$137,700)
024 Contract position for Olympic security	150,000	0	0	0	0	0	150,000
025 Computer hardware connections for 800 megahertz	70,000	0	0	0	0	0	70,000
026 Postal rate increase	1,700	0	0	0	4,200	0	5,900
027 Helicopter, Bell 407	900,000	0	0	0	0	0	900,000
028 Costs to relocate the Criminal Identification Bureau	56,000	0	0	0	0	0	56,000
029 Utah Fire and Rescue Academy	0	0	0	0	705,600	0	705,600
<b>Total FY 2001 Public Safety Supplementals</b>	<b>\$1,078,600</b>	<b>\$0</b>	<b>(\$7,600)</b>	<b>(\$6,900)</b>	<b>\$688,400</b>	<b>(\$2,700)</b>	<b>\$1,749,800</b>
<b>PUBLIC SAFETY TOTALS</b>							
<b>FY 2002 Operating Base Budget</b>	<b>\$44,051,200</b>	<b>\$5,495,500</b>	<b>\$24,907,200</b>	<b>\$3,852,400</b>	<b>\$24,058,000</b>	<b>\$2,342,000</b>	<b>\$104,706,300</b>
<b>FY 2002 Operating Adjustments</b>	<b>3,645,000</b>	<b>0</b>	<b>119,000</b>	<b>67,800</b>	<b>1,638,800</b>	<b>54,300</b>	<b>5,524,900</b>
<b>FY 2002 Operating Recommendation</b>	<b>47,696,200</b>	<b>5,495,500</b>	<b>25,026,200</b>	<b>3,920,200</b>	<b>25,696,800</b>	<b>2,396,300</b>	<b>110,231,200</b>
<b>FY 2001 Operating Supplementals</b>	<b>1,078,600</b>	<b>0</b>	<b>(7,600)</b>	<b>(6,900)</b>	<b>688,400</b>	<b>(2,700)</b>	<b>1,749,800</b>



# TRANSPORTATION

Mission: *Provide a quality, economical transportation system that is safe, reliable, environmentally sensitive, and serves the public, commerce, and industry.*

## PROGRAMS

- **Support Services** provides administrative, data processing, and maintenance support for the department.
- **Engineering Services** designs, plans, and engineers Utah highways.
- **Construction Management** oversees highway projects from design through completion.
- **Region Management** plans highway maintenance and rehabilitation, and performs laboratory work in close proximity to highway or construction sites.
- **Maintenance Management** provides litter control, highway striping, snow removal, and road and sign repair.
- **Aeronautics** conducts statewide aeronautical plan-

ning, provides navigational and weather assistance, coordinates air safety standards, certifies public airports, and assists with local airport construction projects.

## SELECTED DEPARTMENT GOALS

- Maintain and improve state highway infrastructure.
- Strengthen planning, development, and performance of multi-modal transportation systems.
- Implement strategies to reduce congestion on state highways.
- Maximize both federal and state funds available for highway construction and maintenance.
- Reduce project costs by streamlining design and construction engineering.

- Limit additional appropriations by reducing administrative costs and reallocating cost savings to critical areas.
- Evaluate and implement innovative approaches to highway design and construction that will save both construction time and taxpayer money without compromising quality.

*A comprehensive list of goals can be found in the **Utah Tomorrow Strategic Plan, 2000 Report**, pages 41-42. A more extensive list of performance measures can be found in the **Utah Tomorrow Strategic Plan, 2000 Report – Appendix**, pages 83-84.*

## MAJOR BUDGET RECOMMENDATIONS

- Provide \$4,440,800 in ongoing Transportation Fund for maintenance of the nearly completed reconstruction of

Interstate 15 from 10600 South to 600 North in Salt Lake City and for the two additional lanes added to Interstate 15 from North Salt Lake to the Junction of U.S. 89. With this funding, 21 additional maintenance personnel will be employed to do maintenance, snow removal, and landscaping.

- Recommend \$1,100,000 in ongoing Transportation Fund for the purchase of trucks and other equipment needed for maintenance activities on Interstate 15 and other new transportation systems that will be constructed in future years.
- Provide \$500,000 in ongoing General Fund and \$2,500,000 in one-time General Fund to assist with rural airport maintenance. Without this funding, many rural airports would not receive needed repairs and would eventually be shut down due to deteriorating infrastructure.
- Recommend \$381,500 in ongoing Transportation Fund to provide maintenance contracts for software and hardware utilized at the Traffic Operations Center. The manufacturer warranty for this software and hardware is scheduled to expire at the end of FY 2001.
- Fund \$250,000 in one-time Transportation Fund for the

Project Development Business System.

- Provide \$450,000 in one-time Transportation Fund for lab equipment upgrades that will assist the department in designing and controlling asphalt pavement mixes.
- Provide an ongoing General Fund appropriation increase to the Centennial Highway Fund of \$12,000,000 and a one-time General Fund increase of \$20,000,000.
- Recommend that revenue collected by the state on the transit tax recently passed in Salt Lake County go to the Centennial Highway Fund for Interstate 15 renovations, repairs, and improvements.

## BONDING

In the 2000 General Session, legislators approved a ten-year financing plan for the Centennial Highway Fund that included \$234,000,000 of General Obligation bonds for FY 2002. This bonding was needed in order to finance the costs of projects scheduled for construction during FY 2002.

The governor is recommending bonding of only \$107,000,000 for FY 2002.

There are several reasons the governor is able to recommend less bonding. First, the scheduled costs of projects in FY 2000, FY 2001 and FY 2002 are less by over \$93,000,000 than previously estimated. This does not mean that project costs in total are less, they remain unchanged. However, the timing of cash needed for these projects has been delayed due to environmental challenges or some other event.

Second, the governor is recommending an additional \$20,000,000 in one-time General Fund be added to the Centennial Highway Fund for FY 2002.

Third, the governor recommends delaying the payment of principle on variable rate demand bonds currently outstanding by one year. Principle payments on these variable rate demand bonds are flexible.

The current interest rate for variable rate demand bonds is lower than the rate for General Obligation bonds. If the principle payment is made on the variable rate demand bonds, more General Obligation bonds would be needed. In essence, the state would be using bonding at a higher interest rate to pay off bonds at a lower interest rate.

Fourth, the governor recommends the state share of the new quarter cent transit sales tax that passed in Salt Lake County be included in the Centennial Highway Fund.

These changes collectively lower the bonding needed in FY 2002 by \$127,000,000.

**FY 2002 PROPOSED LEGISLATIVE INTENT**

**Maintenance Management**

Any and all collections or cash income from the sale or salvage of land and buildings are to be lapsed to the Transportation Fund or the Corridor Preservation Fund, unless previously approved otherwise by the Transportation Commission.

**Construction Management**

Funds appropriated from the Transportation Fund for the Project Development Business System are nonlapsing.

Funds in the Transportation Fund not otherwise appropriated may be used by the department for the construction, rehabilitation, and

preservation of state highways in Utah.

The appropriation shall fund, first, a maximum participation with the federal government for the construction of federally designated highways, as provided by law; next, the rehabilitation and preservation of state highways, as provided by law; and last, the construction of state highways as funding permits.

Private industry engaged in development of the state's natural resources are to be encouraged to participate in the construction of roadways leading to their facilities.

Funds for improvement or reconstruction of energy impacted roads that are not on the state road system are nonlapsing.

Transportation Fund for pedestrian safety projects is nonlapsing and is to be used to correct pedestrian hazards on state highways.

Local authorities are to be encouraged to participate in the construction of pedestrian safety devices. The appropriated funds are to be used according to the criteria set

forth in Section 72-8-104 UCA.

**Aeronautics**

Funds in the Transportation Fund's Aeronautics Restricted Account not otherwise appropriated may be used by the department for the construction, improvement, operation, and maintenance of publicly used airports in the state.

Funds for rural airport maintenance are nonlapsing.

**Mineral Lease**

Mineral lease funds are nonlapsing and are to be used for improving or reconstructing roads that have been heavily impacted by mineral or energy development.

**Sidewalk Construction**

Local participation in the sidewalk construction program is on a 75 percent state, 25 percent local match basis. If local governments cannot use their allocation of sidewalk safety funds in two years, these funds will then be available for other governmental entities which are prepared to use the resources.

**TRANSPORTATION**

## Operating Budget

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations			
			Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$1,697,600	\$1,246,600	\$1,295,000	\$1,296,400	\$3,003,900	\$4,300,300
Transportation Fund	134,213,600	140,228,100	140,407,400	140,940,500	11,031,500	151,972,000
Federal Funds	42,098,100	29,756,400	29,752,200	29,941,200	857,800	30,799,000
Dedicated Credits	20,751,700	15,506,100	15,457,900	15,519,300	296,100	15,815,400
Restricted and Trust Funds	9,100,700	9,768,500	9,768,600	8,679,400	334,400	9,013,800
Other Funds	166,000	166,000	166,000	166,000	0	166,000
Beginning Balances	3,100	1,064,800	1,064,800	0	0	0
Closing Balances	(1,064,800)	0	0	0	0	0
Lapsing Funds	(1,351,000)	0	0	0	0	0
<b>Total Financing</b>	<b>\$205,615,000</b>	<b>\$197,736,500</b>	<b>\$197,911,900</b>	<b>\$196,542,800</b>	<b>\$15,523,700</b>	<b>\$212,066,500</b>
<b>Programs</b>						
Support Services	\$22,379,100	\$23,654,100	\$23,903,300	\$23,436,000	\$1,093,100	\$24,529,100
Engineering Services	21,642,200	20,866,300	20,862,400	20,779,000	898,400	21,677,400
Maintenance Management	71,069,600	72,631,300	72,631,300	72,381,800	5,837,300	78,219,100
Region District Management	18,730,200	20,495,000	20,474,300	20,966,600	1,384,000	22,350,600
Equipment Management	21,783,500	17,041,500	16,992,900	17,472,900	1,763,000	19,235,900
Aeronautics	26,192,600	20,984,400	20,984,500	19,295,300	3,334,400	22,629,700
Construction Management	23,817,800	22,063,900	22,063,200	22,211,200	1,213,500	23,424,700
<b>Total Budget</b>	<b>\$205,615,000</b>	<b>\$197,736,500</b>	<b>\$197,911,900</b>	<b>\$196,542,800</b>	<b>\$15,523,700</b>	<b>\$212,066,500</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>7.2%</b>
FTE Positions	--	1,813.0	1,813.0	1,813.0	21.0	1,834.0

**TRANSPORTATION****Capital Budget**

	<b>Governor Leavitt's Recommendations</b>						
	<b>Actual FY 2000</b>	<b>Authorized FY 2001</b>	<b>Recommended FY 2001</b>	<b>Base FY 2002</b>	<b>Adjust- ments</b>	<b>Total FY 2002</b>	<b>FY 2002 Bond</b>
<b>Plan of Financing</b>							
General Fund	\$122,000,000	\$133,896,000	\$133,896,000	\$113,896,000	\$32,000,000	\$145,896,000	\$0
Transportation Fund	215,516,400	237,790,500	237,790,500	228,161,100	2,819,000	230,980,100	0
Federal Funds	188,848,400	197,493,100	197,493,100	163,812,100	0	163,812,100	0
Dedicated Credits	8,918,800	4,255,000	4,255,000	2,810,000	0	2,810,000	0
Mineral Lease	14,024,400	16,324,600	16,324,600	16,714,900	0	16,714,900	0
Restricted and Trust Funds	17,315,100	20,600,000	20,600,000	30,000,000	0	30,000,000	0
FY 2002 G.O. Bond	0	0	0	0	0	0	107,000,000
Transfers	(36,244,700)	(35,104,400)	(38,186,000)	(35,104,400)	(41,553,100)	(76,657,500)	0
Other Funds	1,064,600	300,000	300,000	0	0	0	0
Beginning Balances	1,286,000	1,279,800	1,279,800	0	0	0	0
Closing Balances	(1,279,800)	0	0	0	0	0	0
Lapsing Funds	(23,342,900)	0	0	0	0	0	0
<b>Total Financing</b>	<b>\$508,106,300</b>	<b>\$576,834,600</b>	<b>\$573,753,000</b>	<b>\$520,289,700</b>	<b>(\$6,734,100)</b>	<b>\$513,555,600</b>	<b>\$107,000,000</b>
<b>Projects</b>							
Construction	\$193,652,800	\$212,249,800	\$212,249,800	\$198,155,400	\$0	\$198,155,400	\$0
Sidewalk Construction	491,100	1,779,800	1,779,800	500,000	0	500,000	0
B and C Road Account	108,031,900	110,744,800	110,744,800	115,019,800	0	115,019,800	0
Maintenance Sheds <sup>(1)</sup>	923,700	911,000	911,000	0	2,819,000	2,819,000	0
Centennial Highway Fund	190,967,300	234,824,600	231,743,000	189,899,600	(9,553,100)	180,346,500	107,000,000
Mineral Lease Programs	14,039,500	16,324,600	16,324,600	16,714,900	0	16,714,900	0
<b>Total Budget</b>	<b>\$508,106,300</b>	<b>\$576,834,600</b>	<b>\$573,753,000</b>	<b>\$520,289,700</b>	<b>(\$6,734,100)</b>	<b>\$513,555,600</b>	<b>\$107,000,000</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>(10.5%)</b>	

(1) The Department of Transportation provided the Building Board with new cost estimates for several projects in December 2000. Due to time constraints, these changes are not reflected in the governor's budget recommendations for FY 2002.

**TEN-YEAR TRANSPORTATION FUNDING PLAN**

(In Millions of Dollars)

	Thru										
	FY1999	FY2000	FY2001	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007	Total	
1	Beginning Balance	317.4	160.1	81.8	0.8	0.5	0.8	37.4	109.4		
2	General Fund/Sales Tax Revenue	298.0	124.3	138.8	151.1	173.7	190.0	206.4	222.8	1,667.5	
3	General Fund Reduction		(0.1)	(0.1)	(0.1)	(20.1)	(20.1)	(20.0)	(20.0)	(100.5)	
4	Transit Tax Revenue	0.0	0.0	1.6	10.0	10.8	11.2	11.7	12.2	67.9	
5	Transportation Funds	112.2	58.3	60.0	61.8	63.7	67.6	69.6	71.7	630.5	
6	Department Contribution	18.0	7.4	6.0	6.0	6.0	6.0	6.0	6.0	67.4	
7	Registration Fee Increase	30.4	17.6	18.0	18.6	19.1	20.3	20.9	21.5	186.1	
8	Investment Income	40.9	9.2	3.2	4.2	2.0	0.0	0.5	3.2	64.8	
9	General Obligation Bonds Issued	908.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	908.0	
10	Premiums on Bonds Issued	19.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	19.8	
11	Less: Issuance Costs	(4.4)	(0.1)	0.0	0.0	0.0	0.0	0.0	0.0	(4.4)	
12	Less: Debt Service - Interest and Fees	(64.7)	(42.2)	(44.2)	(43.0)	(37.2)	(34.1)	(30.8)	(27.4)	(363.9)	
13	Less: Debt Service - Principal	0.0	0.0	0.0	(33.8)	(59.1)	(61.9)	(64.9)	(68.0)	(344.3)	
14	Federal Sources	80.8	46.9	71.7	38.0	40.5	42.3	44.2	47.7	450.0	
15	Local Governments	0.4	0.0	1.3	1.3	1.3	0.3	0.0	0.0	5.7	
	<b>Recommended Bonding</b>										
16	General Obligation Bonds Issued	0.0	0.0	0.0	107.0	88.0	0.0	0.0	0.0	297.0	
17	Less: Issuance Costs	0.0	0.0	0.0	(0.6)	(0.5)	0.0	0.0	0.0	(1.8)	
18	Less: Debt Service - Interest and Fees	0.0	0.0	0.0	(5.9)	(16.3)	(16.0)	(15.4)	(14.4)	(79.5)	
19	Less: Debt Service - Principal	0.0	0.0	0.0	0.0	0.0	(5.9)	(11.8)	(17.1)	(34.8)	
20	<b>Total Annual Funding Available</b>	<b>1,439.4</b>	<b>538.8</b>	<b>416.5</b>	<b>396.4</b>	<b>274.3</b>	<b>200.5</b>	<b>253.8</b>	<b>347.5</b>	<b>3,435.5</b>	
	<b>Project Expenditures</b>										
21	I-15 Project Costs	961.7	315.9	194.2	85.3	0.0	0.0	0.0	0.0	1,557.1	
22	Parallel Streets/Other Related Costs	32.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	32.9	
23	Other Projects	127.5	62.7	140.5	310.2	276.1	163.1	144.5	138.7	1,636.8	
24	<b>Total Project Expenditures</b>	<b>1,122.1</b>	<b>378.6</b>	<b>334.7</b>	<b>395.5</b>	<b>276.1</b>	<b>163.1</b>	<b>144.5</b>	<b>138.7</b>	<b>3,226.8</b>	
25	<b>Ending Balance</b>	317.4	160.1	81.8	0.8	0.8	37.4	109.4	208.7		
26	<b>Bond Debt Outstanding</b>	908.0	908.0	908.0	981.2	1,055.6	987.8	911.2	826.1		
27	<b>Net Cash Balance</b> (line 25 less line 26)										(617.3)

**TRANSPORTATION**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TRANSPORTATION FY 2002 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
P1	\$1,246,600	\$140,228,100	\$29,756,400	\$15,506,100	\$9,768,500	\$166,000	\$196,671,700
P2	0	(700,000)	0	0	(800,000)	0	(1,500,000)
P3	0	0	0	0	(292,100)	0	(292,100)
	<i>1,246,600</i>	<i>139,528,100</i>	<i>29,756,400</i>	<i>15,506,100</i>	<i>8,676,400</i>	<i>166,000</i>	<i>194,879,600</i>
<b>Base Adjustments</b>							
P4	48,400	534,000	(5,700)	(48,500)	100	0	528,300
P5	1,800	949,600	233,000	58,500	5,000	0	1,247,900
P6	(1,700)	(1,726,400)	(378,400)	(130,400)	(19,800)	0	(2,256,700)
P7	1,300	1,655,200	333,900	133,600	17,700	0	2,143,700
	<i>49,800</i>	<i>1,412,400</i>	<i>184,800</i>	<i>13,200</i>	<i>3,000</i>	<i>0</i>	<i>1,663,200</i>
	<b>1,296,400</b>	<b>140,940,500</b>	<b>29,941,200</b>	<b>15,519,300</b>	<b>8,679,400</b>	<b>166,000</b>	<b>196,542,800</b>
<b>Ongoing Adjustments</b>							
P8	0	381,500	0	0	0	0	381,500
P9	0	4,102,500	0	0	0	0	4,102,500
P10	0	338,300	0	0	0	0	338,300
P11	0	66,000	0	0	0	0	66,000
P12	0	1,100,000	0	0	0	0	1,100,000
P13	0	0	0	0	288,400	0	288,400
P14	0	199,500	0	0	0	0	199,500
P15	500,000	0	0	0	0	0	500,000
P16	0	10,200	0	0	0	0	10,200
P17	3,900	3,905,600	857,800	296,100	46,000	0	5,109,400
	<i>503,900</i>	<i>10,103,600</i>	<i>857,800</i>	<i>296,100</i>	<i>334,400</i>	<i>0</i>	<i>12,095,800</i>
	<b>3,003,900</b>	<b>11,031,500</b>	<b>857,800</b>	<b>296,100</b>	<b>334,400</b>	<b>0</b>	<b>15,523,700</b>
<b>One-time Adjustments</b>							
P18	0	50,000	0	0	0	0	50,000
P19	0	450,000	0	0	0	0	450,000
P20	0	250,000	0	0	0	0	250,000
P21	0	177,900	0	0	0	0	177,900
P22	2,500,000	0	0	0	0	0	2,500,000
	<i>2,500,000</i>	<i>927,900</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>3,427,900</i>
	<b>3,003,900</b>	<b>11,031,500</b>	<b>857,800</b>	<b>296,100</b>	<b>334,400</b>	<b>0</b>	<b>15,523,700</b>
<b>Total FY 2002 Transportation Operating Budget</b>							
	<b>\$4,300,300</b>	<b>\$151,972,000</b>	<b>\$30,799,000</b>	<b>\$15,815,400</b>	<b>\$9,013,800</b>	<b>\$166,000</b>	<b>\$212,066,500</b>

**TRANSPORTATION - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TRANSPORTATION FY 2001 OPERATING BUDGET SUPPLEMENTALS</b>							
P23 Internal service fund adjustments	\$48,400	\$179,300	(\$4,200)	(\$48,200)	\$100	\$0	\$175,400
<b>Total FY 2001 Transportation Supplementals</b>	<b>\$48,400</b>	<b>\$179,300</b>	<b>(\$4,200)</b>	<b>(\$48,200)</b>	<b>\$100</b>	<b>\$0</b>	<b>\$175,400</b>
<b>TRANSPORTATION FY 2002 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
P24 FY 2001 appropriated budget	\$133,896,000	\$237,790,500	\$202,959,100	\$4,255,000	\$19,000,000	(\$21,804,400)	\$376,096,200
P25 Less one-time FY 2001 appropriations	(20,000,000)	(611,000)	0	0	0	(300,000)	(20,911,000)
P26 Adjustments in non-state funding levels	0	(9,018,400)	(39,147,000)	(1,445,000)	11,000,000	3,714,900	(34,895,500)
<b>Total FY 2002 Transportation Capital Base Budget</b>	<b>113,896,000</b>	<b>228,161,100</b>	<b>163,812,100</b>	<b>2,810,000</b>	<b>30,000,000</b>	<b>(18,389,500)</b>	<b>\$20,289,700</b>
<b>Ongoing Adjustments</b>							
P27 Centennial Highway Fund	12,000,000	0	0	0	0	0	12,000,000
P28 Centennial Highway Fund - debt service transfer	0	0	0	0	0	(41,553,100)	(41,553,100)
<i>Subtotal Ongoing Capital Adjustments - Transportation</i>	<i>12,000,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(41,553,100)</i>	<i>(29,553,100)</i>
<b>One-time Adjustments</b>							
P29 Centennial Highway Fund	20,000,000	0	0	0	0	0	20,000,000
P30 New maintenance complex - Levan <sup>(1)</sup>	0	965,000	0	0	0	0	965,000
P31 Complex addition/remodel - Roosevelt <sup>(1)</sup>	0	445,000	0	0	0	0	445,000
P32 Complex addition/remodel - Echo <sup>(1)</sup>	0	710,000	0	0	0	0	710,000
P33 New warehouse - Richfield district <sup>(1)</sup>	0	699,000	0	0	0	0	699,000
<i>Subtotal One-time Capital Adjustments - Transportation</i>	<i>20,000,000</i>	<i>2,819,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>22,819,000</i>
<b>Total FY 2002 Transportation Capital Adjustments</b>	<b>32,000,000</b>	<b>2,819,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(41,553,100)</b>	<b>(6,734,100)</b>
<b>Total FY 2002 Transportation Capital Budget</b>	<b>\$145,896,000</b>	<b>\$230,980,100</b>	<b>\$163,812,100</b>	<b>\$2,810,000</b>	<b>\$30,000,000</b>	<b>(\$59,942,600)</b>	<b>\$513,555,600</b>
<b>TRANSPORTATION FY 2001 CAPITAL BUDGET SUPPLEMENTALS</b>							
P34 Centennial Highway Fund - debt service transfer	\$0	\$0	\$0	\$0	\$0	(\$3,081,600)	(\$3,081,600)
<b>Total FY 2001 Transportation Capital Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$3,081,600)</b>	<b>(\$3,081,600)</b>

**TRANSPORTATION - CONTINUED**

<b>TRANSPORTATION TOTALS</b>									
<b>FY 2002 Operating Base Budget</b>	\$1,296,400	\$140,940,500	\$29,941,200	\$15,519,300	\$8,679,400	\$166,000	\$196,542,800		
<b>FY 2002 Operating Adjustments</b>	3,003,900	11,031,500	857,800	296,100	334,400	0	15,523,700		
<b>FY 2002 Operating Recommendation</b>	4,300,300	151,972,000	30,799,000	15,815,400	9,013,800	166,000	212,066,500		
<b>FY 2001 Operating Supplementals</b>	48,400	179,300	(4,200)	(48,200)	100	0	175,400		
<b>FY 2002 Capital Base Budget</b>	113,896,000	228,161,100	163,812,100	2,810,000	30,000,000	(18,389,500)	520,289,700		
<b>FY 2002 Capital Adjustments</b>	32,000,000	2,819,000	0	0	0	(41,553,100)	(6,734,100)		
<b>FY 2002 Capital Recommendation</b>	145,896,000	230,980,100	163,812,100	2,810,000	30,000,000	(59,942,600)	513,555,600		
<b>FY 2001 Capital Supplementals</b>	0	0	0	0	0	(3,081,600)	(3,081,600)		

(1) The Department of Transportation provided the Building Board with new cost estimates for several projects in December 2000. Due to time constraints, these changes are not reflected in the Governor's budget recommendations for FY 2002.



## OTHER

*Included are the non-departmental budget items requiring legislative appropriation for complete budgeting of state operations.*

### PROGRAMS

- **Legislative Initiatives** - This is an allocation set aside by the governor to fund fiscal notes on legislation.
- **Mineral Lease** - These revenues represent the state's share of mineral lease taxes assessed by the federal government. Statute specifies the allocation and appropriation of these revenues by formula. Some mineral lease revenue is appropriated directly to state departments. In these cases, the mineral lease revenue is shown as a funding source in the appropriate department tables. However, some mineral lease revenue is deposited into various state restricted accounts and funds and not

appropriated directly to agencies. Mineral lease revenues that are deposited directly into restricted accounts and other funds are included in this section.

- **Olympic Impacts** - This includes the necessary funding for state agencies to provide incremental public services for visitors during the 2002 Olympic Winter Games.

- Provide \$2,177,100 one-time General Fund as a Finance - Mandated Olympic Impacts program to reimburse state agencies for Olympic related costs.
- Transfer the \$895,600 FY 2000 Mineral Lease Bonus ending balance as a supplemental appropriation to the Constitutional Defense Restricted Account.

### MAJOR BUDGET RECOMMENDATIONS

- Set aside \$1,000,000 ongoing and \$2,000,000 one-time General Fund plus \$1,000,000 ongoing and \$2,000,000 one-time school funds for legislative fiscal notes. The total set-aside is \$6,000,000 in state funds.

### FY 2002 PROPOSED LEGISLATIVE INTENT

Funds appropriated for Olympic impacts are non-lapsing.

Any cost reimbursements to state agencies for Olympic impacts must be approved by the State Olympic Officer.

**OTHER**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	Actual FY 2000	Authorized FY 2001	Recommended FY 2001	Base FY 2002	Adjust- ments	Total FY 2002
<b>Plan of Financing</b>						
General Fund	\$0	\$0	\$0	\$0	\$5,177,100	\$5,177,100
School Funds	0	0	0	0	3,000,000	3,000,000
Mineral Lease	18,799,100	21,026,700	21,922,300	21,521,200	0	21,521,200
<b>Total Financing</b>	<b>\$18,799,100</b>	<b>\$21,026,700</b>	<b>\$21,922,300</b>	<b>\$21,521,200</b>	<b>\$8,177,100</b>	<b>\$29,698,300</b>
<b>Programs</b>						
Legislative Initiatives	\$0	\$0	\$0	\$0	\$6,000,000 <sup>(1)</sup>	\$6,000,000
Mineral Lease Deposits to Other Funds						
General Fund	998,000	1,650,000	1,650,000	900,000	0	900,000
School Funds	12,500	12,800	12,800	13,100	0	13,100
Community Impact Fund	17,110,400	17,343,200	17,343,200	17,813,000	0	17,813,000
Constitutional Defense/Statutory	327,600	1,432,000	1,432,000	1,777,000	0	1,777,000
Constitutional Defense/Supplemental	0	0	895,600	0	0	0
Rural Development Fund	264,900	264,700	264,700	268,100	0	268,100
Rural Electronic Commerce Fund	85,700	324,000	324,000	750,000	0	750,000
Finance Mandated - Olympic Impacts						
Courts	0	0	0	0	227,000	227,000
Health	0	0	0	0	243,200	243,200
Agriculture and Food	0	0	0	0	47,500	47,500
Community and Economic Development	0	0	0	0	1,420,000	1,420,000
Natural Resources - Parks	0	0	0	0	214,000	214,000
Other	0	0	0	0	25,400	25,400
<b>Total Budget</b>	<b>\$18,799,100</b>	<b>\$21,026,700</b>	<b>\$21,922,300</b>	<b>\$21,521,200</b>	<b>\$8,177,100</b>	<b>\$29,698,300</b>
<b>% Change from Recommended FY 2001 to Total FY 2002</b>						<b>35.5%</b>
<hr/>						
<i>(1) - Includes \$4.0 million one-time and \$2.0 million ongoing.</i>						

# State of Utah

## Internal Service Funds and Enterprise Funds by Fund

- Function and purpose of internal service and enterprise funds
- Three-year comparison of internal service fund revenue, capital acquisitions, and positions, including the governor's FY 2002 recommendations





## INTERNAL SERVICE AND ENTERPRISE FUNDS

Internal service and enterprise funds are managed much like private businesses and use balance sheets, income statements, and cashflow statements to account for their activities.

### INTERNAL SERVICE FUNDS

Internal Service Funds (ISFs) account for the operation of state agencies that provide goods or services to other state agencies and other governmental units on a cost-reimbursement basis. ISFs are not designed to generate a profit, and retained earnings are limited. ISFs are subject to the same administrative statutes as state agencies.

### ENTERPRISE FUNDS

Enterprise Funds account for the operations of state agencies that provide goods and services to the general public and private organizations. These agencies finance their operations through user charges and are allowed to generate a profit. Enterprise funds may be exempted from administrative statutes. Enterprise Funds are not

included in the Governor’s Budget Recommendations except for the liquor profit transfers from Alcoholic Beverage Control. These transfer amounts are as follows:

<b>Liquor Profit Transfers</b>	
FY 2000 Actual	\$28,659,100
FY 2001 Est.	\$30,500,000
FY 2002 Est.	\$32,700,000

### RECOMMENDATIONS

The following ISF tables show estimated total revenue collected from user agencies, recommended capital acquisitions, and recommended staffing levels. Funding for ISF services is included in individual agency budgets. The level of service depends on the needs of state agencies.

The Division of Fleet Operations is in the process of consolidating all state agency-owned motor pools into one centralized fleet. The recommendations reflect this transition.

Fleet Operations continues to receive a General Fund base appropriation of \$4,000,000 for fleet capitalization.

The LeRay McAllister Critical Land Conservation Enterprise Fund continues to receive a General Fund base appropriation of \$2,750,000 to fund local agency efforts to preserve open space.

### FY 2002 PROPOSED LEGISLATIVE INTENT

Administrative Services internal service funds may add FTEs beyond the authorized level if it represents a benefit to the state and a decrease of FTEs in the user agency. Total FTEs within state government shall not change with any shift of FTEs.

The Division of Facilities Construction and Management internal service fund may add FTEs beyond the authorized level if new facilities come on line or maintenance agreements are requested. Any added FTEs will be reviewed and approved by the legislature at the next legislative session.

**INTERNAL SERVICE FUNDS****Total Revenue**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations	
			Estimated FY 2001	Estimated FY 2002
<b>Administrative Services</b>				
General Services - Administration	\$0 <sup>(1)</sup>	\$292,300 <sup>(1)</sup>	\$0 <sup>(1)</sup>	\$0 <sup>(1)</sup>
General Services - Mail	7,311,500	7,511,000	7,452,800	7,532,800
General Services - e-Purchasing	268,900	389,200	268,900	268,900
General Services - Publishing	4,684,300	5,586,100	4,998,200	5,617,000
Fleet Operations - Administration	0 <sup>(2)</sup>	757,500 <sup>(2)</sup>	0 <sup>(2)</sup>	0 <sup>(2)</sup>
Fleet Operations - Surplus	1,163,600	1,297,500	1,297,500	1,314,100
Fleet Operations - Motor Pool	19,938,800	22,972,800	22,972,800	21,381,800
Fleet Operations - Fuel Dispensing	12,558,000	11,681,000	11,681,000	13,914,600
Information Technology Services	52,244,400	50,195,300	51,782,200	51,542,600
Risk Management	19,944,300	23,656,400	23,146,600	24,704,400
Workers' Compensation	6,033,300	6,451,600	6,299,000	6,544,600
Facilities Management	17,589,200	18,204,200	19,014,800	19,077,300
Debt Collection	680,200	716,100	765,400	754,100
<b>Board of Education - General Svcs</b>	1,062,500	1,121,700	1,121,700	1,233,900
<b>Natural Resources</b>				
Central Data Processing	702,000	710,500	710,500	702,000
Motor Pool	3,049,400	3,530,000	3,661,800	3,844,900
Warehouse	587,300	790,000	790,000	725,000
<b>Agriculture - Data Processing</b>	274,100	251,600	251,600	251,600
<b>Corrections - Data Processing</b>	1,721,600	1,699,600	1,566,000	1,566,000
<b>Human Services</b>				
General Services	1,492,900	1,481,500	1,481,500	1,500,900
Electronic Data Processing	2,517,000	2,402,400	2,574,000	2,677,000
<b>TOTAL</b>	<b>\$153,823,300</b>	<b>\$161,698,300</b>	<b>\$161,836,300</b>	<b>\$165,153,500</b>

(1) General Services - Administration does not charge fees or collect revenues. Its expenses are allocated to the other General Services internal service funds.

(2) Fleet Operations - Administration does not charge fees or collect revenues. Its expenses are allocated to the other Fleet Operations internal service funds.

**INTERNAL SERVICE FUNDS****Capital Acquisitions**

	Actual FY 2000	Authorized FY 2001	Governor Leavitt's Recommendations	
			Recommended FY 2001	Recommended FY 2002
<b>Administrative Services</b>				
General Services - Mail	\$142,400	\$120,000	\$120,000	\$140,000
General Services - Publishing	1,428,100	1,583,000	1,583,000	3,139,000 <sup>(3)</sup>
Fleet Operations - Administration	5,500	0	12,000 <sup>(2)</sup>	0
Fleet Operations - Surplus	233,000	0	0	0
Fleet Operations - Motor Pool	33,484,700 <sup>(4)</sup>	21,063,000	21,738,200 <sup>(1)</sup>	15,355,900
Fleet Operations - Fuel Dispensing	1,137,500	215,000	215,000	115,000
Information Technology Services	5,247,200	6,243,600	8,066,100 <sup>(1)</sup>	5,904,800
Risk Management	7,800	50,000	50,000	0
Facilities Management	38,300	35,000	119,200 <sup>(1)</sup>	80,000
<b>Board of Education - General Svcs</b>	17,300	52,400	52,400	17,300
<b>Natural Resources</b>				
Central Data Processing	36,900	100,000	100,000	100,000
Motor Pool	0	650,000 <sup>(1)</sup>	0 <sup>(1)</sup>	0
<b>Agriculture - Data Processing</b>	30,100	38,000	38,000	58,000
<b>Corrections - Data Processing</b>	254,500	497,300	497,300	417,000
<b>TOTAL</b>	<b>\$42,063,300</b>	<b>\$30,647,300</b>	<b>\$32,591,200</b>	<b>\$25,327,000</b>

(1) The amount in the Recommended FY 2001 column includes carryforward authorization from previous years, and, in the case of Fleet Operations - Motor Pool, carryforward authority originally granted to other motor pool internal service funds now being consolidated.

(2) The governor recommends a \$12,000 FY 2001 supplemental in capital acquisition authority.

(3) With digital technology, there is the option of networking the copiers. A large part of the increase from the prior year is due to the expectation that user agencies will ask to have the copiers networked.

(4) Capital acquisitions include vehicles transferred from other agencies in the amount of \$19,408,000.

**INTERNAL SERVICE FUNDS****Positions**

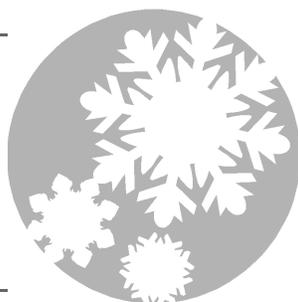
	Actual FY 2000	Authorized FY 2001	<u>Governor Leavitt's Recommendations</u>	
			Recommended FY 2001	Recommended FY 2002
<b>Administrative Services</b>				
General Services - Administration	3.00	1.30	3.00	3.00
General Services - Mail	32.00	32.00	38.00	38.00
General Services - e-Purchasing	4.00	5.30	2.00	2.00
General Services - Publishing	23.00	22.50	17.00	17.00
Fleet Operations - Administration	9.50	12.00	11.00	11.00
Fleet Operations - Surplus	10.50	10.00	10.15	10.15
Fleet Operations - Motor Pool	20.25	20.80	21.95	21.95
Fleet Operations - Fuel Dispensing	8.30	8.30	8.90	8.90
Information Technology Services	237.00	242.00	242.00	243.00
Risk Management	22.50	22.50	22.50	22.50
Workers' Compensation	2.00	2.00	2.00	2.00
Facilities Management	127.50	129.00	129.00	129.00
Debt Collection	1.00	4.00	4.00	4.00
<b>Board of Education - General Svcs</b>	8.25	9.00	9.00	9.00
<b>Natural Resources</b>				
Central Data Processing	4.00	4.00	4.00	4.00
Motor Pool	4.00	4.00	4.00	4.00
Warehouse	2.00	2.00	2.00	2.00
<b>Agriculture - Data Processing</b>	3.00	3.00	3.00	3.00
<b>Corrections - Data Processing</b>	4.50	10.00	10.00	10.00
<b>Human Services</b>				
General Services	3.00	3.00	3.00	3.00
Electronic Data Processing	33.00	33.00	33.00	33.00
<b>TOTAL</b>	<b>562.30</b>	<b>579.70</b>	<b>579.50</b>	<b>580.50</b>

# State of Utah

## Loan Funds

- Function and purpose of loan funds
- Three-year comparison of loan fund activity, including the governor's FY 2002 recommendations





## LOAN FUNDS

### OVERVIEW

Numerous revolving loan programs have been established by the State of Utah to promote economic development within the state. These loan funds are typically provided start-up General Fund appropriations when established. Some loan funds also receive periodic General Fund appropriations to enhance the loan programs. In addition, some loan programs receive federal funds and private contributions. Once established, loan repayments generally remain within the loan programs in order to provide additional monies for future loans. Thus, a revolving source of funding is provided.

Several revolving loan programs provide low-interest loans to local governments, such as cities and counties, for water and sewer systems or other vital infrastructure necessary for economic growth. Other revolving loan programs provide low-interest loans and grants for low-income housing, while others provide loans for agricultural development.

In addition, the Industrial Assistance Fund provides low-interest loans to businesses that create new jobs in rural Utah. If these businesses create an established number of new jobs, they are not required to repay all or part of the related loan.

Since the fund balances within loan programs are not a

source of operating or capital funding for state departments, their budgets and other financial information are generally not included in departmental budget tables. One exception is the appropriation of new state funds, such as General Fund and mineral lease revenue, to loan programs. When new state funds are appropriated, they are shown in departmental budget tables as a source of funding as well as an offsetting transfer to the loan program. This results in a net zero impact on the department's budget.

The following table, *Appropriated Revolving Loan Funds*, shows the total legislative appropriations to revolving loan funds, including new state funds, federal funds, and carryforward balances.

**APPROPRIATED REVOLVING LOAN FUNDS**Funds Available to Loan by Funding Source <sup>1</sup>

Three-Year Comparison

	General Fund	Federal Funds	Dedicated Credits	Restricted and Trust Funds	Other	Total Available
<b>Industrial Assistance Fund</b>						
Actual FY 2000	\$818,100	\$0	\$0	\$0	\$0	\$818,100
Authorized FY 2001	1,901,000	0	4,800,000	0	0	6,701,000
Recommended FY 2002	0	0	750,000	0	0	750,000
<b>Permanent Community Impact Fund</b>						
Actual FY 2000	0	0	0	16,637,900	0	16,637,900
Authorized FY 2001	0	0	0	16,834,600	0	16,834,600
Recommended FY 2002	0	0	0	17,183,000	0	17,183,000
<b>Olene Walker Housing Trust Fund<sup>2</sup></b>						
Actual FY 2000	1,500,000	3,500,000	0	0	0	5,000,000
Authorized FY 2001	3,975,000	3,500,000	0	0	0	7,475,000
Recommended FY 2002	1,725,000	0	0	0	0	1,725,000
<b>Agriculture Resource Development Fund<sup>3</sup></b>						
Actual FY 2000	0	0	0	500,000	0	500,000
Authorized FY 2001	0	0	0	500,000	0	500,000
Recommended FY 2002	0	0	0	500,000	0	500,000
<b>Water Resources Construction Fund</b>						
Actual FY 2000	563,000	0	3,112,300	3,800,000	4,428,800	11,904,100
Authorized FY 2001	563,000	0	3,096,900	3,800,000	441,000	7,900,900
Recommended FY 2002	563,000	0	3,070,200	3,800,000	0	7,433,200
<b>Water Resources Cities Water Loan Fund</b>						
Actual FY 2000	0	0	2,371,700	0	288,300	2,660,000
Authorized FY 2001	0	0	1,886,700	0	183,900	2,070,600
Recommended FY 2002	0	0	1,652,500	0	0	1,652,500
<b>Water Resources Conservation and Development Fund<sup>3</sup></b>						
Actual FY 2000	1,089,500	0	9,103,400	8,193,500	0	18,386,400
Authorized FY 2001	1,089,500	0	9,402,800	8,700,000	0	19,192,300
Recommended FY 2002	1,089,500	0	11,097,400	7,550,000	0	19,736,900
<b>Water Quality Loan Fund<sup>3</sup></b>						
Actual FY 2000	0	7,333,900	0	4,466,000	0	11,799,900
Authorized FY 2001	0	6,995,400	0	4,555,300	0	11,550,700
Recommended FY 2002	0	5,000,000	0	4,646,400	0	9,646,400
<b>Drinking Water Loan Fund<sup>3</sup></b>						
Actual FY 2000	0	6,019,700	0	4,466,000	0	10,485,700
Authorized FY 2001	0	6,516,600	0	4,555,300	0	11,071,900
Recommended FY 2002	0	6,500,000	0	4,646,400	0	11,146,400
<b>TOTAL REVOLVING LOAN FUND APPROPRIATIONS</b>						
Actual FY 2000	\$3,970,600	\$16,853,600	\$14,587,400	\$38,063,400	\$4,717,100	\$78,192,100
Authorized FY 2001	7,528,500	17,012,000	19,186,400	38,945,200	624,900	83,297,000
Recommended FY 2002	3,377,500	11,500,000	16,570,100	38,325,800	0	69,773,400

<sup>1</sup> This table includes all new funding sources available to loan from each appropriated revolving loan fund. The appropriated budgets for revolving loan funds are not included in departmental budget tables since they do not provide operating or capital funds for use by state departments. The funds available are loaned or granted to local governmental entities, private businesses, or individuals. However, new state fund appropriations to loan funds are shown in the department operations and capital tables, along with an offsetting transfer out to the revolving loan fund.

<sup>2</sup> \$500,000 of the General Fund appropriation is not included here because it will be used for low-income housing grants. The \$500,000 for grants is shown in the Department of Community and Economic Development operating table.

<sup>3</sup> These restricted funds come from the 1/16th of 1% state sales tax that is designated by law to go to these loan funds.

# State of Utah

## Recommended One- time Projects by Department

- Potential one-time projects under nonlapsing authority



## ONE-TIME PROJECTS FROM NONLAPSING FUNDS

The legislature gave state agencies more budget flexibility by passing the Budgetary Procedures Act - Nonlapsing Authority legislation in its 1994 General Session. Under Section 63-38-8.1 UCA, agencies submit a list of possible one-time uses of carryforward funds to the governor. The governor reviews these lists and includes recommendations for use of any carryforward funds that may occur. The legislature may approve some or all of the recommended projects. This list does not assume there will be any carryforward funds, but rather directs spending in the event there are unused funds at the end of the fiscal year.

### Governor Leavitt's Recommendations

	Computer Equip/Software	Training/ Incentives	Equipment/ Supplies	Capital Equipment or Improvements	Special Projects/ Other
<b>Health</b>					
Health Systems Improvement	\$10,000	\$40,000	\$0	\$0	\$30,000 (a)
Epidemiology and Lab Services	0	0	0	100,000	0
<i>(a) - Special projects</i>					
<b>Human Services</b>					
Executive Director	30,000	0	0	0	70,000 (b)
Mental Health	0	0	25,000	0	0
Substance Abuse	12,500	0	0	0	0
Srvcs. for People with Disabilities	25,000	0	25,000	0	0
Child and Family Services	50,000	0	0	0	0
<i>(b) - Web development</i>					
<b>National Guard</b>					
Maintenance	0	50,000	0	50,000	50,000 (c)

*(c) - Special study regarding Veterans' Affairs*

*Continued*

One-time Projects from Nonlapsing Funds

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*Continued*

	<b>Computer Equip/Software</b>	<b>Training/ Incentives</b>	<b>Equipment/ Supplies</b>	<b>Capital Equipment or Improvements</b>	<b>Special Projects/ Other</b>
<b>Natural Resources</b>					
<b>Natural Resources</b>					
Administration	30,000	10,000	35,000	10,000	40,000 (d)
Utah Geological Survey	20,000	5,000	0	0	0
Oil, Gas, and Mining	45,000	30,000	20,000	0	5,000 (d)
Parks and Recreation	21,000	39,000	55,000	0	35,000 (e)
Water Resources	25,000	4,000	21,000	10,000	0
Water Rights	25,000	0	25,000	0	0
<b>Agriculture and Food</b>					
Administration	190,000	70,000	448,500	230,000	103,000 (f)
Grain Inspection	27,200	20,000	20,300	69,500	0
Marketing and Conservation	13,000	12,000	16,000	21,000	10,000 (d)
Brand Inspections	30,000	15,000	15,000	0	0
Environmental Quality	10,000	5,000	10,000	10,000	5,000 (d)

*(d) - Special studies*

*(e) - Planning projects and interns*

*(f) - Special studies, hazardous waste disposal*

**Transportation**

Support Services	350,000	100,000	0	0	80,000 (g)
Maintenance Management	0	0	0	800,000	0
Equipment Management	0	0	754,300	0	0
Aeronautics	0	0	0	100,000	0

*(g) - Performance audits*

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# State of Utah

## Appendices

- Definition of terms
- Acronyms used in this document
- Directory of the Governor's Office of Planning and Budget





## GLOSSARY OF TERMS AND ACRONYMS

<b>Terms:</b>	<b>Explanation:</b>
Actual expenditures	Expenditures made in preceding fiscal years. In this document the term is primarily used for the most recently completed state fiscal year (FY 2000).
Appropriation	Funding allocated through legislative bill.
Authorized expenditures	Amounts for the current state fiscal year (FY 2001) that reflect state funds appropriated by the legislature as well as an agency's best estimate of revenues to be earned such as fees or federal grants.
Base budget	Ongoing funding authorized for expenditure on a recurring basis. This includes the beginning base budget and recommended changes for personal service adjustments and internal service funds.
Beginning balance	Amount of funds left over from the previous fiscal year that are available at the start of the new fiscal year.
Beginning base budget	Total authorized expenditures from the previous fiscal year adjusted for changes to non-state funds and interdepartmental transfers, less one-time appropriations.
Bill	A proposed law.
Bond	A debt instrument representing a written promise to pay a specific sum of money in the future, plus interest.
Budget	A formal estimate of proposed expenditures and expected revenue during a fiscal year.
Budget Reserve Account	A fund designated in Utah law to receive a percentage of state revenue surpluses. This fund is commonly referred to as the Rainy Day Fund (see Rainy Day Fund).

Capital budget	Expenditure recommendations for acquisition, development, construction, and improvement of fixed public assets.
Capital developments	Remodeling, site, or utility projects costing \$1,000,000 or more, new facility with a construction cost of \$250,000 or more, and purchase of real property where an appropriation is requested to fund the purchase.
Capital improvements	Remodeling, alteration, replacement, or repair project costing less than \$1,000,000; site and utility improvements costing less than \$1,000,000; and new facility with a total construction cost of less than \$250,000.
Capital planning	Programming process conducted before a project is considered for further funding.
Career Ladder Program	A component of the Minimum School Program which compensates teachers for additional service time, special projects, and merit.
Centennial Highway Fund	Special revenue fund created to finance construction, major reconstruction, or major renovation of state or federal highways.
Compensation package	Includes salary increases and benefit costs.
Cost-of-living adjustments	Salary adjustment for all employees based on changes in the cost of living.
Debt service	Money required to pay principal, interest, and fees on existing obligations, usually bonds.
Dedicated credits	Revenue generated from sources such as fines, licenses, and user fees that are available to the collecting agency for expenditure.
E-commerce	Doing business electronically, especially over the internet.
EDNET	A system that delivers instruction and services via a two-way audio and video network to educational entities across the state.
Emergency Fund (Governor's)	A special fund administered by the governor to meet unforeseeable emergencies. This fund shall not be used to correct poor budgetary practices or for purposes having no existing appropriation or authorization.
Ending balance	Amount of funds remaining in an account at the end of the fiscal year that is available for expenditure in the following fiscal year.
Enterprise fund	A fund established by a governmental unit to provide goods and services to the public—primarily financed through user charges.

Federal funds	Various grants and contracts received by the state from the federal government which carry federal statutory or regulatory restrictions on their use.
Fees	Charges paid by users of services.
Fiscal note	A brief report attached to a bill by legislative staff that outlines the revenue generated or cost of implementing the legislation.
Fiscal year (FY)	A 12-month accounting and budget period. Utah's fiscal year begins July 1 and ends June 30.
Full-time equivalent (FTE)	Equivalent of one employee being paid for eight hours per day for the full work year.
Full-time equivalent (FTE) student	Equivalent of one student earning 30 semester hours of credit on an annualized basis.
Fund	An independent fiscal and accounting entity with a self-balancing set of accounts.
General Fund (GF)	Account into which general tax revenue, primarily sales tax, is deposited. Revenue in this fund is not earmarked by law for specific purposes.
General Fund restricted accounts	Accounts within the General Fund designated for specific expenditures.
<i>HealthPrint</i>	Governor Leavitt's seven-year plan for health care reform.
HR Enterprise Database	A human resource database that integrates recruitment, classification, and training by position and employee.
Internal Service Fund (ISF)	An accounting entity used to track the financing of goods and services provided by one state agency for other state agencies on a cost reimbursement basis. ISFs do not generate excessive profits and are subject to the same administrative statutes as state government agencies.
Lapsing balances	Amount of unused funds at the end of the state fiscal year that revert (lapse) back to the state fund from which they originally were appropriated.
Leeway, board or voted	A property tax levy approved by a local school board (board leeway) or by the local electorate (voted leeway). Board leeway is limited to a tax rate of .0004 and voted leeway is limited to a tax rate of .0020, including any authorized board leeway.

Legislative intent	Specific requirements by the legislature included in the appropriations act.
Line item	Any numbered item in an appropriations act for which an appropriation is made, e.g. department, division, program, etc.
Market comparability adjustment	A salary adjustment for positions found to be below market.
Medicare	A federal health insurance program designed primarily for individuals entitled to Social Security who are age 65 or older. Medicare is not in the state's budget.
Medicaid	A joint state/federal program of government-financed medical care for specified groups of low-income residents.
Merit increases	Salary increases in accordance with the state pay plan, given to employees whose performance merits an increase.
Mineral Lease Account	Funding received by the state from federal mineral lease revenue. The funding is used to alleviate the social, economic, and public impact of mineral extraction and development.
Minimum School Program	State-supported program for kindergarten, elementary, and secondary schools. The <i>Minimum School Program Act</i> specifies that all children of the state are entitled to reasonably equal education opportunities regardless of their place of residence and the economic situation of their respective school districts.
Nonlapsing funds	Unexpended funds at the end of a state fiscal year that remain with a specific program or agency for use in the subsequent year.
One-time appropriations	Funds authorized for expenditure on a non-recurring basis. The amount is not added to the next year's base budget.
Ongoing funding	Funding authorized for expenditure on a recurring basis. The amount is added to the next year's base budget.
Operating expenses	Costs necessary to carry out an agency's ongoing and day-to-day activities.
Pass-through funds	Funds, usually federal funds or state funds, that are passed on to local agencies for local programs.
Payment in lieu of taxes	Funding received from the federal government in place of state taxes on federally-owned land within the state.

Personal services	A general category that includes salaries, wages, employee pensions, insurance, and other employee benefits.
Personal services adjustment	May include adjustments based on rate changes in health and dental insurance, long-term disability insurance, federal social security and Medicare taxes, retirement, unemployment insurance, workers' compensation, leave pool, and market comparability adjustments.
Position	Authorized employee being paid for eight hours per day for the full work year (see FTE).
Program	A group of closely related services or activities that contribute to a common objective.
Rainy Day Fund	A fund designated in Utah law to receive a percentage of state revenue surpluses. This fund is formally known as the Budget Reserve Account.
Restricted funds	Funds that are maintained in a separate account for a specific purpose. Restricted funds must be appropriated by the legislature.
Revenue	Funding earned from various sources and used to finance expenditures.
RS2477	Rights-of-way granted under federal law for roads across public lands.
SAFE	An electronic database used by the Division of Child and Family Services for investigations of child abuse and neglect.
School funds (SF)	School funds include Uniform School Fund and income tax revenue for Higher Education.
Supplemental appropriation	A one-time adjustment of funds in the current fiscal year, either over or under the original appropriation. These amounts do not affect the following year's base budget.
Transfer	Movement of money from one governmental unit to another governmental unit.
Transportation Fund (TF)	A fund designated by the Utah Constitution exclusively for highway purposes and funded primarily by a tax on motor fuel.
Trust fund	A fund designated by law for specific purposes.
Uniform School Fund (USF)	A permanent state school fund financed primarily from state income tax collections. The USF is maintained for the support of the state's public elementary and secondary schools and institutions of higher education.

UtahLINK A system that uses technology to deliver instruction, library services, administrative support, student services, and teacher resources regardless of location or time to schools, business, industry, and homes.

Weighted Pupil Unit (WPU) Unit of measure used to uniformly determine the cost of the state Minimum School Program. The WPU generally represents one pupil in average daily membership, but additional WPUs are allocated for specific programs.

### Acronyms:

AAA	Triple A bond rating—highest bond rating given by Moody’s Investors Service, Standard and Poor’s, and Fitch IBCA
ADA	Americans with Disabilities Act
AG	Attorney General
AGRC	Automated Geographic Reference Center
AHEC	Area health education centers
A,R, and I	Alterations, Repairs, and Improvements
ATC	Applied technology center
ATCSR	Applied technology center service region
ATE	Applied technology education
CACSG	Computer-assisted Collection System for Government
CAFR	<i>Comprehensive Annual Financial Report</i>
CEU	College of Eastern Utah
CHIP	Children’s Health Insurance Program
CHF	Centennial Highway Fund
CIO	Chief Information Officer
COLA	Cost-of-living adjustment
COSMOS	Complete and orderly insurance regulatory system
CUCF	Central Utah Correctional Facility in Gunnison
CUP	Central Utah Project
DAS	Department of Administrative Services
DCED	Department of Community and Economic Development
DFCM	Division of Facilities Construction and Management
DP	Data processing
DSBVI	Division of Services for Blind and Visually Impaired
DUI	Driving under the influence
EF	Enterprise funds
EPA	Environmental Protection Agency
ETI	Education technology initiative

FACT	Families, Agencies, and Communities Together
FCC	Federal Communications Commission
FICA	Federal Insurance Contributions Act (payroll taxes)
FLSA	Fair Labor Standards Act
FTE	Full-time equivalent
FY	Fiscal year
GF	General Fund
GOPB	Governor's Office of Planning and Budget
GPS	Global Positioning System
HB	House bill
HIP	Utah Comprehensive Health Insurance Pool
IAF	Industrial Assistance Fund
ISF	Internal service fund
IT	Information technology
ITS	Information Technology Services Division
LAN	Local area network
LFA	Legislative Fiscal Analyst
MESA	Math, engineering, and science achievement
MSP	Minimum School Program
NBPTS	National Board for Professional Teaching Standards
NCSL	National Conference of State Legislatures
O and M	Operation and maintenance costs
OME	Office of the Medical Examiner
P/ACT	Program for Assertive Community Treatment
PC	Personal computer
PEHP	Public Employees Health Program
POST	Peace Officer Standards and Training
RFP	Request for proposal
SB	Senate bill
SBDC	Small business development centers
SBIC	Small Business Investment Corporation
SBR	State Board of Regents
SF	School funds
SLCC	Salt Lake Community College
SR	State route
SUU	Southern Utah University

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TANF	Temporary Assistance for Needy Families
TOC	Traffic Operations Center
UCA	Utah Code Annotated
UEN	Utah Education Network
UMAP	Utah Medical Assistance Program
U of U	University of Utah
USDB	Utah Schools for the Deaf and the Blind
USATE	Utah System of Applied Technology Education
USF	Uniform School Fund
USHE	Utah System of Higher Education
USOE	Utah State Office of Education
USOR	Utah State Office of Rehabilitation
UST	Underground storage tank
USU	Utah State University
UTA	Utah Transit Authority
UVSC	Utah Valley State College
VOITIS	Violent Offender Incarceration Truth-in-Sentencing
WAN	Wide area network
WPU	Weighted pupil unit
WSU	Weber State University
Y2K	Year 2000

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